

Fiscal Year 2018 Budget Request

Governor's Recommendations



DIFP

Department of Insurance,
Financial Institutions &
Professional Registration

Eric R. Greitens
Governor

John F. Rehagen
Acting Director

Missouri Department of Insurance, Financial Institutions and Professional Registration
FY 2018 Budget Request

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Department of Insurance, Financial Institutions and Professional Registration Overview

The department protects consumers through oversight of the insurance industry, financial institutions and licensed professionals.

The department organizational structure includes the director's office and seven divisions.

Director's Office: Charged with overseeing operations of the department. The director's office handles regulatory transactions, provides legal assistance to other divisions in the department and coordinates dissemination of department information to the public.

Administration Division: Responsible for department-wide administrative support functions including accounting, purchasing, human resources, budget and information systems. The division is responsible for the licensing of insurance producers operating within the state as well as public adjusters, bail bondsmen, surety recovery agents, surplus lines brokers, portable electronics insurance providers, navigators, self-service storage producers and utilization review agents. The division reviews and approves applications, contracts and policies for motor vehicle extended service contract providers, service contract providers, rating organizations, advisory organizations, purchasing groups, life care providers and vehicle protection product warrantors. Also, the division reviews various annual tax filings generating over \$291 million in premium taxes as well as oversees the CLAIM program, which provides free counseling for Missouri consumers with Medicare and their caregivers.

Consumer Affairs Division: Accepts complaints from Missouri consumers against insurance companies, insurance producers and other licensees. The division investigates complaints to ensure consumers are being treated fairly and within the Missouri insurance laws. The division acts as a liaison between the consumer and the insurance company and successfully recovered over \$16 million in 2016 for Missouri consumers. The division reaches and educates approximately 37,000 Missouri consumers each year through its website, statewide toll-free hotline and numerous outreach events throughout the state.

Insurance Market Regulation Division: Annually reviews approximately 9,500 policy forms, rates, and other pertinent material filed by insurance companies each year to ensure those products' compliance with state statutes and regulations. Performs market conduct examinations of insurance companies to ensure equitable treatment of policyholders and determine compliance with the statutes and regulations of the state in the company's operations. The division's statistics section actively monitors the insurance marketplace through the collection and compilation of industry financial and claim data.

Insurance Company Regulation Division: Responsible for monitoring and analyzing the financial solvency of insurance companies licensed in the state. The division performs financial examinations of insurance companies to prevent insolvencies and to take the appropriate action against financially unsound or incompetently managed companies. The division also licenses and regulates captive insurance companies and authorized reinsurance companies as well as various insurance related entities such as third party administrators, managing general agents and reinsurance intermediaries and brokers. In addition the division ensures surplus lines brokers are using eligible surplus lines carriers and reviews the premium tax filings made by surplus lines brokers generating approximately \$30 million in surplus line tax annually.

Division of Credit Unions: An accredited agency, responsible for the examination, supervision, chartering, merging and liquidation of Missouri's 107 state-chartered credit unions. The division also responds to consumer complaints concerning credit union services or operations. Missouri state-chartered credit unions have approximately 1.4 million members with assets of approximately \$13 billion. Missouri ranks sixth in the nation in the number of state-chartered credit union.

Division of Finance: Responsible for the incorporation and regulation of Missouri's 251 state-chartered banks, 4 non-deposit trust companies, and 5 savings and loan associations. The division also licenses and regulates consumer credit companies, credit services organizations, money order companies, mortgage broker companies and mortgage loan originators. Primary objectives include ensuring the safety and soundness of these institutions and the monitoring of compliance with laws and regulations, thereby safeguarding the funds of depositors and maintaining public confidence in Missouri's financial system. Missouri ranks third in the nation in the number of state-chartered banks.

Division of Professional Registration: Responsible for supporting 40 professional licensing boards and commissions in licensing and regulating the activities of over 467,000 Missourians representing approximately 240 different trades and professions. The boards and commissions process applications, administer examinations and, when warranted, conduct investigations into possible professional misconduct and may take disciplinary action against the practitioner.

Missouri Department of Insurance, Financial Institutions and Professional Registration

State Auditor's Reports, Oversight Evaluations and Missouri Sunset Act Reports within the last three years

Program or Division Name	Type of Report	Date Issued	Website Link
Department of Insurance, Financial Institutions, and Professional Registration - Insurance	Audit	05/2016	http://app.auditor.mo.gov/Repository/Press/2016026405770.pdf
Department of Insurance, Financial Institutions, and Professional Registration - State Board of Registration for the Healing Arts	Audit	12/2015	http://app.auditor.mo.gov/Repository/Press/2015124906406.pdf
Department of Insurance, Financial Institutions, and Professional Registration - Board of Registration of Therapeutic Massage	Audit	12/2015	http://app.auditor.mo.gov/Repository/Press/2015118284761.pdf
Department of Insurance, Financial Institutions, and Professional Registration - Missouri Dental Board	Audit	11/2015	http://app.auditor.mo.gov/Repository/Press/2015110664236.pdf
Department of Insurance, Financial Institutions, and Professional Registration - State Board of Accountancy	Audit	12/2014	http://www.auditor.mo.gov/Press/2014137642076.pdf
Department of Insurance, Financial Institutions, and Professional Registration - State Board of Pharmacy	Audit	12/2014	http://www.auditor.mo.gov/Press/2014130349202.pdf
Department of Insurance, Financial Institutions and Professional Registration - Division of Professional Registration	Audit	1/2014	http://auditor.mo.gov/Press/2014003692541.pdf
Department of Insurance, Financial Institutions and Professional Registration - State Committee for Social Workers	Audit	12/2013	http://www.auditor.mo.gov/Press/2013148729627.pdf
Department of Insurance, Financial Institutions and Professional Registration - State Board of Embalmers and Funeral Directors	Audit	12/2013	http://www.auditor.mo.gov/Press/2013133440191.pdf
Department of Insurance, Financial Institutions and Professional Registration - Division of Credit Unions	Audit	8/2013	http://www.auditor.mo.gov/Press/2013-084.pdf
Department of Insurance, Financial Institutions and Professional Registration - Insurance, Three Years Ended June 30, 2012	Audit	1/2013	http://www.auditor.mo.gov/AuditReports/AudRpt.aspx?c=Department of Insurance

CORE DECISION ITEM

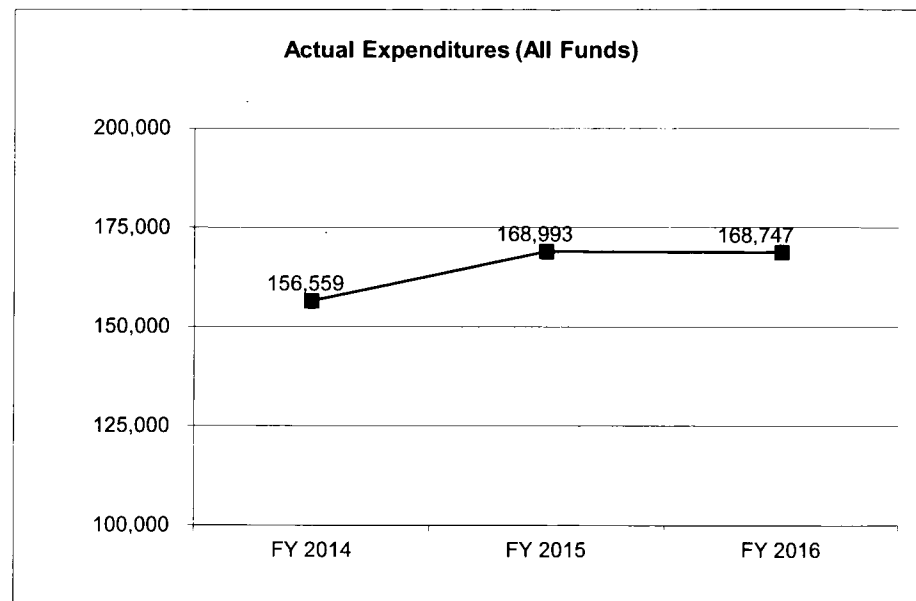
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit	37502C					
Core - Department Administration					HB Section	7.400					
1. CORE FINANCIAL SUMMARY											
FY 2018 Budget Request					FY 2018 Governor's Recommendation						
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	145,628	145,628		PS	0	0	145,628	145,628	
EE	0	0	38,126	38,126		EE	0	0	38,126	38,126	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	0	0	183,754	183,754		Total	0	0	183,754	183,754	
FTE	0.00	0.00	4.82	4.82		FTE	0.00	0.00	4.82	4.82	
Est. Fringe	0	0	89,441	89,441		Est. Fringe	0	0	89,441	89,441	
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.						
Other Funds: DIFP Administrative Fund (0503)					Other Funds: DIFP Administrative Fund (0503)						
2. CORE DESCRIPTION											
Appropriation supports a portion of department administration staff providing department-wide direction and assistance to all divisions within the department through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department-wide issues such as policy and procedure development and setting department objectives.											
3. PROGRAM LISTING (list programs included in this core funding)											
Department Administration											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37502C
Core - Department Administration	HB Section	7.400

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	178,290	180,145	180,898	183,754
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	178,290	180,145	180,898	183,754
Actual Expenditures (All Funds)	156,559	168,993	168,747	N/A
Unexpended (All Funds)	21,731	11,152	12,151	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	21,731	11,152	12,151	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
- (2) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
- (3) Unexpended amount is primarily due to less than anticipated expense and equipment spending.

CORE RECONCILIATION DETAIL

**DIFP
DEPT ADMINISTRATION**

5. CORE RECONCILIATION DETAIL

		Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES								
		PS	4.82	0	0	145,628	145,628	
		EE	0.00	0	0	38,126	38,126	
		Total	4.82	0	0	183,754	183,754	
DEPARTMENT CORE ADJUSTMENTS								
Core Reallocation	1045 3652	PS	(0.00)	0	0	0	(0)	
NET DEPARTMENT CHANGES			(0.00)	0	0	0	(0)	
DEPARTMENT CORE REQUEST								
		PS	4.82	0	0	145,628	145,628	
		EE	0.00	0	0	38,126	38,126	
		Total	4.82	0	0	183,754	183,754	
GOVERNOR'S RECOMMENDED CORE								
		PS	4.82	0	0	145,628	145,628	
		EE	0.00	0	0	38,126	38,126	
		Total	4.82	0	0	183,754	183,754	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
DEPT ADMINISTRATION								
CORE								
PERSONAL SERVICES								
DIFP ADMINISTRATIVE	140,066	1.99	145,628	4.82	145,628	4.82	145,628	4.82
TOTAL - PS	140,066	1.99	145,628	4.82	145,628	4.82	145,628	4.82
EXPENSE & EQUIPMENT								
DIFP ADMINISTRATIVE	28,681	0.00	38,126	0.00	38,126	0.00	38,126	0.00
TOTAL - EE	28,681	0.00	38,126	0.00	38,126	0.00	38,126	0.00
TOTAL	168,747	1.99	183,754	4.82	183,754	4.82	183,754	4.82
GRAND TOTAL	\$168,747	1.99	\$183,754	4.82	\$183,754	4.82	\$183,754	4.82

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 37502C BUDGET UNIT NAME: Department Administration HOUSE BILL SECTION: 7.400	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Department Administration
-----------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
DEPT ADMINISTRATION								
CORE								
PROCUREMENT OFCR II	2,174	0.05	2,303	0.05	2,303	0.05	2,303	0.05
ACCOUNTANT I	224	0.01	0	0.00	0	0.00	0	0.00
ACCOUNTING SPECIALIST I	1,983	0.05	0	0.00	0	0.00	0	0.00
ACCOUNTING SPECIALIST II	0	0.00	1,985	0.05	2,060	0.05	2,060	0.05
ACCOUNTING ANAL I	1,651	0.04	0	0.00	0	0.00	0	0.00
ACCOUNTING ANAL II	83	0.00	2,090	0.05	2,179	0.05	2,179	0.05
BUDGET ANAL III	9,031	0.20	9,365	0.20	9,212	0.20	9,212	0.20
PERSONNEL ANAL II	2,019	0.05	2,053	0.05	2,060	0.05	2,060	0.05
PUBLIC INFORMATION SPEC I	1,420	0.05	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	168	0.00	0	0.00	8,529	0.20	8,529	0.20
PUBLIC INFORMATION ADMSTR	4,458	0.10	4,119	0.20	0	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	12,841	0.20	13,169	0.20	13,708	0.20	13,708	0.20
FISCAL & ADMINISTRATIVE MGR B2	3,194	0.05	3,293	0.05	3,427	0.05	3,427	0.05
HUMAN RESOURCES MGR B1	2,662	0.05	2,657	0.05	2,751	0.05	2,751	0.05
STATE DEPARTMENT DIRECTOR	24,341	0.20	24,797	0.20	24,828	0.20	24,828	0.20
DEPUTY STATE DEPT DIRECTOR	23,762	0.20	24,624	0.20	24,624	0.20	24,624	0.20
DESIGNATED PRINCIPAL ASST DEPT	17,730	0.29	17,879	0.35	17,834	0.30	17,834	0.30
DIVISION DIRECTOR	21,841	0.23	27,705	0.30	28,134	0.30	28,134	0.30
DESIGNATED PRINCIPAL ASST DIV	2,790	0.06	1,849	0.05	1,849	0.05	1,849	0.05
LEGAL COUNSEL	1,041	0.01	0	0.00	0	0.00	0	0.00
MISCELLANEOUS TECHNICAL	517	0.01	0	0.00	0	0.00	0	0.00
SPECIAL ASST PROFESSIONAL	4,172	0.08	5,610	0.10	0	0.00	0	0.00
SPECIAL ASST OFFICE & CLERICAL	1,964	0.06	0	0.00	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	0	0.00	2,130	2.72	2,130	2.87	2,130	2.87
TOTAL - PS	140,066	1.99	145,628	4.82	145,628	4.82	145,628	4.82
TRAVEL, IN-STATE	657	0.00	668	0.00	668	0.00	668	0.00
TRAVEL, OUT-OF-STATE	868	0.00	325	0.00	925	0.00	925	0.00
FUEL & UTILITIES	0	0.00	1,001	0.00	0	0.00	0	0.00
SUPPLIES	16,645	0.00	18,550	0.00	17,951	0.00	17,951	0.00
PROFESSIONAL DEVELOPMENT	1,614	0.00	5,175	0.00	5,175	0.00	5,175	0.00
COMMUNICATION SERV & SUPP	1,939	0.00	2,644	0.00	2,644	0.00	2,644	0.00
PROFESSIONAL SERVICES	5,051	0.00	3,688	0.00	5,688	0.00	5,688	0.00

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DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
DEPT ADMINISTRATION								
CORE								
M&R SERVICES	44	0.00	75	0.00	75	0.00	75	0.00
COMPUTER EQUIPMENT	0	0.00	1,000	0.00	0	0.00	0	0.00
MOTORIZED EQUIPMENT	134	0.00	0	0.00	0	0.00	0	0.00
OFFICE EQUIPMENT	989	0.00	2,500	0.00	2,500	0.00	2,500	0.00
OTHER EQUIPMENT	708	0.00	1,000	0.00	1,000	0.00	1,000	0.00
BUILDING LEASE PAYMENTS	2	0.00	1,000	0.00	1,000	0.00	1,000	0.00
MISCELLANEOUS EXPENSES	30	0.00	500	0.00	500	0.00	500	0.00
TOTAL - EE	28,681	0.00	38,126	0.00	38,126	0.00	38,126	0.00
GRAND TOTAL	\$168,747	1.99	\$183,754	4.82	\$183,754	4.82	\$183,754	4.82
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$168,747	1.99	\$183,754	4.82	\$183,754	4.82	\$183,754	4.82

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.400

Department Administration

Program is found in the following core budget(s): Department Administration

1. What does this program do?

Department Administration staff provide direction and assistance to all divisions within the Department of Insurance, Financial Institutions and Professional Registration through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department wide issues, such as policy and procedure development and setting departmental objectives.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Not applicable.

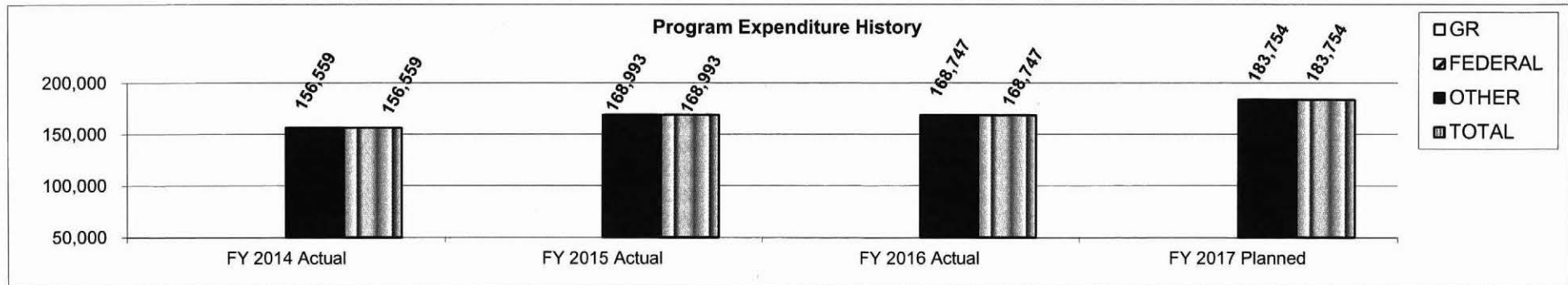
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

DIFP Administrative Fund (0503)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.400

Department Administration

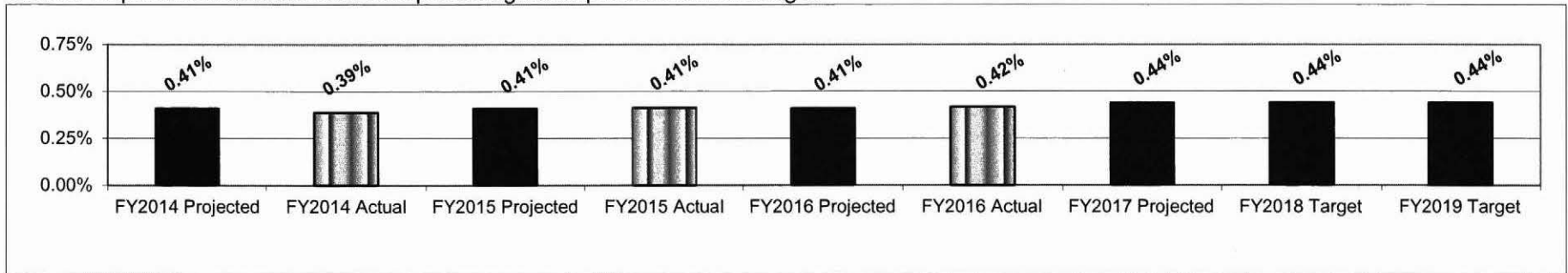
Program is found in the following core budget(s): Department Administration

7a. Provide an effectiveness measure.

None available.

7b. Provide an efficiency measure.

Cost of Department Administration as a percentage of department's total budget.



7c. Provide the number of clients/individuals served, if applicable.

Insurance	234.86 FTE
Finance	118.15 FTE
Credit Unions	15.50 FTE
Professional Registration	<u>224.00 FTE</u>
TOTAL	592.51 FTE

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

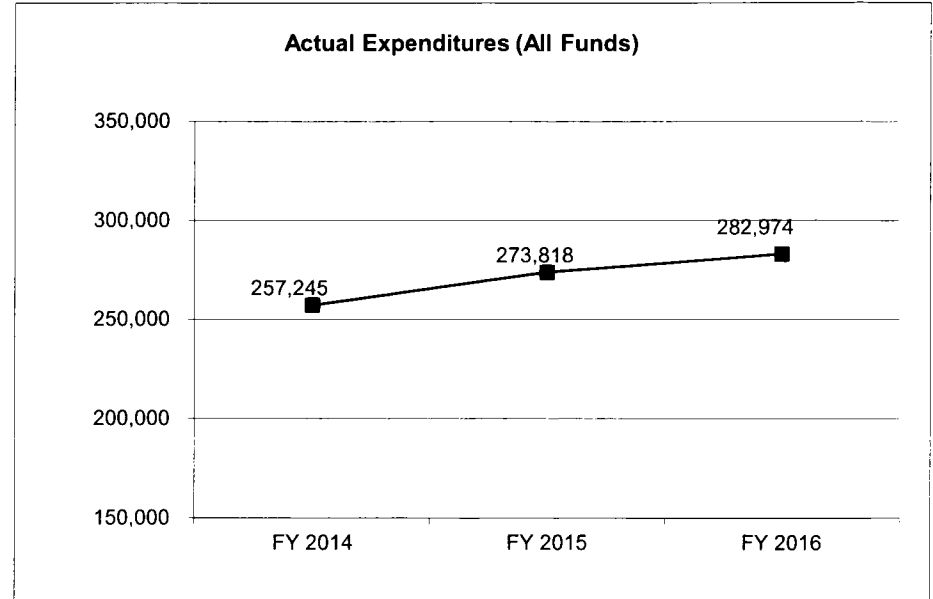
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>37503C</u>						
Core - Department Administration Transfer					HB Section <u>7.405</u>						
1. CORE FINANCIAL SUMMARY											
	FY 2018 Budget Request						FY 2018 Governor's Recommendation				
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	0	0		EE	0	0	0	0	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	400,000	400,000		TRF	0	0	400,000	400,000	
Total	<u>0</u>	<u>0</u>	<u>400,000</u>	<u>400,000</u>		Total	<u>0</u>	<u>0</u>	<u>400,000</u>	<u>400,000</u>	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Finance Fund (0550), Credit Unions Fund (0548), Professional Registration Fees Fund (0689), Insurance Dedicated Fund (0566)						Other Funds: Finance Fund (0550), Credit Unions Fund (0548), Professional Registration Fees Fund (0689), Insurance Dedicated Fund (0566)					
2. CORE DESCRIPTION											
This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff.											
3. PROGRAM LISTING (list programs included in this core funding)											
Department Administration Transfer											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37503C
Core - Department Administration Transfer	HB Section	7.405

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	400,000	400,000	400,000	400,000
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	400,000	400,000	400,000	400,000
Actual Expenditures (All Funds)	257,245	273,818	282,974	N/A
Unexpended (All Funds)	142,755	126,182	117,026	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	142,755	126,182	117,026	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
- (2) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
- (3) Unexpended amount is primarily due to less than anticipated expense and equipment spending.

CORE RECONCILIATION DETAIL

**DIFP
DEPT ADMINISTRATION TRANSFER**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	400,000	400,000	
	Total	0.00	0	0	400,000	400,000	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	400,000	400,000	
	Total	0.00	0	0	400,000	400,000	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	400,000	400,000	
	Total	0.00	0	0	400,000	400,000	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
DEPT ADMINISTRATION TRANSFER								
CORE								
FUND TRANSFERS								
DIVISION OF CREDIT UNIONS	19,473	0.00	40,000	0.00	40,000	0.00	40,000	0.00
DIVISION OF FINANCE	80,033	0.00	125,000	0.00	125,000	0.00	125,000	0.00
INSURANCE DEDICATED FUND	6,578	0.00	35,000	0.00	35,000	0.00	35,000	0.00
PROFESSIONAL REGISTRATION FEES	176,890	0.00	200,000	0.00	200,000	0.00	200,000	0.00
TOTAL - TRF	282,974	0.00	400,000	0.00	400,000	0.00	400,000	0.00
TOTAL	282,974	0.00	400,000	0.00	400,000	0.00	400,000	0.00
GRAND TOTAL	\$282,974	0.00	\$400,000	0.00	\$400,000	0.00	\$400,000	0.00

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DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
DEPT ADMINISTRATION TRANSFER								
CORE								
TRANSFERS OUT	282,974	0.00	400,000	0.00	400,000	0.00	400,000	0.00
TOTAL - TRF	282,974	0.00	400,000	0.00	400,000	0.00	400,000	0.00
GRAND TOTAL	\$282,974	0.00	\$400,000	0.00	\$400,000	0.00	\$400,000	0.00
GENERAL REVENUE								
	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS								
	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS								
	\$282,974	0.00	\$400,000	0.00	\$400,000	0.00	\$400,000	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.405

Department Administration Transfer

Program is found in the following core budget(s): Transfers to Department Administration

1. What does this program do?

This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Not applicable.

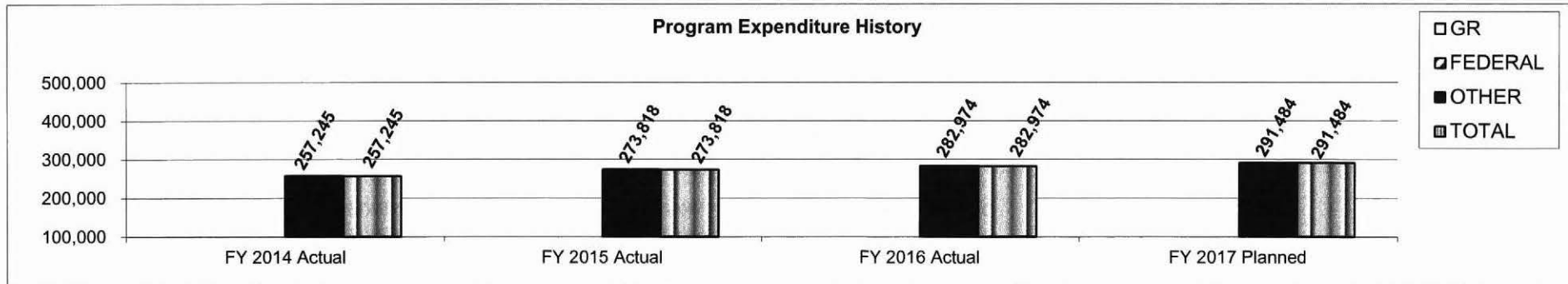
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Credit Unions Fund (0548), Division of Finance Fund (0550), Professional Registration Fees Fund (0689) and the Insurance Dedicated Fund (0566)

7a. Provide an effectiveness measure.

None available.

7b.

Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d.

Provide a customer satisfaction measure, if available.

None available.

CORE DECISION ITEM

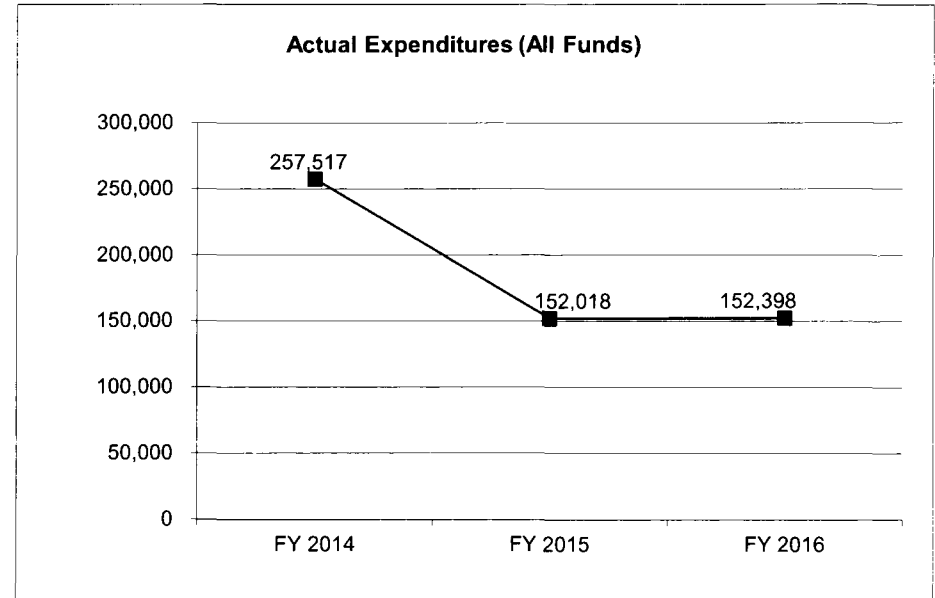
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>37506C</u>						
Core - Implement Federal Grants					HB Section <u>7.410</u>						
1. CORE FINANCIAL SUMMARY											
	FY 2018 Budget Request					FY 2018 Governor's Recommendation					
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	478,096	0	478,096		PS	0	0	0	0	
EE	0	64,511	0	64,511		EE	0	0	0	0	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	<u>0</u>	<u>542,607</u>	<u>0</u>	<u>542,607</u>		Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	
FTE	0.00	21.00	0.00	21.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	<u>0</u>	<u>346,988</u>	<u>0</u>	<u>346,988</u>		Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds:						Other Funds:					
2. CORE DESCRIPTION											
<p>The department received a grant through the federal Department of Health and Human Services for extending, enhancing and increasing resources to the department's current consumer assistance program. The funding allowed the department to assist Missouri consumers with health coverage questions, provide consumer education and outreach activities, and assist consumers with finding and enrolling in health care plans best suited for their needs. This grant ended in FY 2016. No future grants are anticipated.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
<p>Implement Federal Grants</p>											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37506C
Core - Implement Federal Grants	HB Section	7.410

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	523,348	530,723	533,233	524,607
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	523,348	530,723	533,233	524,607
Actual Expenditures (All Funds)	257,517	152,018	152,398	N/A
Unexpended (All Funds)	265,831	378,705	380,835	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	265,831	378,705	380,835	N/A
Other	0	0	0	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount due to less grant funds available.
- (2) Unexpended amount due to less grant funds available.
- (3) Unexpended amount due to less grant funds available.

CORE RECONCILIATION DETAIL

**DIFP
IMPLEMENT FEDERAL GRANTS**

5. CORE RECONCILIATION DETAIL

		Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES								
		PS	21.00	0	478,096	0	478,096	
		EE	0.00	0	64,511	0	64,511	
		Total	21.00	0	542,607	0	542,607	
DEPARTMENT CORE REQUEST								
		PS	21.00	0	478,096	0	478,096	
		EE	0.00	0	64,511	0	64,511	
		Total	21.00	0	542,607	0	542,607	
GOVERNOR'S ADDITIONAL CORE ADJUSTMENTS								
Core Reduction	1857 7885	PS	(21.00)	0	(478,096)	0	(478,096)	Core reduction of federal authority no longer needed.
Core Reduction	1857 7886	EE	0.00	0	(64,511)	0	(64,511)	Core reduction of federal authority no longer needed.
NET GOVERNOR CHANGES			(21.00)	0	(542,607)	0	(542,607)	
GOVERNOR'S RECOMMENDED CORE								
		PS	0.00	0	0	0	0	
		EE	0.00	0	0	0	0	
		Total	0.00	0	0	0	0	

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
IMPLEMENT FEDERAL GRANTS								
CORE								
PERSONAL SERVICES								
FEDERAL - MDI	145,655	3.36	478,096	21.00	478,096	21.00	0	0.00
TOTAL - PS	145,655	3.36	478,096	21.00	478,096	21.00	0	0.00
EXPENSE & EQUIPMENT								
FEDERAL - MDI	6,743	0.00	64,511	0.00	64,511	0.00	0	0.00
TOTAL - EE	6,743	0.00	64,511	0.00	64,511	0.00	0	0.00
TOTAL	152,398	3.36	542,607	21.00	542,607	21.00	0	0.00
GRAND TOTAL	\$152,398	3.36	\$542,607	21.00	\$542,607	21.00	\$0	0.00

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DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
IMPLEMENT FEDERAL GRANTS								
CORE								
PROCUREMENT OFCR II	836	0.02	0	0.00	0	0.00	0	0.00
ACCOUNTANT I	124	0.00	0	0.00	0	0.00	0	0.00
ACCOUNTING SPECIALIST I	173	0.00	0	0.00	0	0.00	0	0.00
ACCOUNTING ANAL I	10	0.00	0	0.00	0	0.00	0	0.00
INVESTIGATOR II	18,832	0.50	101,510	4.00	101,510	4.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	458	0.01	5,886	0.10	5,886	0.10	0	0.00
DESIGNATED PRINCIPAL ASST DEPT	3,251	0.05	5,717	0.10	5,717	0.10	0	0.00
DIVISION DIRECTOR	4,763	0.06	8,294	0.10	8,294	0.10	0	0.00
SENIOR COUNSEL	3,872	0.05	5,717	0.10	5,717	0.10	0	0.00
MISCELLANEOUS PROFESSIONAL	1,363	0.03	1,974	3.10	1,974	3.10	0	0.00
CONSUMER COMPLAINT SPEC I	15,669	0.45	91,363	4.00	91,363	4.00	0	0.00
CONSUMER COMPLAINT SPEC II	16,356	0.42	153,093	6.75	153,093	6.75	0	0.00
CONSUMER COMPLAIN SPEC III	43,103	1.04	42,216	1.75	42,216	1.75	0	0.00
MANAGER	36,845	0.73	62,326	1.00	62,326	1.00	0	0.00
TOTAL - PS	145,655	3.36	478,096	21.00	478,096	21.00	0	0.00
TRAVEL, IN-STATE	813	0.00	1,102	0.00	1,102	0.00	0	0.00
TRAVEL, OUT-OF-STATE	2,116	0.00	2,096	0.00	2,096	0.00	0	0.00
SUPPLIES	0	0.00	4,880	0.00	4,880	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,345	0.00	3,880	0.00	3,880	0.00	0	0.00
COMMUNICATION SERV & SUPP	1,068	0.00	11,780	0.00	11,780	0.00	0	0.00
PROFESSIONAL SERVICES	1,401	0.00	19,948	0.00	19,948	0.00	0	0.00
COMPUTER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	19,825	0.00	19,825	0.00	0	0.00
TOTAL - EE	6,743	0.00	64,511	0.00	64,511	0.00	0	0.00
GRAND TOTAL	\$152,398	3.36	\$542,607	21.00	\$542,607	21.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$152,398	3.36	\$542,607	21.00	\$542,607	21.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.410

Implement Federal Grants

Program is found in the following core budget(s): Implement Federal Grants

1. What does this program do?

The department used this appropriation for a grant received from the federal Department of Health and Human Services. The grant was for extending, enhancing and increasing resources to the department's current consumer assistance program. The grant ended on December 23, 2015.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Federal CFDA - 93.519

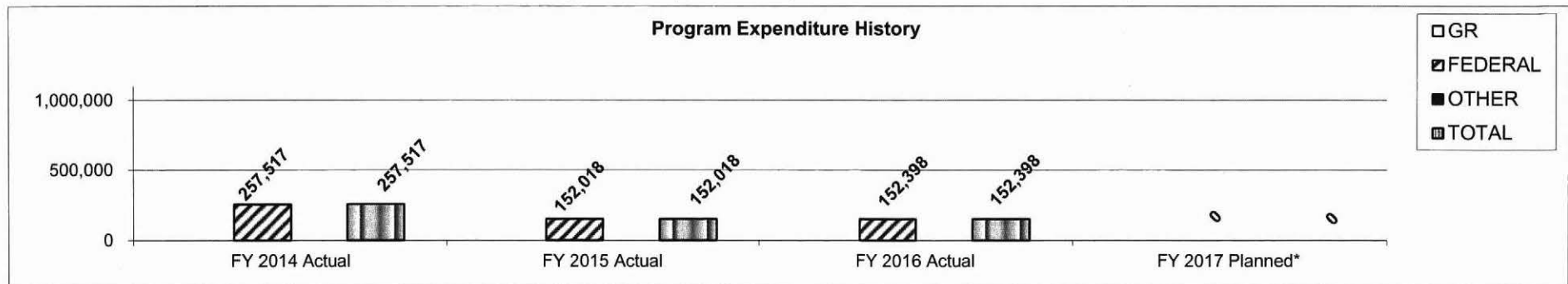
3. Are there federal matching requirements? If yes, please explain.

N/A

4. Is this a federally mandated program? If yes, please explain.

N/A

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



*Currently, no consumer assistance program grant available.

6. What are the sources of the "Other " funds?

Not applicable.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.410

Implement Federal Grants

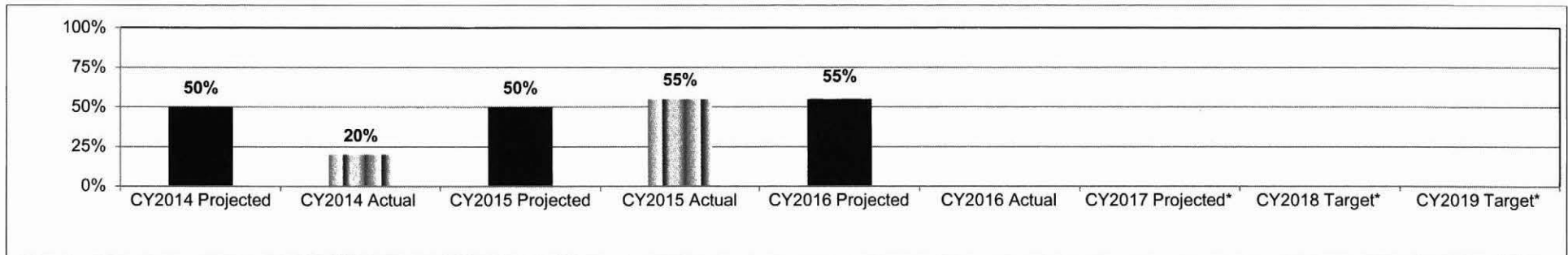
Program is found in the following core budget(s): Implement Federal Grants

7a. Provide an effectiveness measure.

None available.

7b. Provide an efficiency measure.

Percent of consumer complaints regarding health insurance closed in less than 60 days.



*Currently, no consumer assistance program grant available.

7c. Provide the number of clients/individuals served, if applicable.

	CY2014		CY2015		CY2016		CY2017	CY2018	CY2019
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.*	Target*	Target*
Complaints	1,000	1,550	1,000	2,100	1,000	0			
Consumer Education Contacts	10,000	12,850	10,000	19,364	10,000	0			

*Currently, no consumer assistance program grant available.

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

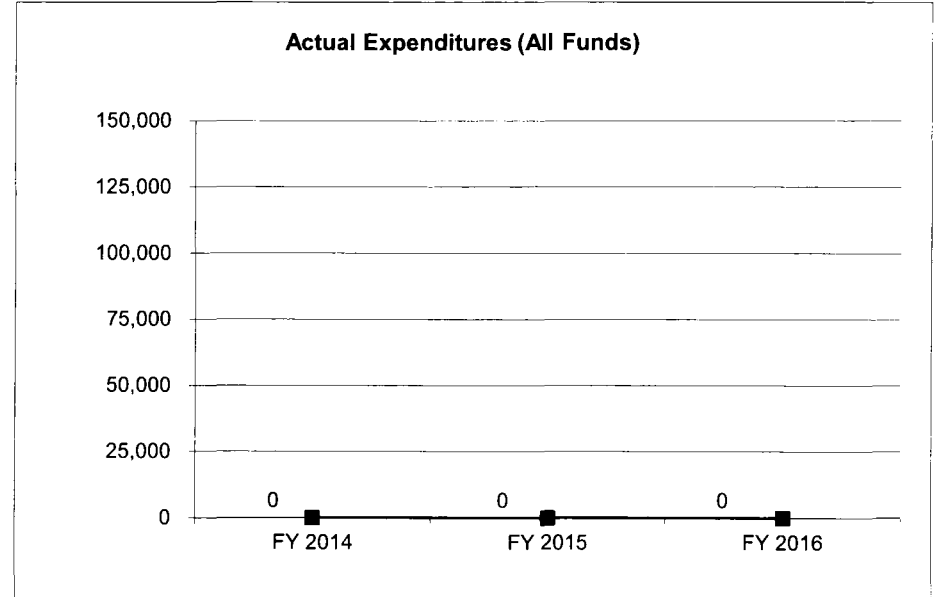
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>37507C</u>						
Core - Federal Grant Transfer					HB Section <u>7.415</u>						
1. CORE FINANCIAL SUMMARY											
	FY 2018 Budget Request						FY 2018 Governor's Recommendation				
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0	0	PS	0	0	0	0	0
EE	0	0	0	0	0	EE	0	0	0	0	0
PSD	0	0	0	0	0	PSD	0	0	0	0	0
TRF	0	150,000	0	150,000		TRF	0	0	0	0	0
Total	<u>0</u>	<u>150,000</u>	<u>0</u>	<u>150,000</u>		Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>		Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds:						Other Funds:					
2. CORE DESCRIPTION											
<p>This transfer appropriation provided funds from federal grant funds to the Insurance Dedicated Fund to reimburse the Insurance Dedicated Fund for the cost of salaries, fringe benefits and expenses of existing staff working on federal grants. The federal grant has ended. Transfer appropriation is no longer needed.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
Federal Grant Transfer											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37507C
Core - Federal Grant Transfer	HB Section	7.415

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	150,000	150,000	150,000	150,000
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	150,000	150,000	150,000	150,000
Actual Expenditures (All Funds)	0	0	0	N/A
Unexpended (All Funds)	150,000	150,000	150,000	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	150,000	150,000	150,000	N/A
Other	0	0	0	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Transfers were not needed in FY 2014.
- (2) Transfers were not needed in FY 2015.
- (3) Transfers were not needed in FY 2016.

CORE RECONCILIATION DETAIL

DIFP
FEDERAL GRANT TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	150,000	0	150,000	
	Total	0.00	0	150,000	0	150,000	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	150,000	0	150,000	
	Total	0.00	0	150,000	0	150,000	
GOVERNOR'S ADDITIONAL CORE ADJUSTMENTS							
Core Reduction	1855 T364	TRF	0.00	0	(150,000)	0	(150,000) Core reduction of federal authority no longer needed.
NET GOVERNOR CHANGES		0.00	0	(150,000)	0	(150,000)	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	0	0	
	Total	0.00	0	0	0	0	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
FEDERAL GRANT TRANSFER								
CORE								
FUND TRANSFERS								
FEDERAL - MDI	0	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL - TRF	0	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL	0	0.00	150,000	0.00	150,000	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$150,000	0.00	\$150,000	0.00	\$0	0.00

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DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
FEDERAL GRANT TRANSFER								
CORE								
TRANSFERS OUT	0	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL - TRF	0	0.00	150,000	0.00	150,000	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$150,000	0.00	\$150,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$150,000	0.00	\$150,000	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.415

Federal Grant Transfer

Program is found in the following core budget(s): Federal Grant Transfer

1. What does this program do?

This transfer appropriation provides funds from federal grant funds to the Insurance Dedicated Fund to reimburse the Insurance Dedicated Fund for the cost of salaries, fringe benefits and expenses of existing staff working on federal grants.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Dependent on federal grant recieved.

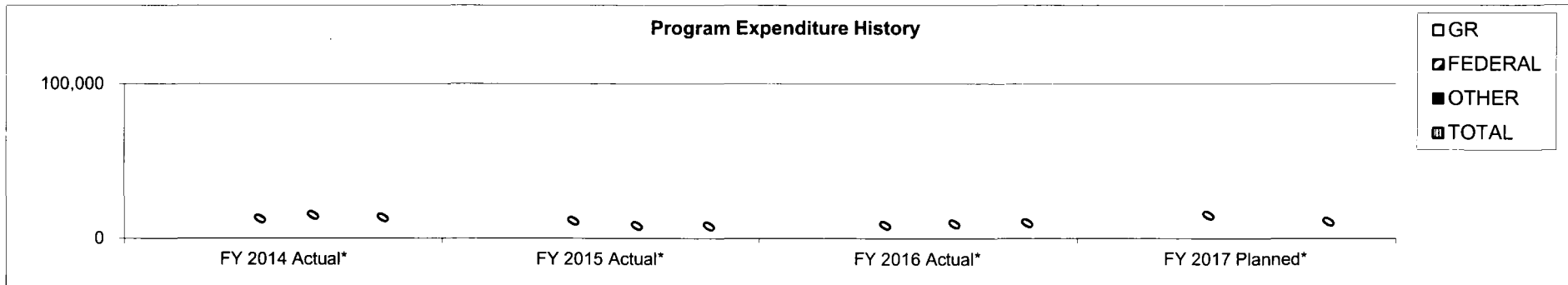
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



*Transfers were not needed in FY 2014, FY 2015 or FY 2016. Transfer not expected for FY 2017.

6. What are the sources of the "Other " funds?

Not applicable.

7a. Provide an effectiveness measure.

None available.

7b.

Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d.

Provide a customer satisfaction measure, if available.

None available.

CORE DECISION ITEM

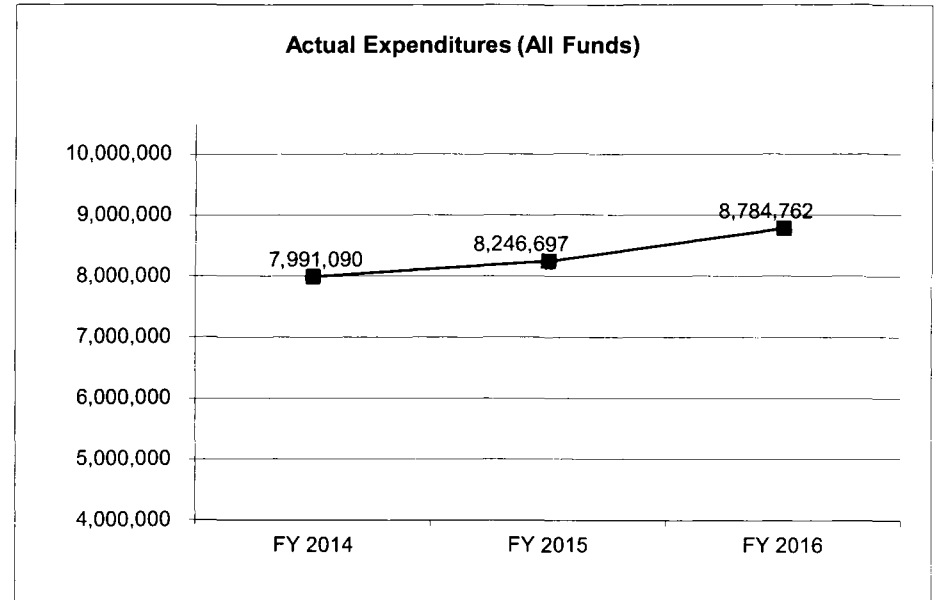
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit 37501C						
Insurance											
Core - Insurance Operations					HB Section 7.420						
1. CORE FINANCIAL SUMMARY											
FY 2018 Budget Request					FY 2018 Governor's Recommendation						
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	8,306,262	8,306,262		PS	0	0	8,306,262	8,306,262	
EE	0	0	1,985,643	1,985,643		EE	0	0	1,985,643	1,985,643	
PSD	0	0	5,000	5,000		PSD	0	0	5,000	5,000	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	0	0	10,296,905	10,296,905		Total	0	0	10,296,905	10,296,905	
FTE	0.00	0.00	170.96	170.96		FTE	0.00	0.00	170.96	170.96	
Est. Fringe	0	0	4,029,865	4,029,865		Est. Fringe	0	0	4,029,865	4,029,865	
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.						Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					
Other Funds: Insurance Dedicated Fund (0566) Consumer Restitution Fund (0792)						Other Funds: Insurance Dedicated Fund (0566) Consumer Restitution Fund (0792)					
2. CORE DESCRIPTION											
Core request for Insurance Operations. The department is responsible for overseeing the insurance industry's compliance with all Missouri insurance laws and regulations and protecting the interests of the Missouri insurance-buying consumer. The department licenses over 164,000 insurance producers (agents, brokers and agencies). The department, acting on complaints from consumers, investigates companies and producers accused of insurance law and regulation violations. The department certifies for collection over \$291 million in premium taxes paid by insurance companies for deposit into general revenue and school funds. The department provides information to over 37,000 consumers each year through a statewide toll-free hotline and the department's website and continues to increase the amount of information and services available to both consumers and industry through the department's website. Core includes the Consumer Restitution Fund which is for preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director.											
3. PROGRAM LISTING (list programs included in this core funding)											
Director's Office Insurance Consumer Affairs Division Insurance Company Regulation Division						Insurance Market Regulation Division Administration Division Insurance Consumer Restitution Fund					

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37501C
Insurance		
Core - Insurance Operations	HB Section	7.420

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	9,003,319	9,250,572	9,856,580	10,333,366
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	9,003,319	9,250,572	9,856,580	10,333,366
Actual Expenditures (All Funds)	7,991,090	8,246,697	8,784,762	N/A
Unexpended (All Funds)	1,012,229	1,003,875	1,071,818	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,012,229	1,003,875	1,071,818	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (2) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (3) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

CORE RECONCILIATION DETAIL

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INSURANCE OPERATIONS

5. CORE RECONCILIATION DETAIL

				Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES										
				PS	170.96	0	0	8,306,262	8,306,262	
				EE	0.00	0	0	2,022,104	2,022,104	
				PD	0.00	0	0	5,000	5,000	
				Total	170.96	0	0	10,333,366	10,333,366	
DEPARTMENT CORE ADJUSTMENTS										
Reduce One Time	799	9908		EE	0.00	0	0	(36,461)	(36,461)	1X Expenditures - HB 50 & HB 709 FY2017
Core Reallocation	1046	9907		PS	0.00	0	0	0	0	
NET DEPARTMENT CHANGES					0.00	0	0	(36,461)	(36,461)	
DEPARTMENT CORE REQUEST										
				PS	170.96	0	0	8,306,262	8,306,262	
				EE	0.00	0	0	1,985,643	1,985,643	
				PD	0.00	0	0	5,000	5,000	
				Total	170.96	0	0	10,296,905	10,296,905	
GOVERNOR'S RECOMMENDED CORE										
				PS	170.96	0	0	8,306,262	8,306,262	
				EE	0.00	0	0	1,985,643	1,985,643	
				PD	0.00	0	0	5,000	5,000	
				Total	170.96	0	0	10,296,905	10,296,905	

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DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE OPERATIONS								
CORE								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	7,499,863	144.43	8,306,262	170.96	8,306,262	170.96	8,306,262	170.96
TOTAL - PS	7,499,863	144.43	8,306,262	170.96	8,306,262	170.96	8,306,262	170.96
EXPENSE & EQUIPMENT								
INSURANCE DEDICATED FUND	1,284,899	0.00	2,022,104	0.00	1,985,643	0.00	1,985,643	0.00
TOTAL - EE	1,284,899	0.00	2,022,104	0.00	1,985,643	0.00	1,985,643	0.00
PROGRAM-SPECIFIC								
CONSUMER RESTITUTION FUND	0	0.00	5,000	0.00	5,000	0.00	5,000	0.00
TOTAL - PD	0	0.00	5,000	0.00	5,000	0.00	5,000	0.00
TOTAL	8,784,762	144.43	10,333,366	170.96	10,296,905	170.96	10,296,905	170.96
Rate Reviews - SB 865 & 866 - 1375001								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	0	0.00	250,772	4.00
TOTAL - PS	0	0.00	0	0.00	0	0.00	250,772	4.00
EXPENSE & EQUIPMENT								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	0	0.00	36,768	0.00
TOTAL - EE	0	0.00	0	0.00	0	0.00	36,768	0.00
TOTAL	0	0.00	0	0.00	0	0.00	287,540	4.00
NAIC Standard - SB 164 - 1375002								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	0	0.00	172,000	1.60
TOTAL - PS	0	0.00	0	0.00	0	0.00	172,000	1.60
EXPENSE & EQUIPMENT								
INSURANCE DEDICATED FUND	0	0.00	0	0.00	0	0.00	16,096	0.00
TOTAL - EE	0	0.00	0	0.00	0	0.00	16,096	0.00
TOTAL	0	0.00	0	0.00	0	0.00	188,096	1.60
GRAND TOTAL	\$8,784,762	144.43	\$10,333,366	170.96	\$10,296,905	170.96	\$10,772,541	176.56

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 37501C BUDGET UNIT NAME: Insurance Operations HOUSE BILL SECTION: 7.420	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Insurance Operations	
1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.		
DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION	
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.	
2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.		
PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.
3. Please explain how flexibility was used in the prior and/or current years.		
PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE	
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE OPERATIONS								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	34,045	1.03	33,841	1.00	88,841	3.00	88,841	3.00
OFFICE SUPPORT ASSISTANT	6,755	0.29	23,658	1.00	0	0.00	0	0.00
SR OFFICE SUPPORT ASSISTANT	146,948	5.57	136,003	6.50	120,791	5.16	120,791	5.16
OFFICE SERVICES ASST	29,004	1.00	29,463	1.00	29,580	1.00	29,580	1.00
PROCUREMENT OFCR II	41,312	0.93	43,756	0.95	43,756	0.95	43,756	0.95
ACCOUNT CLERK II	29,412	1.00	30,009	1.00	0	0.00	0	0.00
ACCOUNTANT I	4,263	0.12	0	0.00	0	0.00	0	0.00
ACCOUNTING SPECIALIST I	37,679	0.95	0	0.00	0	0.00	0	0.00
ACCOUNTING SPECIALIST II	0	0.00	37,722	0.95	39,140	0.95	39,140	0.95
ACCOUNTING ANAL I	31,360	0.79	0	0.00	0	0.00	0	0.00
ACCOUNTING ANAL II	1,568	0.04	39,164	0.95	41,401	0.95	41,401	0.95
BUDGET ANAL III	36,125	0.80	36,865	0.80	36,848	0.80	36,848	0.80
ACCOUNTING TECHNICIAN	0	0.00	0	0.00	32,148	1.00	32,148	1.00
PERSONNEL ANAL II	38,361	0.95	39,128	0.95	39,140	0.95	39,140	0.95
RESEARCH ANAL II	10,560	0.29	36,473	1.00	0	0.00	0	0.00
RESEARCH ANAL III	108,637	2.71	116,079	3.00	110,079	3.00	110,079	3.00
RESEARCH ANAL IV	62,664	1.00	64,043	1.00	64,043	1.00	64,043	1.00
PUBLIC INFORMATION SPEC I	12,781	0.41	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	1,514	0.04	0	0.00	76,760	1.80	76,760	1.80
PUBLIC INFORMATION ADMSTR	40,122	0.86	37,069	0.90	0	0.00	0	0.00
PLANNER II	46,932	1.00	47,606	1.00	47,868	1.00	47,868	1.00
INVESTIGATOR II	356,947	9.39	417,017	12.00	417,017	12.00	417,017	12.00
INVESTIGATOR III	0	0.00	39,021	1.00	0	0.00	0	0.00
INSURANCE PRODUCT ANALYST I	76,998	2.46	0	0.00	126,824	4.00	126,824	4.00
INSURANCE PRODUCT ANALYST II	157,509	4.62	283,470	10.00	236,970	7.00	236,970	7.00
INSURANCE PRODUCT ANALYST III	77,574	1.92	107,721	3.00	81,196	2.00	81,196	2.00
INSURANCE FINANCIAL ANAL SPEC	153,480	3.73	162,883	3.80	154,883	3.90	154,883	3.90
WORKERS COMPENSATION SPEC	62,196	1.59	81,659	2.00	41,416	1.00	41,416	1.00
INSURANCE FINANCIAL ANALYST I	30,161	0.98	0	0.00	31,608	1.00	31,608	1.00
INSURANCE FINANCIAL ANALYST II	38,232	1.00	76,970	2.00	71,970	2.00	71,970	2.00
INSURANCE LICENSING TECH I	74,628	3.00	111,312	5.00	0	0.00	0	0.00
INSURANCE LICENSING TECH II	128,796	4.00	92,399	4.00	177,399	6.00	177,399	6.00

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE OPERATIONS								
CORE								
TAX AUDITOR II	161,724	4.00	164,958	5.00	164,958	5.00	164,958	5.00
PROF REG LICENSING/CERT SUPV	12,951	0.34	0	0.00	0	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	51,364	0.80	52,677	0.80	54,832	0.80	54,832	0.80
FISCAL & ADMINISTRATIVE MGR B2	60,690	0.94	62,555	0.95	65,113	0.95	65,113	0.95
HUMAN RESOURCES MGR B1	50,587	0.95	54,487	0.95	52,269	0.95	52,269	0.95
INVESTIGATION MGR B1	53,984	1.00	50,796	1.00	55,714	1.00	55,714	1.00
INSURANCE REGULATORY MGR B1	163,360	3.00	151,223	3.00	151,223	3.00	151,223	3.00
INSURANCE REGULATORY MGR B2	115,409	2.04	118,645	2.00	112,145	2.00	112,145	2.00
STATE DEPARTMENT DIRECTOR	97,364	0.80	111,676	0.80	99,312	0.80	99,312	0.80
DEPUTY STATE DEPT DIRECTOR	95,047	0.80	98,495	0.80	98,495	0.80	98,495	0.80
DESIGNATED PRINCIPAL ASST DEPT	201,709	3.52	214,372	3.65	219,932	3.70	219,932	3.70
DIVISION DIRECTOR	256,028	2.72	366,308	3.75	272,308	2.70	272,308	2.70
DESIGNATED PRINCIPAL ASST DIV	346,815	7.34	188,636	4.00	278,636	6.95	278,636	6.95
PARALEGAL	62,555	2.00	99,661	3.00	90,661	3.00	90,661	3.00
LEGAL COUNSEL	208,559	3.99	245,819	5.00	252,319	4.95	252,319	4.95
CHIEF COUNSEL	90,660	1.00	91,173	1.00	92,906	1.00	92,906	1.00
SENIOR COUNSEL	401,900	5.92	454,167	7.00	427,872	7.00	427,872	7.00
ACTUARY	287,797	2.29	420,954	3.00	329,954	2.75	329,954	2.75
MISCELLANEOUS PROFESSIONAL	76,710	2.96	16,320	0.50	16,320	0.50	16,320	0.50
SPECIAL ASST PROFESSIONAL	106,544	2.48	132,223	2.90	116,223	3.00	116,223	3.00
INVESTIGATIVE CONSULTANT	57,661	1.01	58,372	1.00	58,372	1.00	58,372	1.00
AUDIT MANAGER-FINANCIAL EXAM	183,010	1.90	284,933	2.90	276,933	2.90	276,933	2.90
CHIEF FINANCIAL EXAMINER	88,626	0.88	102,855	1.00	90,567	0.88	90,567	0.88
CONSUMER COMPLAINT SPEC I	139,964	3.92	0	0.00	0	0.00	0	0.00
CONSUMER COMPLAINT SPEC II	360,503	9.30	303,648	13.51	443,948	16.00	443,948	16.00
CONSUMER COMPLAIN SPEC III	82,133	1.96	277,429	9.00	277,429	9.00	277,429	9.00
CHIEF MARKET CONDUCT EXAM	96,145	1.00	98,067	1.00	98,067	1.00	98,067	1.00
M C EXAMINER II	5,484	0.10	97,920	2.00	5,570	0.10	5,570	0.10
M C EXAMINER III	282,094	4.10	215,231	2.90	325,231	5.10	325,231	5.10
EXAMINER-IN-CHARGE MC	12,149	0.14	4,410	0.05	8,820	0.10	8,820	0.10
AUDIT MANAGER-MARKET CONDUCT	182,716	1.96	185,352	2.00	90,250	0.95	90,250	0.95
FINANCIAL EXAMINER II	86,227	1.59	108,779	2.00	108,779	2.00	108,779	2.00

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE OPERATIONS								
CORE								
FINANCIAL EXAMINER III	563,864	7.49	665,643	9.70	665,643	10.00	665,643	10.00
EXAMINER-IN-CHARGE FINANCIAL	207,954	2.30	344,538	4.00	344,538	4.00	344,538	4.00
REINSURANCE EXAMINER	114,210	1.41	171,706	2.00	116,706	1.50	116,706	1.50
CAPTIVE FINANCIAL EX III	82,279	1.11	64,664	1.00	71,300	0.92	71,300	0.92
SR EXAMINER - IN CHARGE	58,557	0.63	0	0.00	114,000	1.20	114,000	1.20
MANAGER	117,967	2.27	104,239	3.00	149,239	3.00	149,239	3.00
TOTAL - PS	7,499,863	144.43	8,306,262	170.96	8,306,262	170.96	8,306,262	170.96
TRAVEL, IN-STATE	82,850	0.00	101,663	0.00	101,663	0.00	101,663	0.00
TRAVEL, OUT-OF-STATE	121,950	0.00	111,000	0.00	123,000	0.00	123,000	0.00
FUEL & UTILITIES	0	0.00	1,001	0.00	1,001	0.00	1,001	0.00
SUPPLIES	176,361	0.00	251,674	0.00	251,674	0.00	251,674	0.00
PROFESSIONAL DEVELOPMENT	125,856	0.00	217,661	0.00	217,661	0.00	217,661	0.00
COMMUNICATION SERV & SUPP	92,145	0.00	174,944	0.00	174,944	0.00	174,944	0.00
PROFESSIONAL SERVICES	585,130	0.00	712,702	0.00	712,702	0.00	712,702	0.00
HOUSEKEEPING & JANITORIAL SERV	108	0.00	8,001	0.00	501	0.00	501	0.00
M&R SERVICES	2,228	0.00	53,545	0.00	49,045	0.00	49,045	0.00
COMPUTER EQUIPMENT	0	0.00	11,000	0.00	11,000	0.00	11,000	0.00
MOTORIZED EQUIPMENT	13,280	0.00	0	0.00	0	0.00	0	0.00
OFFICE EQUIPMENT	40,559	0.00	145,409	0.00	108,948	0.00	108,948	0.00
OTHER EQUIPMENT	8,872	0.00	15,000	0.00	15,000	0.00	15,000	0.00
PROPERTY & IMPROVEMENTS	10,881	0.00	135,001	0.00	135,001	0.00	135,001	0.00
BUILDING LEASE PAYMENTS	12,191	0.00	25,001	0.00	25,001	0.00	25,001	0.00
EQUIPMENT RENTALS & LEASES	1,824	0.00	17,501	0.00	17,501	0.00	17,501	0.00
MISCELLANEOUS EXPENSES	10,664	0.00	40,000	0.00	40,000	0.00	40,000	0.00
REBILLABLE EXPENSES	0	0.00	1,001	0.00	1,001	0.00	1,001	0.00
TOTAL - EE	1,284,899	0.00	2,022,104	0.00	1,985,643	0.00	1,985,643	0.00

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE OPERATIONS								
CORE								
PROGRAM DISTRIBUTIONS	0	0.00	5,000	0.00	5,000	0.00	5,000	0.00
TOTAL - PD	0	0.00	5,000	0.00	5,000	0.00	5,000	0.00
GRAND TOTAL	\$8,784,762	144.43	\$10,333,366	170.96	\$10,296,905	170.96	\$10,296,905	170.96
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$8,784,762	144.43	\$10,333,366	170.96	\$10,296,905	170.96	\$10,296,905	170.96

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420

Director's Office

Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Director's Office provides oversight and management of all department activities. The Director's Office is responsible for policy decisions, regulation, legislation and communications. The Director's Office also includes legal and insurance receivership activities. The department's legal section provides counsel to the Director's Office and each division and section and represents the department directly before courts and the Administrative Hearing Commission. When a judge orders an insurance company into receivership, the director is responsible for either rehabilitating the company or liquidating it as provided by statute and court order.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statutes: Chapters 325, 354 and 374-385 RSMo.

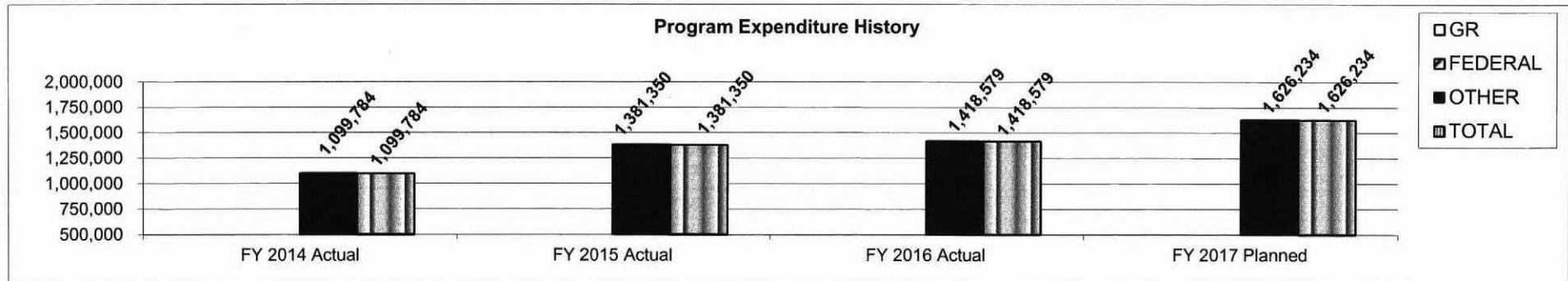
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

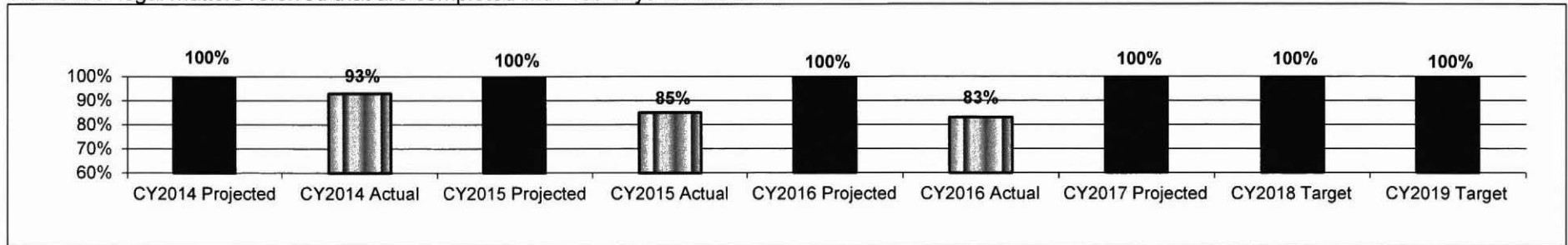
HB Section(s): 7.420

Director's Office

Program is found in the following core budget(s): Insurance Operations

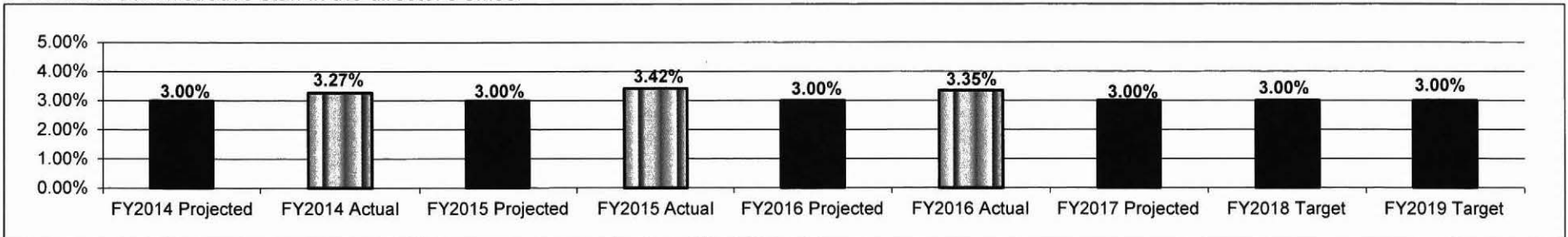
7a. Provide an effectiveness measure.

Percent of legal matters referred that are completed with 180 days of referral.



7b. Provide an efficiency measure.

Percent of administrative staff in the director's office.



7c. Provide the number of clients/individuals served, if applicable.

None available.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420

Consumer Affairs Division

Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Consumer Affairs Division answers questions from the public about insurance companies, contracts and citizen concerns through the department's consumer hotline (1-800-726-7390). The division also provides insurance education and outreach activities to Missouri citizens. In disputed insurance claims, the division acts as a liaison between the consumer and the insurance company. The division investigates complaints submitted by the public alleging unfair or unlawful acts committed by insurance companies and agencies, licensed insurance agents and brokers, bail bond agents, public adjusters, sellers of motor vehicle service contracts and navigators. The division investigates unlicensed insurance activities, reviews license applications and reviews reports of insurance fraud submitted by insurance companies and consumers.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 374.085 RSMo.

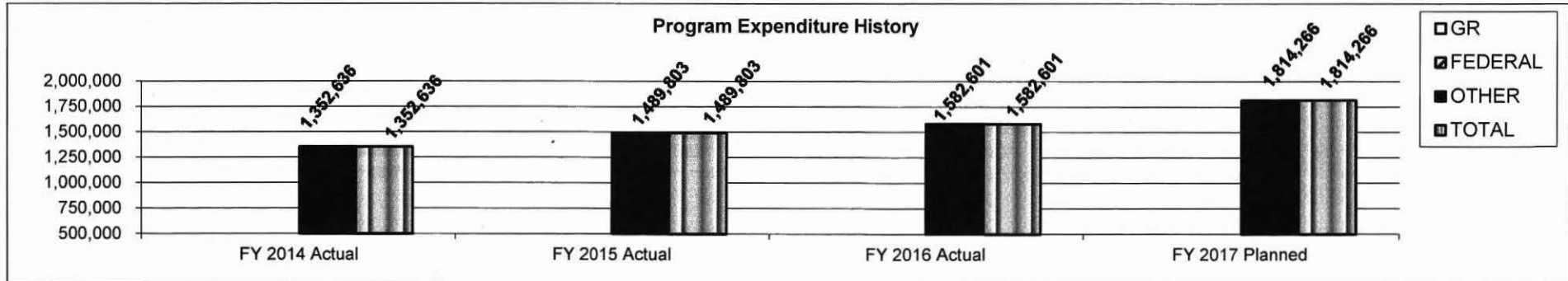
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

7a. Provide an effectiveness measure.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

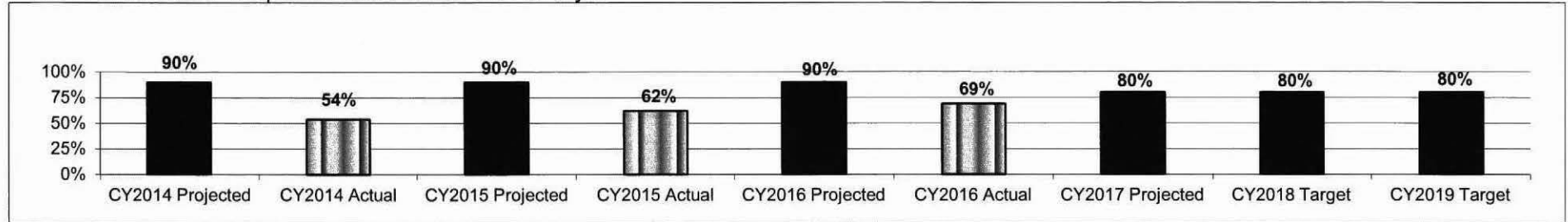
HB Section(s): 7.420

Consumer Affairs Division

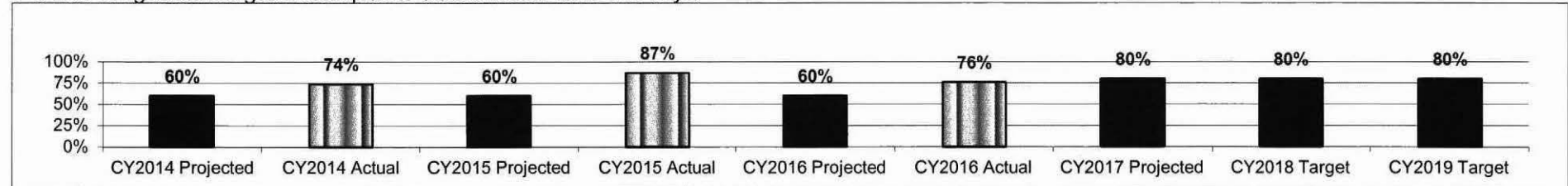
Program is found in the following core budget(s): Insurance Operations

7b. Provide an efficiency measure.

Percent of consumer complaints closed in less than 60 days.



Percent of agent investigation complaints closed in less than 120 days.



7c. Provide the number of clients/individuals served, if applicable.

	CY2014		CY2015		CY2016		CY2017	CY2018	CY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Consumer Complaints	3,000	4,290	3,000	3,248	3,000	2,936	3,000	3,000	3,000
Agent Investigations	1,000	755	1,000	946	900	972	750	900	900
Consumer Phone Calls	22,000	27,112	22,000	24,761	21,000	19,998	22,000	22,000	22,000
Inquiries	9,000	9,264	9,000	7,792	9,000	4,076	9,000	9,000	9,000
Walk-ins	100	70	100	55	75	49	75	75	75
Outreach Events						9,000	10,000	10,000	10,000

The division added outreach events as a measure for 2016.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

FY 2017 PLANNED

	Insurance Operations	Insurance Examinations	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	3,017,067	2,358,726	5,375,793
TOTAL	3,017,067	2,358,726	5,375,793

1. What does this program do?

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for surplus lines taxes and admission fees due to Missouri and for insurance companies' deposits held to benefit policyholders.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 148, 287, 374, 375, 376 and 384 RSMo.

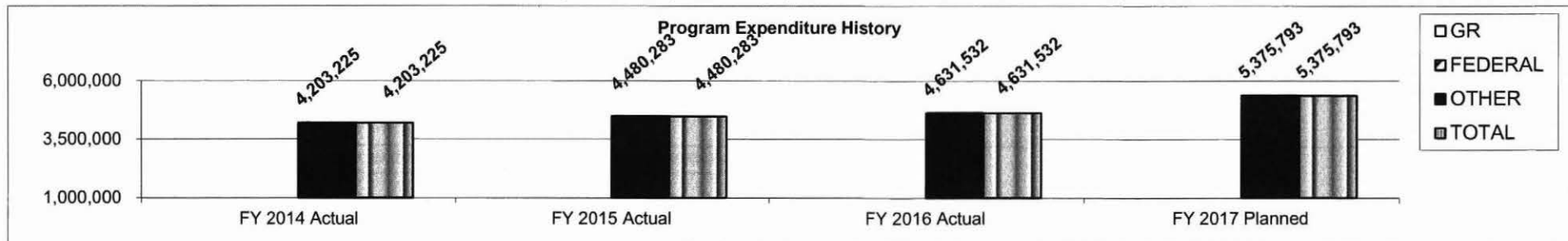
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

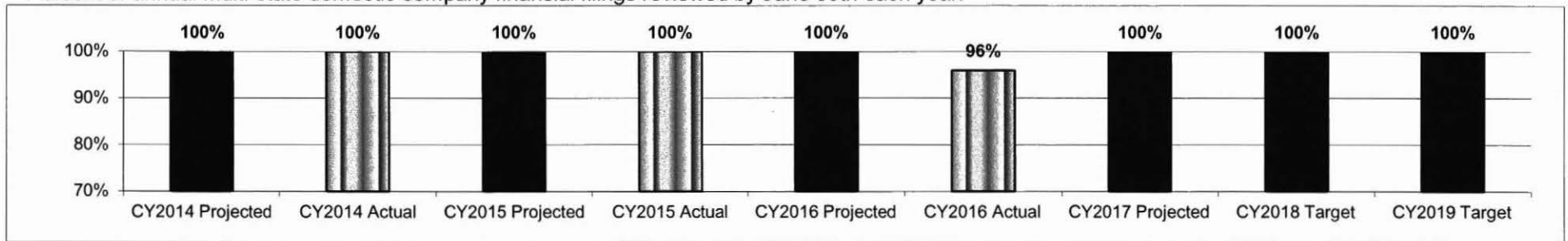
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

6. What are the sources of the "Other " funds?

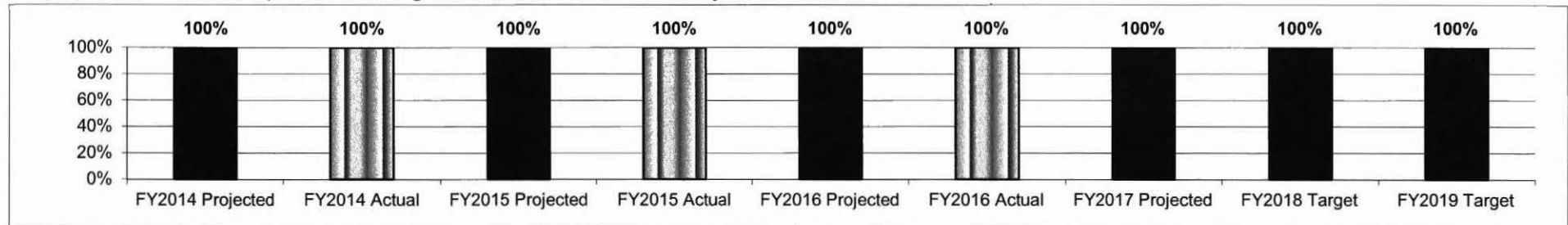
Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year.



Percent of domestic companies receiving a financial exam within a five-year timeframe.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

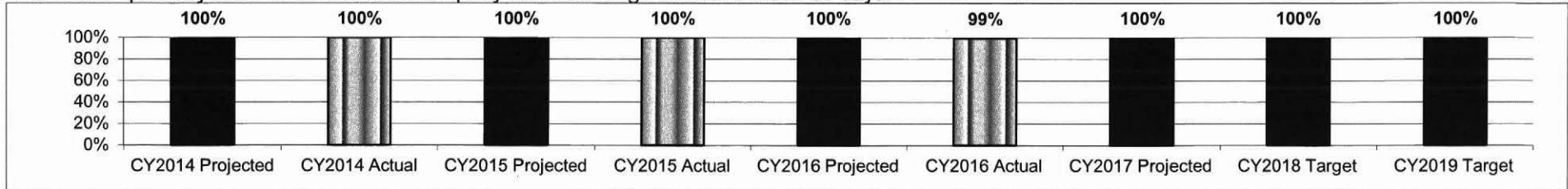
HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

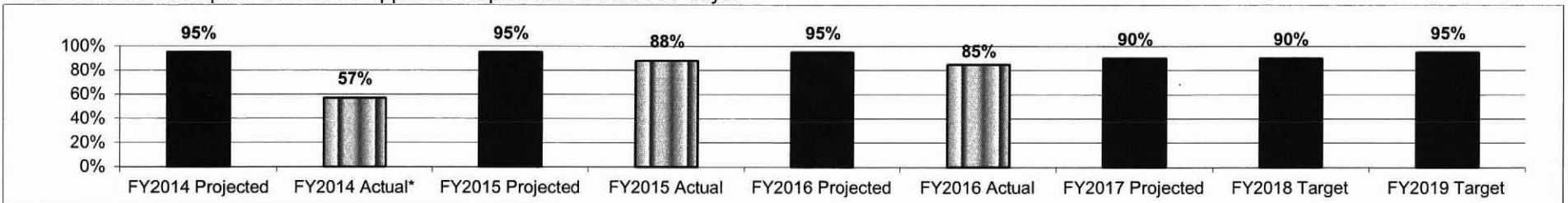
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

7b. Provide an efficiency measure.

Percent of quarterly multi-state domestic company financial filings reviewed within 60 days.



Percent of new complete admission applications processed within 90 days.



*Decrease due to 60% staff turnover in the section and training of new employees.

7c. Provide the number of clients/individuals served, if applicable.

	CY2014		CY2015		CY2016		CY2017	CY2018	CY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Number of Domestic Companies	210	225	225	228	230	226	235	245	250
Number of Licensed Companies	1,985	2,018	2,000	2,010	2,000	2,006	2,000	2,000	2,000
Number of Surplus Lines Brokers	1,700	1,832	1,700	1,892	1,700	1,786	1,700	1,911	1,911
Surplus Lines Tax Collected	23 mil	28.7 mil	23 mil	29.3 mil	25 mil	30.2 mil	26 mil	29 mil	29 mil

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

FY 2017 PLANNED

	Insurance Operations	Insurance Exam	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,515,876	1,812,563	4,328,439
TOTAL	2,515,876	1,812,563	4,328,439

1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri Health Maintenance Organizations (HMO) and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 287, 354, 374, 375, 376, 377, 378, 379, 380, 381, 383, 385 and 447 RSMo.

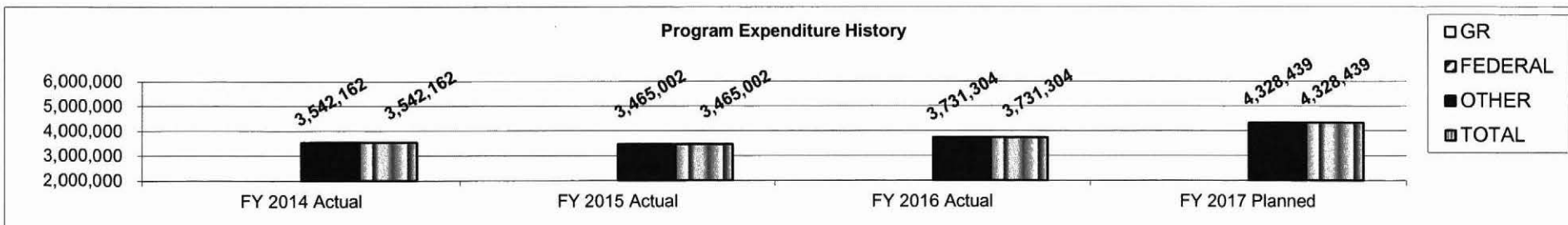
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Market Regulation Division

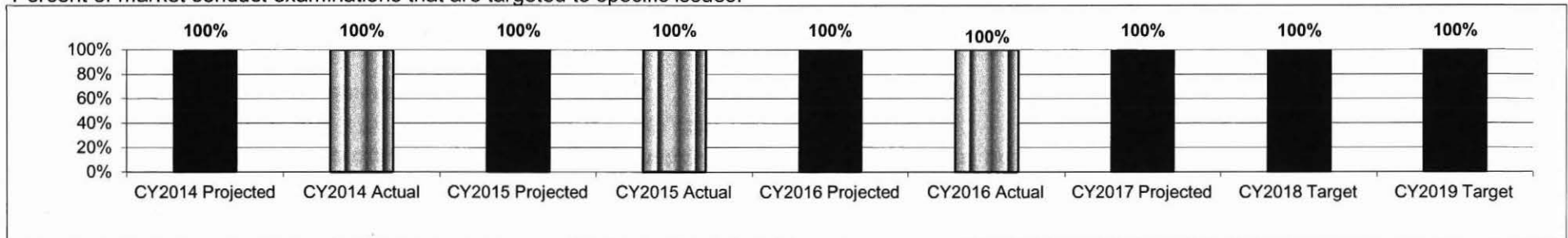
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

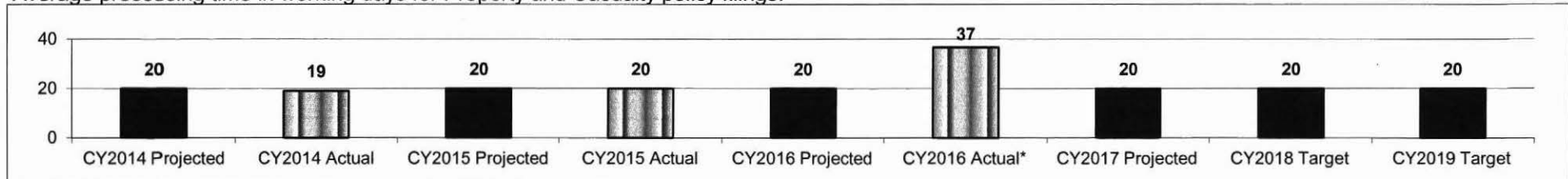
7a. Provide an effectiveness measure.

Percent of market conduct examinations that are targeted to specific issues.



7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings.



* Employee turnover affected CY 2016.

The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National of Insurance Commissioners (NAIC) "Speed to Market Initiative".

PROGRAM DESCRIPTION

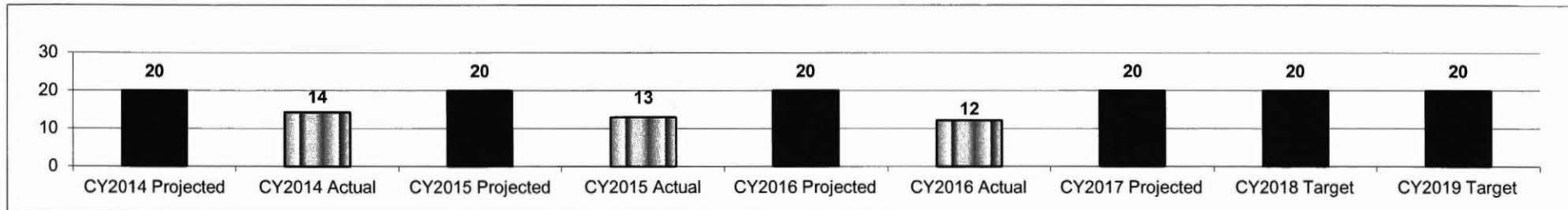
Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

Average processing time in working days for Life and Health policy filings.



The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National of Insurance Commissioners (NAIC) "Speed to Market Initiative".

7c. Provide the number of clients/individuals served, if applicable.

	CY2014		CY2015		CY2016		CY2017	CY2018	CY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
P&C filings received	5,700	6,637	5,500	6,470	6,500	5,506	6,825	7,648	7,800
** P&C pages filed				303,363	300,000	330,625	300,000	300,000	300,000
L&H filings received	7,500	3,958	5,000	3,856	5,000	3,978	6,175	6,850	7,000
** L&H pages filed				279,352	280,000	317,187	300,000	300,000	325,000

**DIFP did not start capturing this data until CY2015, no additional information is available.

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420

Administration Division

Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Administration Division is responsible for the general operation and support within the department. The division prepares the department's annual budget request, monitors department revenues and expenditures, handles personnel and training for department employees and is responsible for monitoring all accounting, procurement and grant management for the department. The division is also responsible for the coordination of department information technology needs with the Information Technology Services Division within the Office of Administration. In addition to support activities, the division is responsible for the licensing and renewals of all insurance producers operating within the state as well as public adjusters, bail bondsmen, surety recovery agents, surplus lines brokers, motor vehicle service contract providers and producers, portable electronics insurance providers, navigators, self-service storage producers and utilization review agents. Also, the division reviews various annual tax filings generating over \$291 million in premium taxes as well as oversees the CLAIM program, which provides free counseling for Missouri consumers with Medicare and their caregivers.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 325, 374, 375, 384 RSMo.

State Constitution: Article IX Section 7 (state school fund deposits).

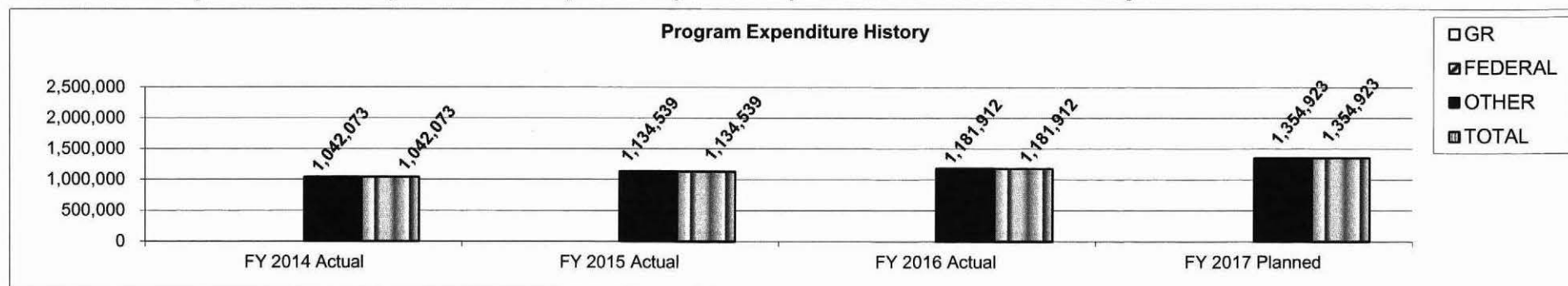
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

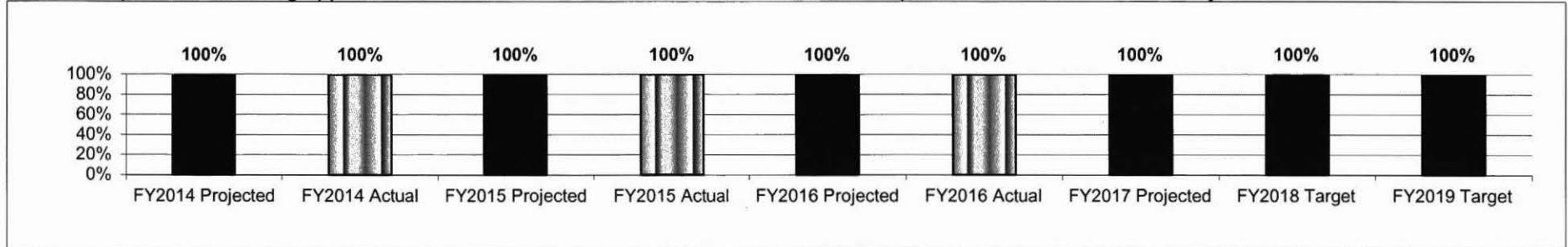
HB Section(s): 7.420

Administration Division

Program is found in the following core budget(s): Insurance Operations

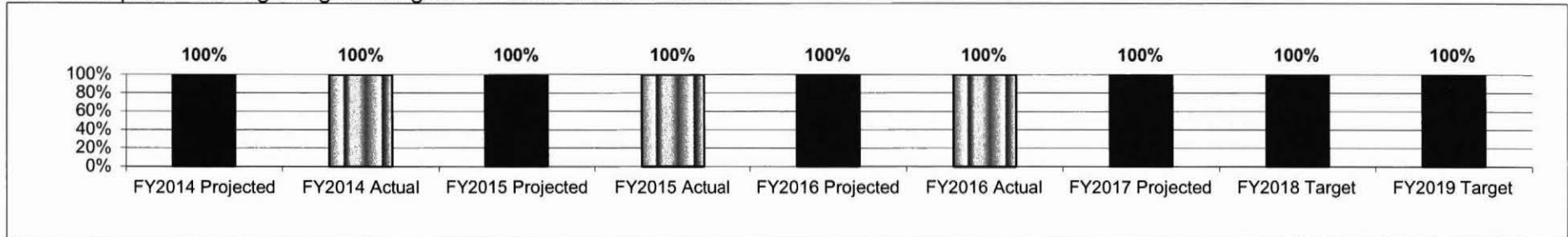
7a. Provide an effectiveness measure.

Percent of producer licensing applications, renewal and certifications and clearance letters processed within 5 business days.

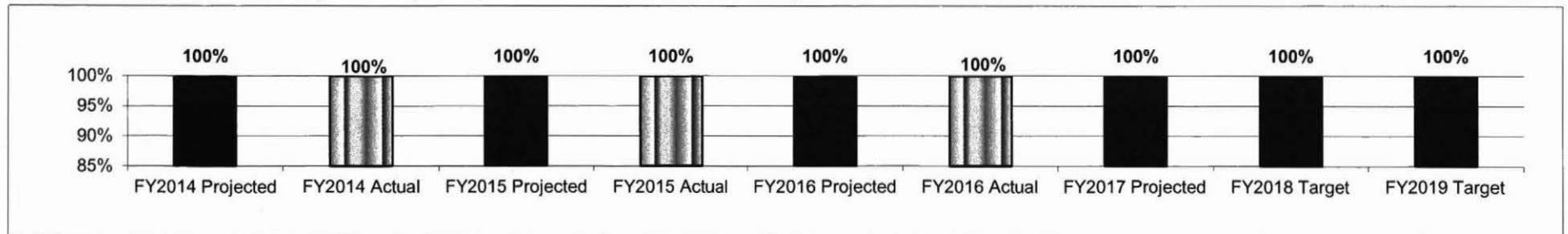


7b. Provide an efficiency measure.

Percent of phone calls regarding licensing issues returned within 24 hours.



Percent of fully documented payment requests processed within 10 business days.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420

Administration Division

Program is found in the following core budget(s): Insurance Operations

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
New licensing applications	31,000	34,402	31,000	39,711	31,000	40,644	35,000	35,000	43,000
Renewal licensing applications	40,000	45,350	40,000	57,480	40,000	62,239	60,000	60,000	65,000
Certification/clearance letters	250	212	250	244	250	104	100	100	100
Inquiries to licensing	37,000	33,372	37,000	30,786	37,000	26,615	30,000	30,000	30,000
Number of checks processed	32,000	30,927	32,000	18,107	30,000	15,342	15,000	15,000	15,000
Number of EFTs processed	67,000	71,658	67,000	94,513	67,000	96,193	96,000	96,500	96,750
Number of payments processed	2,500	1,928	2,500	1,547	2,200	1,550	1,500	1,500	1,500
	CY2014		CY2015		CY2016		CY2017	CY2018	CY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Premium Tax Collected	210 mil	237.6 mil	210 mil	271.7 mil	210 mil	291.8 mil	280 mil	280 mil	290 mil

7d. Provide a customer satisfaction measure, if available.

None available.

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 37501C
Insurance-Insurance Operations	
Implement SB 865 and SB 866 (2016)	House Bill 7.420
DI# 1375001	

1. AMOUNT OF REQUEST

	FY 2018 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

	FY 2018 Governor's Recommendation			
	GR	Federal	Other	Total
PS	0	0	250,772	250,772
EE	0	0	36,768	36,768
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	287,540	287,540
FTE	0.00	0.00	4.00	4.00

Est. Fringe	0	0	108,829	108,829
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Dedicated Fund (0566)

2. THIS REQUEST CAN BE CATEGORIZED AS:

<input checked="" type="checkbox"/> New Legislation	<input type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input type="checkbox"/> Other:	

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Senate Bill 865 and Senate Bill 866 (SB 865 & SB 866) established the Missouri Health Insurance Rate Transparency Act for all types of health insurance. The department is required to review the proposed rates within a sixty day time frame and in some instances, make formal determinations as to the actuarial soundness of the rates and determine if the rates are excessive, inadequate or unfairly discriminatory. This will require very technical insurance knowledge. The department anticipates receiving approximately 2,350 rate filings per year.

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37501C
Insurance-Insurance Operations		
Implement SB 865 and SB 866 (2016)	DI# 1375001	House Bill 7.420

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

Based on the estimated volume of reviews required, one Actuary FTE and three Insurance Product Analyst III FTE's are being requested for policy review and review of rates for actuarial soundness. This request matches the department's TAFP fiscal note.

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
	0						0	0.0	
							0	0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
							0		0
							0		
							0		
	0		0		0		0		0
Program Distributions							0		
Total PSD	0		0		0		0		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	0

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions and Professional Registration					Budget Unit 37501C				
Insurance-Insurance Operations									
Implement SB 865 and SB 866 (2016)			DI# 1375001		House Bill		7.420		
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
100/009779/Actuary					140,000	1.0	140,000	1.0	
100/007577 / Insurance Product Analyst III					110,772	3.0	110,772	3.0	
Total PS	0	0.0	0	0.0	250,772	4.0	250,772	4.0	0
0580 / Office Equipment					31,720		31,720		31,720
0190 / Office Supplies					1,488		1,488		
0320 / Professional Development					1,600		1,600		
0340 / Communications					1,960		1,960		
Total EE	0		0		36,768		36,768		31,720
Program Distributions									
Total PSD	0		0		0		0		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	287,540	4.0	287,540	4.0	31,720

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37501C
Insurance-Insurance Operations		
Implement SB 865 and SB 866 (2016)	DI# 1375001	House Bill 7.420

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

Not yet available.

6b. Provide an efficiency measure.

Estimated percent of rate reviews
meeting statutory deadlines:

FY 2018	100%
FY 2019	100%
FY 2020	100%

6c. Provide the number of clients/individuals served, if applicable.

Estimated number of filings:

FY 2018	2,350
FY 2019	2,400
FY 2020	2,400

6d. Provide a customer satisfaction measure, if available.

Not yet available.

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Not yet available.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE OPERATIONS								
Rate Reviews - SB 865 & 866 - 1375001								
INSURANCE PRODUCT ANALYST III	0	0.00	0	0.00	0	0.00	110,772	3.00
ACTUARY	0	0.00	0	0.00	0	0.00	140,000	1.00
TOTAL - PS	0	0.00	0	0.00	0	0.00	250,772	4.00
SUPPLIES	0	0.00	0	0.00	0	0.00	1,488	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	0	0.00	0	0.00	1,600	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	0	0.00	1,960	0.00
OFFICE EQUIPMENT	0	0.00	0	0.00	0	0.00	31,720	0.00
TOTAL - EE	0	0.00	0	0.00	0	0.00	36,768	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$0	0.00	\$287,540	4.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$287,540	4.00

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NEW DECISION ITEM
RANK: 6 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	37501C / 37510C
Insurance Company Regulation Division		
Implement SB 164 (2015)	DI# 1375002	House Bill 07.420 / 07.425

1. AMOUNT OF REQUEST

	FY 2018 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

	FY 2018 Governor's Recommendation			
	GR	Federal	Other	Total
PS	0	0	215,000	215,000
EE	0	0	20,120	20,120
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	235,120	235,120
FTE	0.00	0.00	2.00	2.00

Est. Fringe	0	0	78,879	78,879
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Dedicated Fund (0566), Insurance Examiners Fund (0552)

2. THIS REQUEST CAN BE CATEGORIZED AS:

<input checked="" type="checkbox"/> New Legislation	<input type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input type="checkbox"/> Other:	

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Senate Bill 164 (SB 164) incorporates the National Association of Insurance Commissioners (NAIC) model standard valuation law as it relates to principals based reserving ("PBR") which will be a required accreditation standard on January 1, 2020, establishing a nationwide standard that better adapts to product innovation while providing confidence in the adequacy of reserve for solvency protection. The legislation was passed in 2015 with an effective date that was conditioned upon substantially similar legislation being passed in at least 42 states that include at least 75% of the life insurance premium in the United States, originally assumed to be FY 2019. This threshold was exceeded and the director's order making PBR effective January 1, 2017, with a three year phase in period, was issued on June 30, 2016.

NEW DECISION ITEM
RANK: 6 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	37501C / 37510C
Insurance Company Regulation Division		
Implement SB 164 (2015)	DI# 1375002	House Bill 07.420 / 07.425

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

Life insurance reserving under PBR is accomplished using a multitude of assumptions derived from company experience and the volume of information required to support reserves will increase significantly. The prior formulaic reserving method was based on the use of fixed mortality tables which is more easily verifiable. However, the prior method could lead to improper reserves for many complex products that have various elements such as interest earned on the product based on a standard interest index from the marketplace or certain guarantees for varying lengths of time for which the prior formula does not adequately adjust. Based on the additional expertise needed under PBR two Actuary FTE will be necessary. It was originally estimated that three FTE would be required. However now the division believes two FTE to be sufficient.

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
							0	0.0	
							0	0.0	
Total PS	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>
							0		0
							0		
							0		
Total EE	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Program Distributions							0		
Total PSD	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Transfers									
Total TRF	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Grand Total	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>

NEW DECISION ITEM
RANK: 6 OF 7

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 37501C / 37510C				
Insurance Company Regulation Division									
Implement SB 164 (2015)		DI# 1375002			House Bill		07.420 / 07.425		
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
100/009779/Actuary					215,000	2.0	215,000	2.0	
Total PS	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>215,000</u>	<u>2.0</u>	<u>215,000</u>	<u>2.0</u>	<u>0</u>
0580 / Office Equipment					17,596		17,596		17,596
0190 / Office Supplies					744		744		
0320 / Professional Development					800		800		
0340 / Communications					980		980		
Total EE	<u>0</u>		<u>0</u>		<u>20,120</u>		<u>20,120</u>		<u>17,596</u>
Program Distributions							0		
Total PSD	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Transfers									
Total TRF	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Grand Total	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>235,120</u>	<u>2.0</u>	<u>235,120</u>	<u>2.0</u>	<u>17,596</u>

NEW DECISION ITEM
RANK: 6 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>37501C / 37510C</u>
Insurance Company Regulation Division	
Implement SB 164 (2015)	House Bill <u>07.420 / 07.425</u>
DI# <u>1375002</u>	

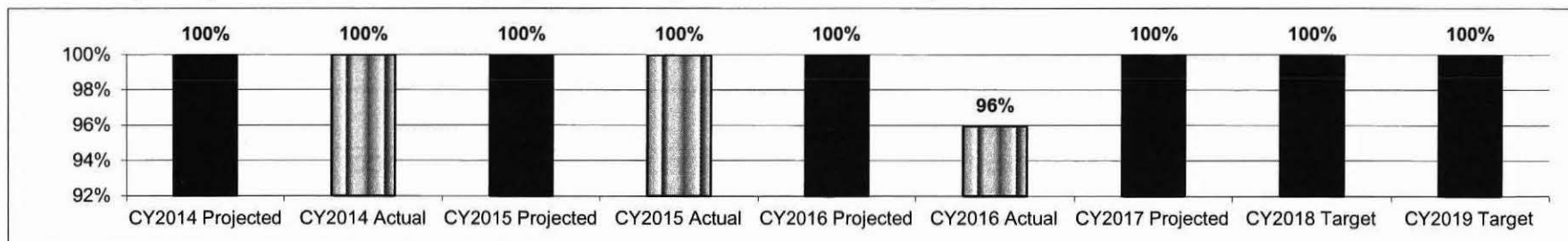
6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

None available.

6b. Provide an efficiency measure.

Percent of quarterly multi-state domestic company financial filings reviewed within 60 days.



6c. Provide the number of clients/individuals served, if applicable.

None available.

6d. Provide a customer satisfaction measure, if available.

None available.

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

None available.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE OPERATIONS								
NAIC Standard - SB 164 - 1375002								
ACTUARY	0	0.00	0	0.00	0	0.00	172,000	1.60
TOTAL - PS	0	0.00	0	0.00	0	0.00	172,000	1.60
SUPPLIES	0	0.00	0	0.00	0	0.00	595	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	0	0.00	0	0.00	640	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	0	0.00	784	0.00
OFFICE EQUIPMENT	0	0.00	0	0.00	0	0.00	14,077	0.00
TOTAL - EE	0	0.00	0	0.00	0	0.00	16,096	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$0	0.00	\$188,096	1.60
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$188,096	1.60

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE EXAMINATIONS								
NAIC Standard - SB 164 - 1375002								
ACTUARY	0	0.00	0	0.00	0	0.00	43,000	0.40
TOTAL - PS	0	0.00	0	0.00	0	0.00	43,000	0.40
SUPPLIES	0	0.00	0	0.00	0	0.00	149	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	0	0.00	0	0.00	160	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	0	0.00	196	0.00
OFFICE EQUIPMENT	0	0.00	0	0.00	0	0.00	3,519	0.00
TOTAL - EE	0	0.00	0	0.00	0	0.00	4,024	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$0	0.00	\$47,024	0.40
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$47,024	0.40

CORE DECISION ITEM

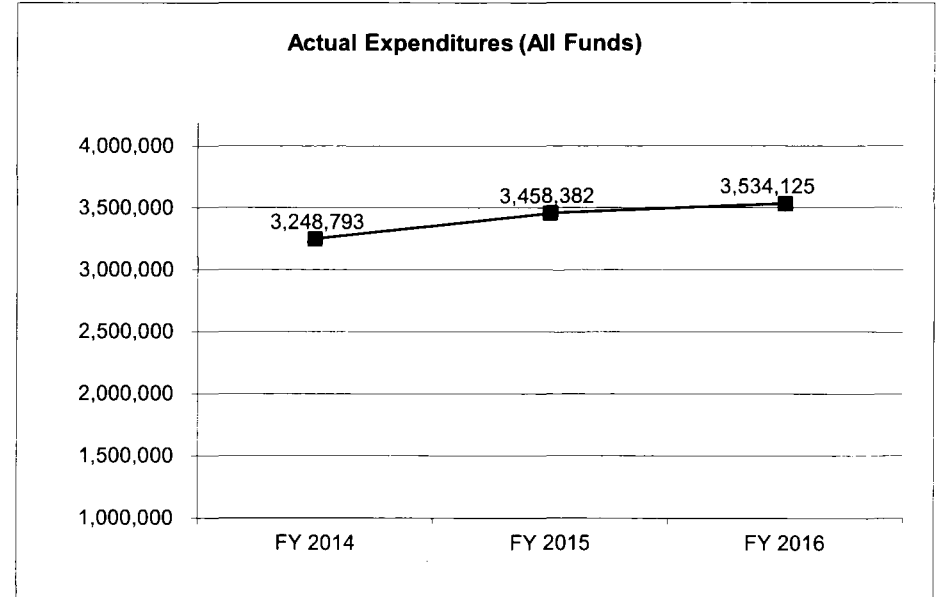
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit 37510C					
Insurance										
Core - Insurance Examinations					HB Section 7.425					
1. CORE FINANCIAL SUMMARY										
	FY 2018 Budget Request						FY 2018 Governor's Recommendation			
	GR	Federal	Other	Total	E		GR	Federal	Other	Total E
PS	0	0	3,403,590	3,403,590		PS	0	0	3,403,590	3,403,590
EE	0	0	766,943	766,943		EE	0	0	766,943	766,943
PSD	0	0	0	0		PSD	0	0	0	0
TRF	0	0	0	0		TRF	0	0	0	0
Total	0	0	4,170,533	4,170,533		Total	0	0	4,170,533	4,170,533
FTE	0.00	0.00	42.90	42.90		FTE	0.00	0.00	42.90	42.90
Est. Fringe	0	0	1,371,393	1,371,393		Est. Fringe	0	0	1,371,393	1,371,393
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: Insurance Examiners Fund (0552)						Other Funds: Insurance Examiners Fund (0552)				
2. CORE DESCRIPTION										
<p>The Insurance Examiners Fund serves as a revolving fund to collect fees assessed and to pay expenses of insurance company examinations. The department conducts both financial and market conduct examinations. Financial examinations ensure insurance companies have sufficient reserves to pay consumer claims and consumers have access to affordable insurance coverage. Market conduct examinations of insurance companies serve to verify that policyholders and beneficiaries receive the full benefits from the contracts they and the insurer have agreed to. Since many of the insurance companies examined have physical locations outside of the state, department examiners must go to these locations to examine company records. The expenses of these examinations are billed to the companies examined. The costs assessed to the companies for examinations can be taken as credits against the company's premium tax payment to the state of Missouri.</p>										
3. PROGRAM LISTING (list programs included in this core funding)										
<p>Insurance Company Regulation Division Insurance Market Regulation Division</p>										

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37510C
Insurance		
Core - Insurance Examinations	HB Section	7.425

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	4,028,574	4,054,203	4,071,933	4,171,289
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	4,028,574	4,054,203	4,071,933	4,171,289
Actual Expenditures (All Funds)	3,248,793	3,458,382	3,534,125	N/A
Unexpended (All Funds)	779,781	595,821	537,808	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	779,781	595,821	537,808	N/A
Other	0	0	0	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (2) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (3) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

CORE RECONCILIATION DETAIL

**DIFP
INSURANCE EXAMINATIONS**

5. CORE RECONCILIATION DETAIL

				Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES										
				PS	42.90	0	0	3,403,590	3,403,590	
				EE	0.00	0	0	767,699	767,699	
				Total	42.90	0	0	4,171,289	4,171,289	
DEPARTMENT CORE ADJUSTMENTS										
Reduce One Time	801	2042		EE	0.00	0	0	(756)	(756)	1X Expenditures - HB 50 FY2017
Core Reallocation	1044	0793		PS	(0.00)	0	0	0	(0)	
NET DEPARTMENT CHANGES					(0.00)	0	0	(756)	(756)	
DEPARTMENT CORE REQUEST										
				PS	42.90	0	0	3,403,590	3,403,590	
				EE	0.00	0	0	766,943	766,943	
				Total	42.90	0	0	4,170,533	4,170,533	
GOVERNOR'S RECOMMENDED CORE										
				PS	42.90	0	0	3,403,590	3,403,590	
				EE	0.00	0	0	766,943	766,943	
				Total	42.90	0	0	4,170,533	4,170,533	

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE EXAMINATIONS								
CORE								
PERSONAL SERVICES								
INSURANCE EXAMINERS FUND	3,287,066	43.32	3,403,590	42.90	3,403,590	42.90	3,403,590	42.90
TOTAL - PS	3,287,066	43.32	3,403,590	42.90	3,403,590	42.90	3,403,590	42.90
EXPENSE & EQUIPMENT								
INSURANCE EXAMINERS FUND	247,059	0.00	767,699	0.00	766,943	0.00	766,943	0.00
TOTAL - EE	247,059	0.00	767,699	0.00	766,943	0.00	766,943	0.00
TOTAL	3,534,125	43.32	4,171,289	42.90	4,170,533	42.90	4,170,533	42.90
NAIC Standard - SB 164 - 1375002								
PERSONAL SERVICES								
INSURANCE EXAMINERS FUND	0	0.00	0	0.00	0	0.00	43,000	0.40
TOTAL - PS	0	0.00	0	0.00	0	0.00	43,000	0.40
EXPENSE & EQUIPMENT								
INSURANCE EXAMINERS FUND	0	0.00	0	0.00	0	0.00	4,024	0.00
TOTAL - EE	0	0.00	0	0.00	0	0.00	4,024	0.00
TOTAL	0	0.00	0	0.00	0	0.00	47,024	0.40
GRAND TOTAL	\$3,534,125	43.32	\$4,171,289	42.90	\$4,170,533	42.90	\$4,217,557	43.30

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 37510C BUDGET UNIT NAME: Insurance Examinations HOUSE BILL SECTION: 7.425	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Insurance Examinations
--------------------------------------------------------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE EXAMINATIONS								
CORE								
INSURANCE FINANCIAL ANAL SPEC	4,279	0.10	8,135	0.20	4,035	0.10	4,035	0.10
LEGAL COUNSEL	421	0.01	5,295	0.10	3,005	0.05	3,005	0.05
SENIOR COUNSEL	2,040	0.03	11,910	0.15	4,055	0.05	4,055	0.05
ACTUARY	33,773	0.25	0	0.00	35,500	0.25	35,500	0.25
AUDIT MANAGER-FINANCIAL EXAM	33,658	0.35	28,683	0.28	48,533	0.50	48,533	0.50
CHIEF FINANCIAL EXAMINER	11,404	0.12	0	0.00	12,350	0.12	12,350	0.12
M C EXAMINER II	191,045	3.53	0	0.00	166,905	3.00	166,905	3.00
M C EXAMINER III	878,385	12.04	1,273,173	16.90	922,173	12.50	922,173	12.50
EXAMINER-IN-CHARGE MC	425,917	4.86	425,242	5.00	437,812	4.90	437,812	4.90
AUDIT MANAGER-MARKET CONDUCT	3,503	0.04	0	0.00	4,750	0.05	4,750	0.05
FINANCIAL EXAMINER II	190,729	3.44	0	0.00	257,458	4.00	257,458	4.00
FINANCIAL EXAMINER III	824,506	10.88	987,345	13.20	836,458	10.00	836,458	10.00
EXAMINER-IN-CHARGE FINANCIAL	610,333	6.74	659,931	7.00	553,931	6.00	553,931	6.00
REINSURANCE EXAMINER	19,958	0.30	0	0.00	34,425	0.50	34,425	0.50
ASST. REINSURANCE EXAMINER	0	0.00	3,876	0.07	0	0.00	0	0.00
CAPTIVE FINANCIAL EX III	7,172	0.09	0	0.00	6,200	0.08	6,200	0.08
SR EXAMINER - IN CHARGE	49,943	0.54	0	0.00	76,000	0.80	76,000	0.80
TOTAL - PS	3,287,066	43.32	3,403,590	42.90	3,403,590	42.90	3,403,590	42.90
TRAVEL, IN-STATE	71,097	0.00	196,786	0.00	196,786	0.00	196,786	0.00
TRAVEL, OUT-OF-STATE	133,790	0.00	279,278	0.00	279,278	0.00	279,278	0.00
SUPPLIES	10,277	0.00	58,048	0.00	58,048	0.00	58,048	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	1	0.00	1	0.00	1	0.00
COMMUNICATION SERV & SUPP	16,307	0.00	51,643	0.00	51,643	0.00	51,643	0.00
PROFESSIONAL SERVICES	15,220	0.00	119,987	0.00	119,987	0.00	119,987	0.00
M&R SERVICES	0	0.00	5,000	0.00	5,000	0.00	5,000	0.00
OFFICE EQUIPMENT	368	0.00	51,953	0.00	51,197	0.00	51,197	0.00
OTHER EQUIPMENT	0	0.00	1,001	0.00	1,001	0.00	1,001	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,001	0.00	1,001	0.00	1,001	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
MISCELLANEOUS EXPENSES	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00

DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE EXAMINATIONS								
CORE								
REBILLABLE EXPENSES	0	0.00	1,001	0.00	1,001	0.00	1,001	0.00
TOTAL - EE	247,059	0.00	767,699	0.00	766,943	0.00	766,943	0.00
GRAND TOTAL	\$3,534,125	43.32	\$4,171,289	42.90	\$4,170,533	42.90	\$4,170,533	42.90
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$3,534,125	43.32	\$4,171,289	42.90	\$4,170,533	42.90	\$4,170,533	42.90

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

FY 2017 PLANNED			
	Insurance Operations	Insurance Examinations	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	3,017,067	2,358,726	5,375,793
TOTAL	3,017,067	2,358,726	5,375,793

1. What does this program do?

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for surplus lines taxes and admission fees due to Missouri and for insurance companies' deposits held to benefit policyholders.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 148, 287, 374, 375, 376 and 384 RSMo.

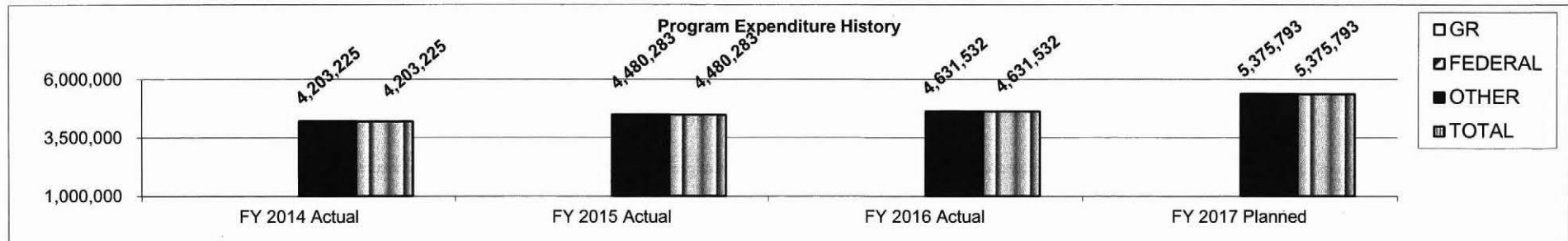
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

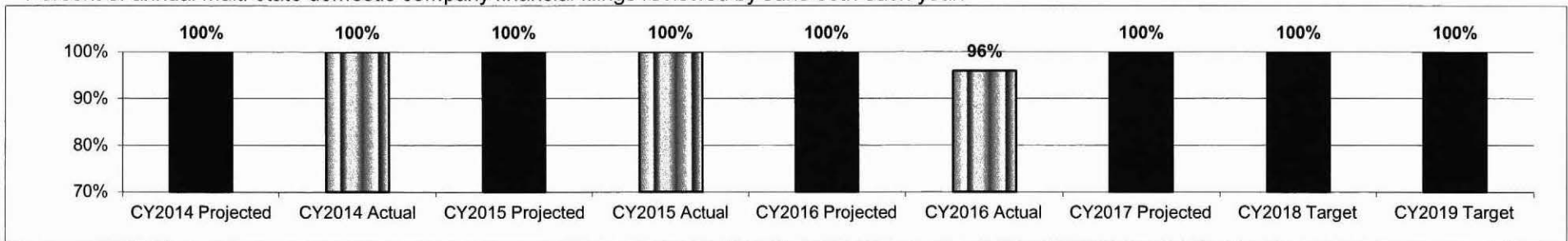
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

6. What are the sources of the "Other " funds?

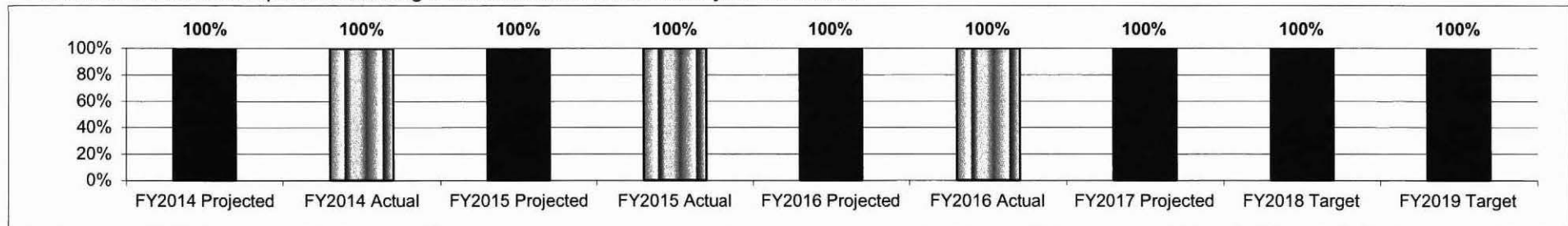
Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year.



Percent of domestic companies receiving a financial exam within a five-year timeframe.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

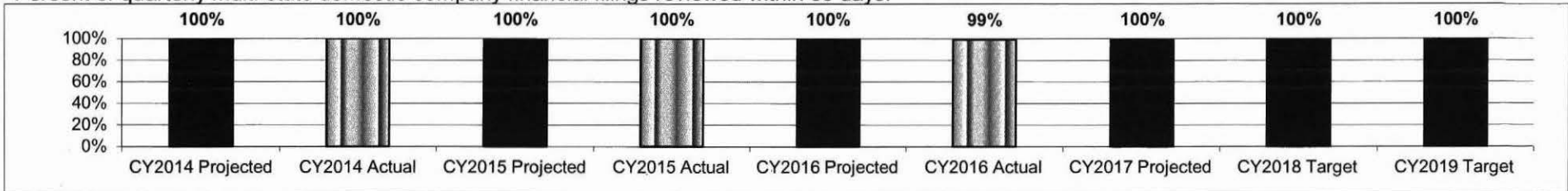
HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

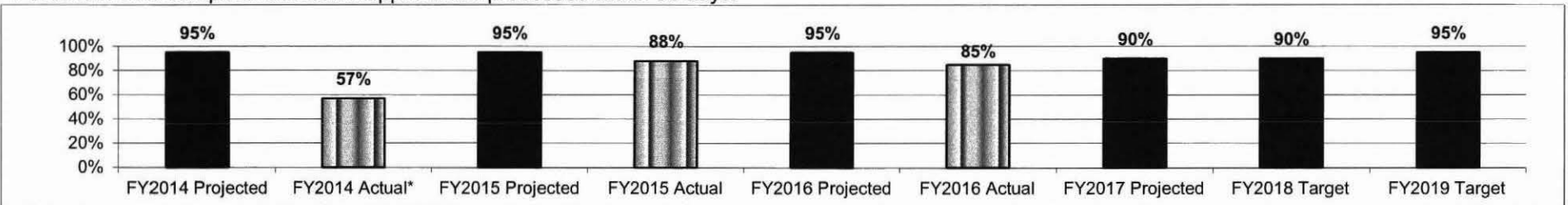
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

7b. Provide an efficiency measure.

Percent of quarterly multi-state domestic company financial filings reviewed within 60 days.



Percent of new complete admission applications processed within 90 days.



*Decrease due to 60% staff turnover in the section and training of new employees.

7c. Provide the number of clients/individuals served, if applicable.

	CY2014		CY2015		CY2016		CY2017	CY2018	CY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Number of Domestic Companies	210	225	225	228	230	226	235	245	250
Number of Licensed Companies	1,985	2,018	2,000	2,010	2,000	2,006	2,000	2,000	2,000
Number of Surplus Lines Brokers	1,700	1,832	1,700	1,892	1,700	1,786	1,700	1,911	1,911
Surplus Lines Tax Collected	23 mil	28.7 mil	23 mil	29.3 mil	25 mil	30.2 mil	26 mil	29 mil	29 mil

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

FY 2017 PLANNED

	Insurance Operations	Insurance Exam	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,515,876	1,812,563	4,328,439
TOTAL	2,515,876	1,812,563	4,328,439

1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri Health Maintenance Organizations (HMO) and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 287, 354, 374, 375, 376, 377, 378, 379, 380, 381, 383, 385 and 447 RSMo.

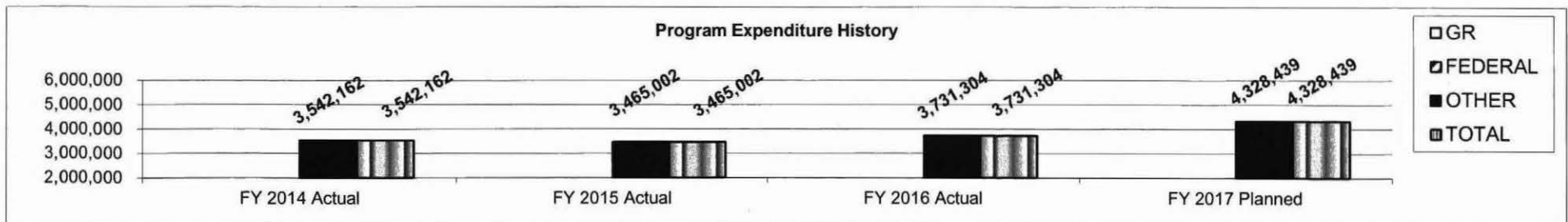
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Market Regulation Division

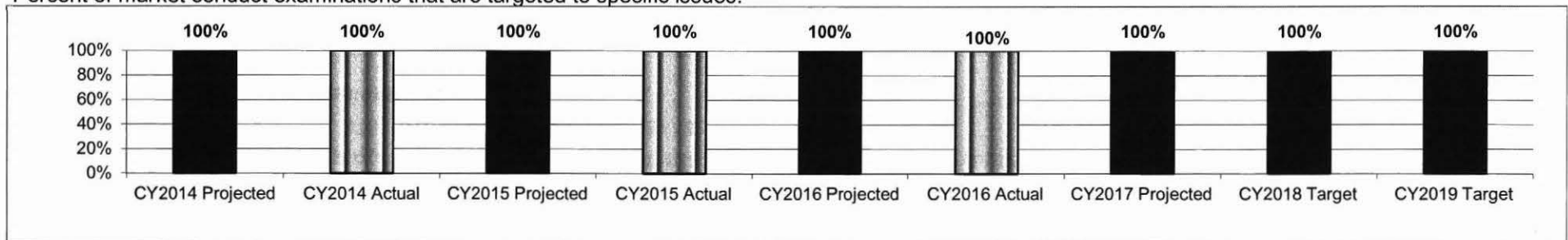
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

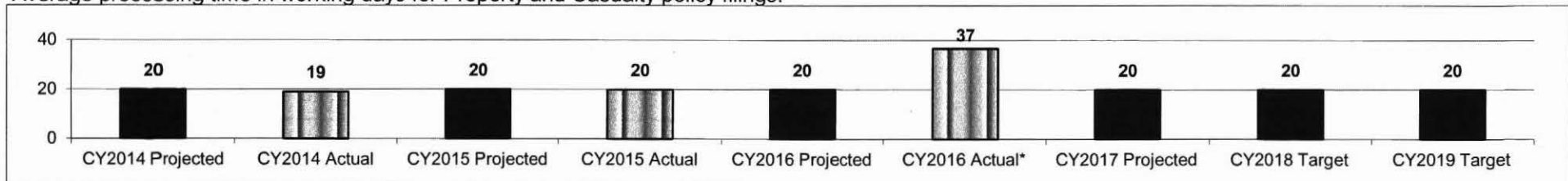
7a. Provide an effectiveness measure.

Percent of market conduct examinations that are targeted to specific issues.



7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings.



* Employee turnover affected CY 2016.

The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National of Insurance Commissioners (NAIC) "Speed to Market Initiative".

PROGRAM DESCRIPTION

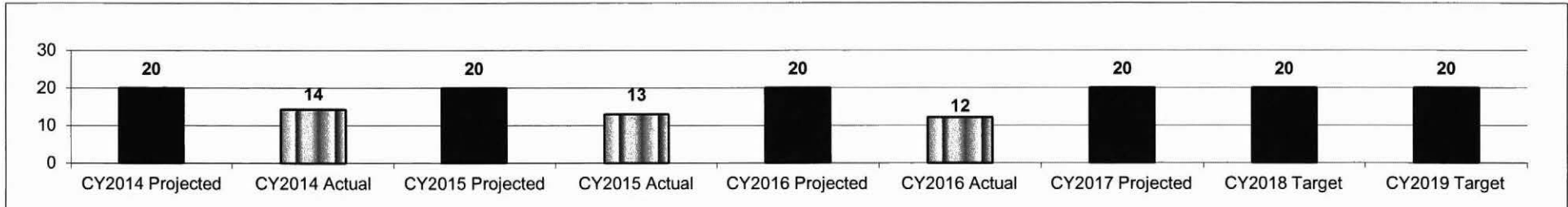
Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

Average processing time in working days for Life and Health policy filings.



The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National of Insurance Commissioners (NAIC) "Speed to Market Initiative".

7c. Provide the number of clients/individuals served, if applicable.

	CY2014		CY2015		CY2016		CY2017	CY2018	CY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
P&C filings received	5,700	6,637	5,500	6,470	6,500	5,506	6,825	7,648	7,800
** P&C pages filed				303,363	300,000	330,625	300,000	300,000	300,000
L&H filings received	7,500	3,958	5,000	3,856	5,000	3,978	6,175	6,850	7,000
** L&H pages filed				279,352	280,000	317,187	300,000	300,000	325,000

**DIFP did not start capturing this data until CY2015, no additional information is available.

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

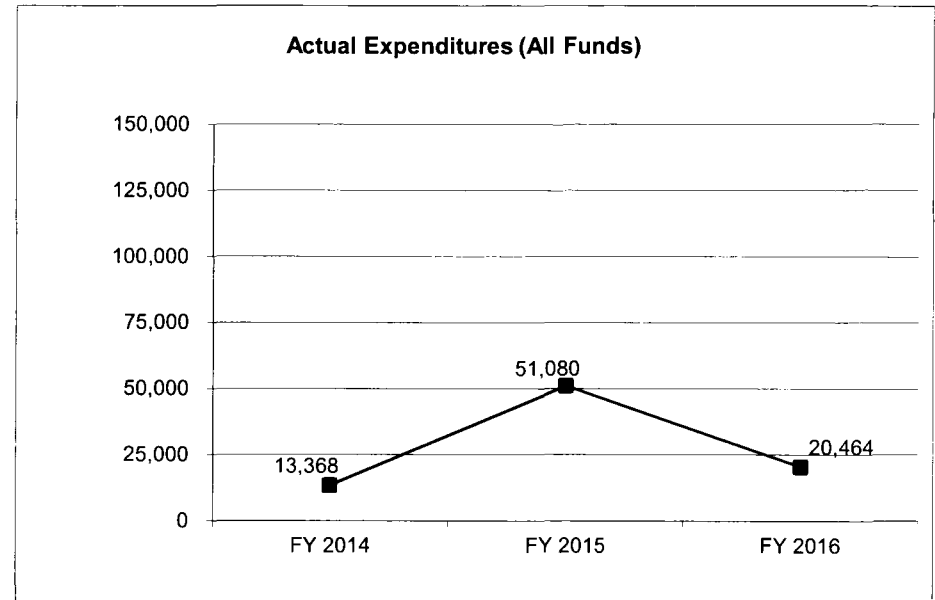
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>37520C</u>						
Insurance											
Core - Insurance Refunds					HB Section <u>7.430</u>						
1. CORE FINANCIAL SUMMARY											
	FY 2018 Budget Request						FY 2018 Governor's Recommendation				
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	0	0		EE	0	0	0	0	
PSD	0	0	135,000	135,000		PSD	0	0	135,000	135,000	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	<u>0</u>	<u>0</u>	<u>135,000</u>	<u>135,000</u>		Total	<u>0</u>	<u>0</u>	<u>135,000</u>	<u>135,000</u>	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Insurance Dedicated Fund (0566) Insurance Examiners Fund (0552)						Other Funds: Insurance Dedicated Fund (0566) Insurance Examiners Fund (0552)					
2. CORE DESCRIPTION											
This core request is needed to refund incorrect or overpayment of insurance fees received from individuals and insurance companies. When any incorrect or overpayment of fees is received, a refund is issued from the appropriate insurance fund using this appropriation.											
3. PROGRAM LISTING (list programs included in this core funding)											
Insurance Refunds											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37520C
Insurance		
Core - Insurance Refunds	HB Section	7.430

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	135,000	135,000	135,000	135,000
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	135,000	135,000	135,000	135,000
Actual Expenditures (All Funds)	13,368	51,080	20,464	N/A
Unexpended (All Funds)	121,632	83,920	114,536	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	121,632	83,920	114,536	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount due to less refunds processed than appropriation level.
- (2) Unexpended amount due to less refunds processed than appropriation level.
- (3) Unexpended amount due to less refunds processed than appropriation level.

CORE RECONCILIATION DETAIL

DIFP
INSURANCE REFUNDS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<hr/>							
TAFP AFTER VETOES	PD	0.00	0	0	135,000	135,000	
	Total	0.00	0	0	135,000	135,000	
<hr/>							
DEPARTMENT CORE REQUEST	PD	0.00	0	0	135,000	135,000	
	Total	0.00	0	0	135,000	135,000	
<hr/>							
GOVERNOR'S RECOMMENDED CORE	PD	0.00	0	0	135,000	135,000	
	Total	0.00	0	0	135,000	135,000	
<hr/>							

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE REFUNDS								
CORE								
PROGRAM-SPECIFIC								
INSURANCE EXAMINERS FUND	0	0.00	60,000	0.00	60,000	0.00	60,000	0.00
INSURANCE DEDICATED FUND	20,464	0.00	75,000	0.00	75,000	0.00	75,000	0.00
TOTAL - PD	20,464	0.00	135,000	0.00	135,000	0.00	135,000	0.00
TOTAL	20,464	0.00	135,000	0.00	135,000	0.00	135,000	0.00
GRAND TOTAL	\$20,464	0.00	\$135,000	0.00	\$135,000	0.00	\$135,000	0.00

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DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
INSURANCE REFUNDS								
CORE								
REFUNDS	20,464	0.00	135,000	0.00	135,000	0.00	135,000	0.00
TOTAL - PD	20,464	0.00	135,000	0.00	135,000	0.00	135,000	0.00
GRAND TOTAL	\$20,464	0.00	\$135,000	0.00	\$135,000	0.00	\$135,000	0.00
GENERAL REVENUE								
	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS								
	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS								
	\$20,464	0.00	\$135,000	0.00	\$135,000	0.00	\$135,000	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

HB Section(s): 7.430

Insurance Refunds

Program is found in the following core budget(s): Insurance Refunds

1. What does this program do?

Individuals and companies sometimes submit incorrect insurance fee amounts to the department. According to Chapter 374.150 RSMo., all fees due to the state under the provisions of the insurance laws shall be deposited to the credit of department funds unless otherwise provided. The department deposits fees upon receipt, and if it is determined that an incorrect or overpayment has occurred then a refund is generated.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 374.150 RSMo.

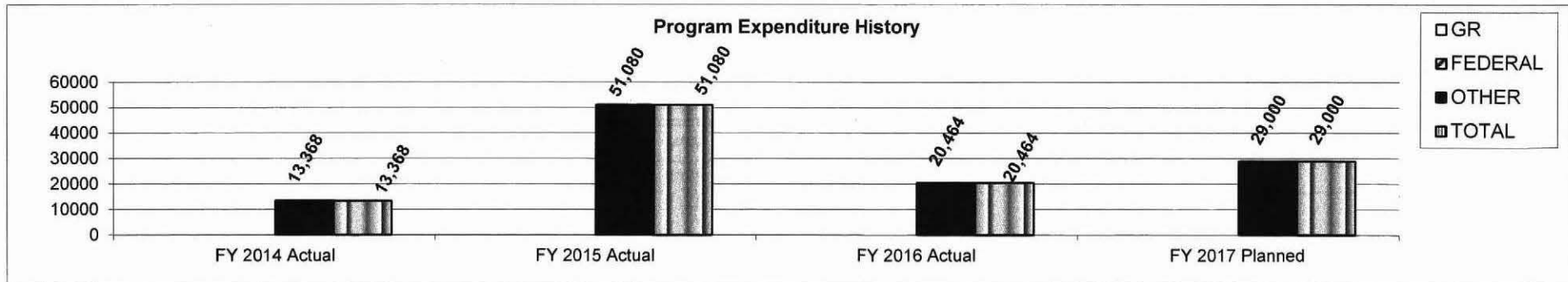
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566) and Insurance Examiners Fund (0552)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

HB Section(s): 7.430

Insurance Refunds

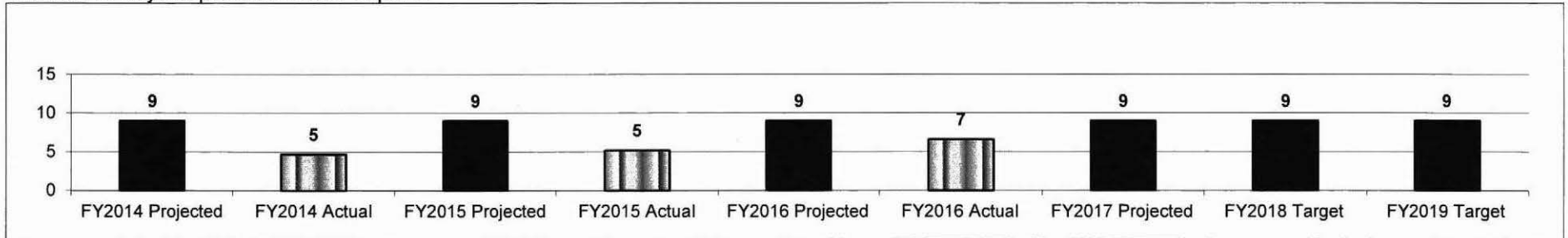
Program is found in the following core budget(s): Insurance Refunds

7a. Provide an effectiveness measure.

None available.

7b. Provide an efficiency measure.

Number of days to process refund request.



7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Refunds processed	300	231	300	277	300	138	250	200	175

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

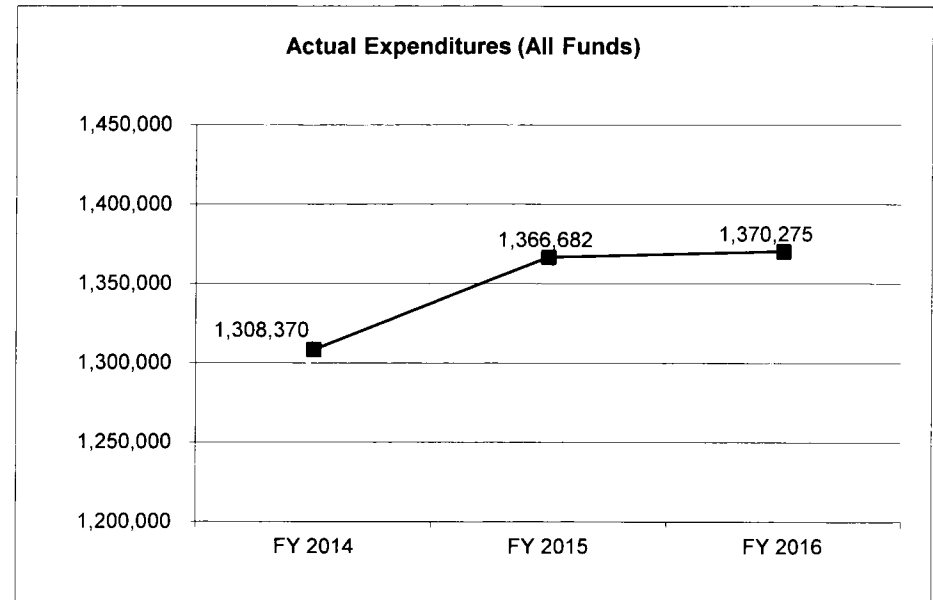
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>37540C</u>						
Insurance											
Core - Health Insurance Counseling					HB Section <u>7.435</u>						
1. CORE FINANCIAL SUMMARY											
	FY 2018 Budget Request					FY 2018 Governor's Recommendation					
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	0	0		EE	0	0	0	0	
PSD	0	1,250,000	200,000	1,450,000		PSD	0	1,250,000	200,000	1,450,000	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	0	1,250,000	200,000	1,450,000		Total	0	1,250,000	200,000	1,450,000	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Insurance Dedicated Fund (0566)						Other Funds: Insurance Dedicated Fund (0566)					
2. CORE DESCRIPTION											
<p>The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Administration for Community Living and the state with oversight by the department. The department contracts with Knowledge Management Associates to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits local community partners and volunteers, develops and conducts volunteer training, provides program outreach through events, assists beneficiaries during Medicare's Annual Enrollment Period (AEP) in the fall of each year and maintains a toll-free number, 1-800-390-3330, and website, www.missouricclaim.org. Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as partners and provide space, supplies and local publicity for the program. CLAIM averages 411 volunteer counselors and has over 195 counseling locations throughout the state where counseling is provided.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
Health Insurance Counseling											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	37540C
Insurance		
Core - Health Insurance Counseling	HB Section	7.435

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	1,450,000	1,450,000	1,450,000	1,450,000
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	1,450,000	1,450,000	1,450,000	1,450,000
Actual Expenditures (All Funds)	1,308,370	1,366,682	1,370,275	N/A
Unexpended (All Funds)	141,630	83,318	79,725	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	141,630	83,318	79,725	N/A
Other	0	0	0	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Grant amount was less than appropriation.
- (2) Grant amount was less than appropriation.
- (3) Grant amount was less than appropriation.

CORE RECONCILIATION DETAIL

**DIFP
HEALTH INSURANCE COUNSELING**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PD	0.00	0	1,250,000	200,000	1,450,000	
	Total	0.00	0	1,250,000	200,000	1,450,000	
DEPARTMENT CORE REQUEST							
	PD	0.00	0	1,250,000	200,000	1,450,000	
	Total	0.00	0	1,250,000	200,000	1,450,000	
GOVERNOR'S RECOMMENDED CORE							
	PD	0.00	0	1,250,000	200,000	1,450,000	
	Total	0.00	0	1,250,000	200,000	1,450,000	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
HEALTH INSURANCE COUNSELING								
CORE								
PROGRAM-SPECIFIC								
FEDERAL - MDI	1,170,275	0.00	1,250,000	0.00	1,250,000	0.00	1,250,000	0.00
INSURANCE DEDICATED FUND	200,000	0.00	200,000	0.00	200,000	0.00	200,000	0.00
TOTAL - PD	1,370,275	0.00	1,450,000	0.00	1,450,000	0.00	1,450,000	0.00
TOTAL	1,370,275	0.00	1,450,000	0.00	1,450,000	0.00	1,450,000	0.00
GRAND TOTAL	\$1,370,275	0.00	\$1,450,000	0.00	\$1,450,000	0.00	\$1,450,000	0.00

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DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
HEALTH INSURANCE COUNSELING								
CORE								
PROGRAM DISTRIBUTIONS	1,370,275	0.00	1,450,000	0.00	1,450,000	0.00	1,450,000	0.00
TOTAL - PD	1,370,275	0.00	1,450,000	0.00	1,450,000	0.00	1,450,000	0.00
GRAND TOTAL	\$1,370,275	0.00	\$1,450,000	0.00	\$1,450,000	0.00	\$1,450,000	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$1,170,275	0.00	\$1,250,000	0.00	\$1,250,000	0.00	\$1,250,000	0.00
OTHER FUNDS	\$200,000	0.00	\$200,000	0.00	\$200,000	0.00	\$200,000	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.435

Health Insurance Counseling

Program is found in the following core budget(s): Health Insurance Counseling

1. What does this program do?

The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Administration for Community Living and the state with oversight by the department. The department contracts with Knowledge Management Associates to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits local community partners and volunteers, develops and conducts volunteer training, provides program outreach through events, assists beneficiaries during Medicare's Annual Enrollment Period (AEP) in the fall of each year and maintains a toll-free number, 1-800-390-3330, and website, www.missouricclaim.org. Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as partners and provide space, supplies and local publicity for the program. CLAIM averages 411 volunteer counselors and has over 195 counseling locations throughout the state where counseling is provided.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Federal CFDA - 93.324 and 93.071 MIPPA.

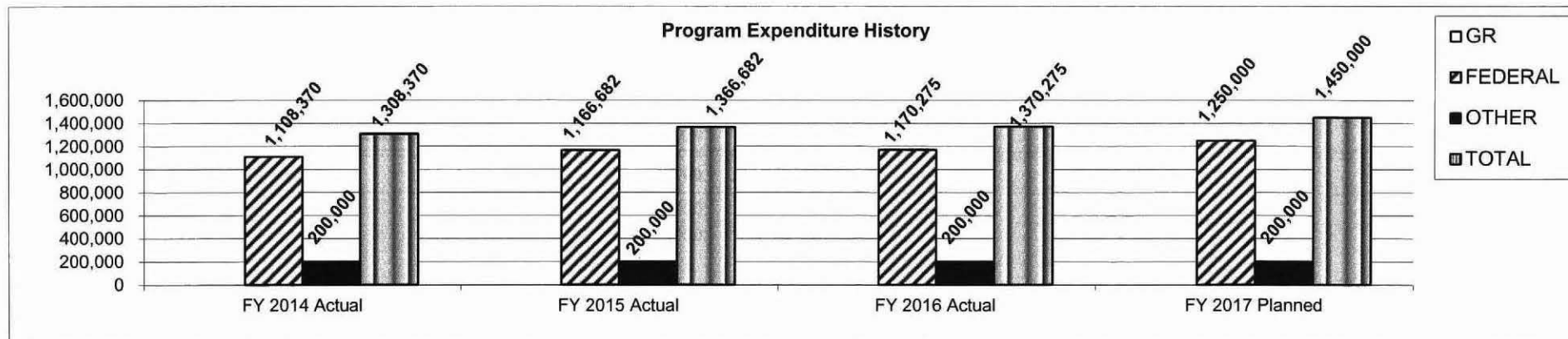
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

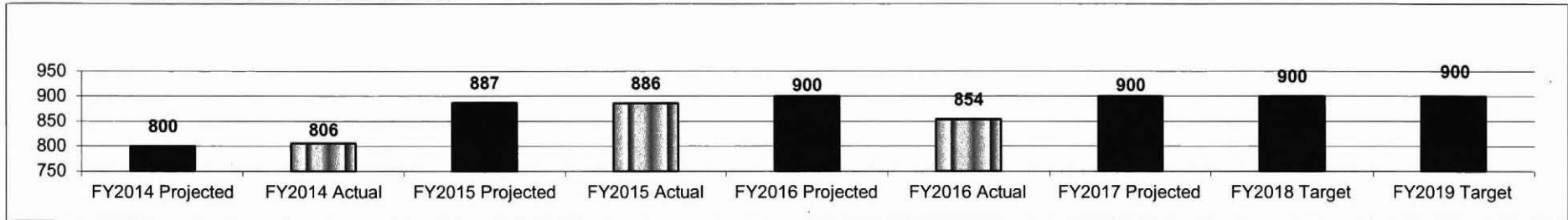
HB Section(s): 7.435

Health Insurance Counseling

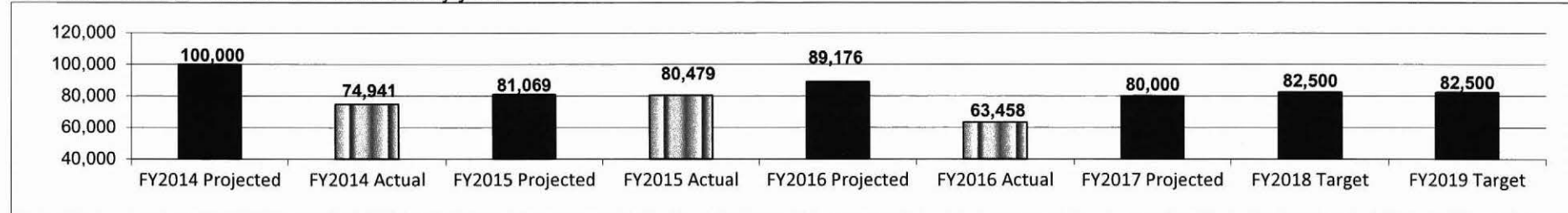
Program is found in the following core budget(s): Health Insurance Counseling

7a. Provide an effectiveness measure.

Number of educational outreach events held.

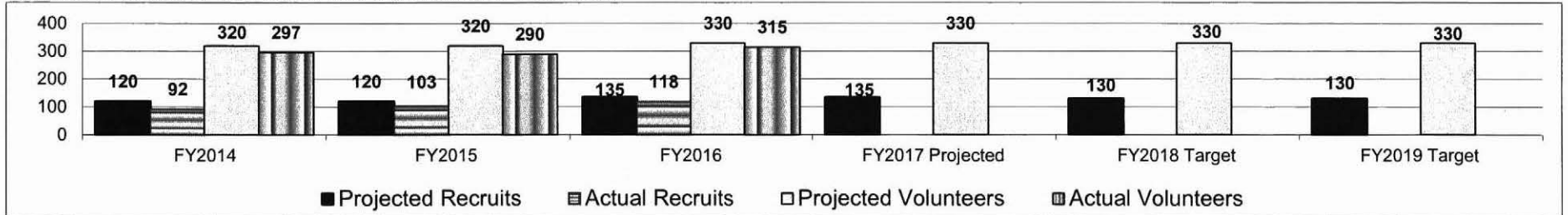


Total number of attendees for events held by year.



7b. Provide an efficiency measure.

Number of recruited volunteers and trained active volunteers.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.435

Health Insurance Counseling

Program is found in the following core budget(s): Health Insurance Counseling

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Individuals counseled	45,000	54,500	58,000	52,608	60,000	52,289	60,000	60,000	60,000

7d. Provide a customer satisfaction measure, if available.

CLAIM conducts random surveys to measure customer satisfaction with the counseling process.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Excellent or above average rating	90%	87%	90%	88%	90%	80%	90%	90%	90%

CORE DECISION ITEM

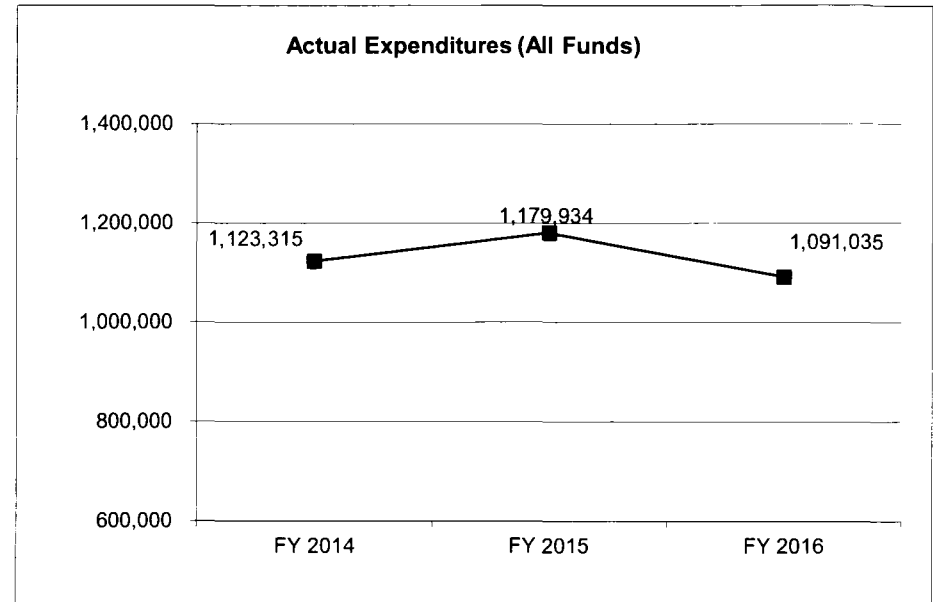
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42490C</u>						
Division of Credit Unions					HB Section <u>7.440</u>						
Core - Credit Unions											
1. CORE FINANCIAL SUMMARY											
FY 2018 Budget Request					FY 2018 Governor's Recommendation						
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	1,178,239	1,178,239		PS	0	0	1,178,239	1,178,239	
EE	0	0	144,055	144,055		EE	0	0	144,055	144,055	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	0	0	1,322,294	1,322,294		Total	0	0	1,322,294	1,322,294	
FTE	0.00	0.00	15.50	15.50		FTE	0.00	0.00	15.50	15.50	
Est. Fringe	0	0	481,433	481,433		Est. Fringe	0	0	481,433	481,433	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Division of Credit Unions Fund (0548)						Other Funds: Division of Credit Unions Fund (0548)					
2. CORE DESCRIPTION											
<p>The Division of Credit Unions is the regulatory agency responsible for the examination, supervision, chartering, merger and liquidation of all state-chartered credit unions. The division also responds to consumer requests or complaints about credit union services or operations. The division is an accredited agency through the National Association of State Credit Union Supervisors (NASCUS), and all member deposits are insured by the National Credit Union Share Insurance Fund, administered by the National Credit Union Administration. The division is statutorily required to conduct examinations at least once every 18 months. Examinations are conducted to ensure the safety and soundness of credit unions and to ensure compliance with applicable rules, regulations and statutes. The division proactively performs off-site monitoring on an ongoing basis to assist in identifying increasing risk. Credit unions incurring problems, whether financial, operational or in compliance areas, receive increased attention which may come in the form of enforcement actions. The Division of Credit Unions currently regulates 107 credit unions with assets exceeding \$ 13 billion. Missouri is ranked sixth in the nation in the number of state-chartered credit unions. There are approximately 1.4 million members of Missouri credit unions.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
Division of Credit Unions											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42490C
Division of Credit Unions		
Core - Credit Unions	HB Section	7.440

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	1,258,977	1,268,095	1,274,190	1,322,294
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	1,258,977	1,268,095	1,274,190	1,322,294
Actual Expenditures (All Funds)	1,123,315	1,179,934	1,091,035	N/A
Unexpended (All Funds)	135,662	88,161	183,155	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	135,662	88,161	183,155	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.
- (2) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.
- (3) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.

CORE RECONCILIATION DETAIL

DIFP
CREDIT UNIONS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	15.50	0	0	1,178,239	1,178,239	
	EE	0.00	0	0	144,055	144,055	
	Total	15.50	0	0	1,322,294	1,322,294	
DEPARTMENT CORE REQUEST							
	PS	15.50	0	0	1,178,239	1,178,239	
	EE	0.00	0	0	144,055	144,055	
	Total	15.50	0	0	1,322,294	1,322,294	
GOVERNOR'S RECOMMENDED CORE							
	PS	15.50	0	0	1,178,239	1,178,239	
	EE	0.00	0	0	144,055	144,055	
	Total	15.50	0	0	1,322,294	1,322,294	

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
CREDIT UNIONS								
CORE								
PERSONAL SERVICES								
DIVISION OF CREDIT UNIONS	981,209	13.99	1,178,239	15.50	1,178,239	15.50	1,178,239	15.50
TOTAL - PS	981,209	13.99	1,178,239	15.50	1,178,239	15.50	1,178,239	15.50
EXPENSE & EQUIPMENT								
DIVISION OF CREDIT UNIONS	109,826	0.00	144,055	0.00	144,055	0.00	144,055	0.00
TOTAL - EE	109,826	0.00	144,055	0.00	144,055	0.00	144,055	0.00
TOTAL	1,091,035	13.99	1,322,294	15.50	1,322,294	15.50	1,322,294	15.50
GRAND TOTAL	\$1,091,035	13.99	\$1,322,294	15.50	\$1,322,294	15.50	\$1,322,294	15.50

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 42490C BUDGET UNIT NAME: Credit Unions HOUSE BILL SECTION: 7.440	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Credit Unions
-----------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
CREDIT UNIONS								
CORE								
DESIGNATED PRINCIPAL ASST DIV	496	0.01	490	0.00	490	0.00	490	0.00
COMMISSION MEMBER	0	0.00	19,478	0.00	19,478	0.00	19,478	0.00
ADMIN OFFICE SUPPORT ASSISTANT	6,328	0.30	12,869	0.50	12,869	0.50	12,869	0.50
ADMINISTRATIVE SECRETARY	41,609	1.30	36,679	1.00	36,679	1.00	36,679	1.00
ASST C U EXAMINER - PROB I-II	4,167	0.08	53,222	1.00	53,222	1.00	53,222	1.00
SR ASST C U EXAMINER I - II	58,009	1.11	117,794	2.00	63,648	1.00	63,648	1.00
CREDIT UNION EXAMINER I - II	103,366	1.58	134,347	2.00	75,741	1.00	75,741	1.00
SENIOR C U EXAMINER I-II-III	408,512	5.42	453,571	5.00	566,323	7.00	566,323	7.00
CHIEF FINANCIAL EXAMINER	94,435	1.00	96,324	1.00	96,324	1.00	96,324	1.00
DIVISION DIRECTOR	99,990	1.00	101,989	1.00	101,989	1.00	101,989	1.00
DEPUTY DIVISION DIRECTOR	94,435	1.00	96,324	1.00	96,324	1.00	96,324	1.00
FISCAL AND ADMINISTRATIVE MNGR	54,072	1.00	55,152	1.00	55,152	1.00	55,152	1.00
COMMISSION MEMBER	600	0.00	0	0.00	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	15,190	0.19	0	0.00	0	0.00	0	0.00
TOTAL - PS	981,209	13.99	1,178,239	15.50	1,178,239	15.50	1,178,239	15.50
TRAVEL, IN-STATE	65,548	0.00	67,835	0.00	67,835	0.00	67,835	0.00
TRAVEL, OUT-OF-STATE	3,566	0.00	2,685	0.00	2,685	0.00	2,685	0.00
SUPPLIES	4,803	0.00	5,440	0.00	5,440	0.00	5,440	0.00
PROFESSIONAL DEVELOPMENT	18,632	0.00	46,025	0.00	46,025	0.00	46,025	0.00
COMMUNICATION SERV & SUPP	0	0.00	10	0.00	10	0.00	10	0.00
PROFESSIONAL SERVICES	370	0.00	5,277	0.00	5,277	0.00	5,277	0.00
M&R SERVICES	0	0.00	48	0.00	48	0.00	48	0.00
OFFICE EQUIPMENT	100	0.00	82	0.00	82	0.00	82	0.00
OTHER EQUIPMENT	0	0.00	9	0.00	9	0.00	9	0.00
BUILDING LEASE PAYMENTS	300	0.00	70	0.00	70	0.00	70	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	9	0.00	9	0.00	9	0.00
MISCELLANEOUS EXPENSES	259	0.00	75	0.00	75	0.00	75	0.00

DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
CREDIT UNIONS								
CORE								
REBILLABLE EXPENSES	16,248	0.00	16,490	0.00	16,490	0.00	16,490	0.00
TOTAL - EE	109,826	0.00	144,055	0.00	144,055	0.00	144,055	0.00
GRAND TOTAL	\$1,091,035	13.99	\$1,322,294	15.50	\$1,322,294	15.50	\$1,322,294	15.50
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$1,091,035	13.99	\$1,322,294	15.50	\$1,322,294	15.50	\$1,322,294	15.50

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.440

Division of Credit Unions

Program is found in the following core budget(s): Credit Unions

1. What does this program do?

The Division of Credit Unions is responsible for the regulation of state-chartered credit unions in Missouri to effectively safeguard the interests of depositors and shareholders of these organizations. The division performs examinations of credit unions to ensure compliance with applicable laws and regulations. The division also responds to consumer requests or complaints about credit union services and operations. The entire cost of the division is reimbursed to the state through fees and assessments paid by the credit unions. The division is accredited through the National Association of State Credit Union Supervisors (NASCUS). All deposits in Missouri credit unions are insured up to \$250,000 by the National Credit Union Administration (NCUA), an agency of the federal government. The division currently regulates 110 credit unions with assets exceeding \$12.5 billion. Missouri is currently ranked eighth in the nation in the number of state-chartered credit unions. There are approximately 1.4 million members of Missouri credit unions.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 370 RSMo.

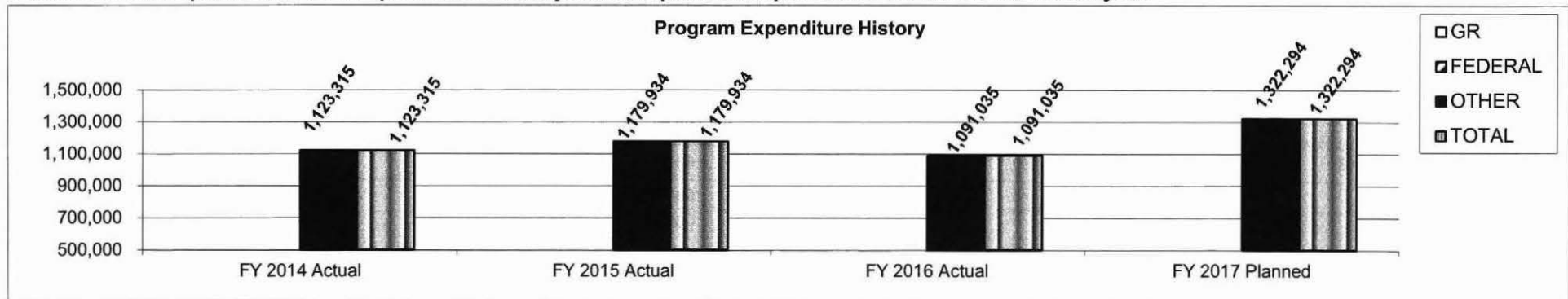
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Credit Unions Fund (0548)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

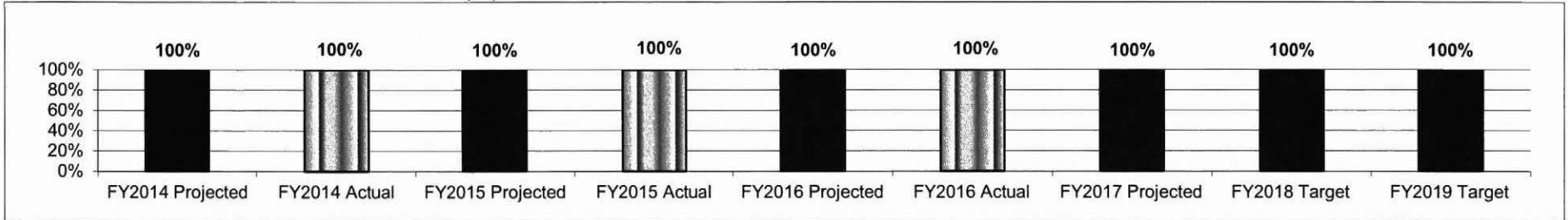
HB Section(s): 7.440

Division of Credit Unions

Program is found in the following core budget(s): Credit Unions

7a. Provide an effectiveness measure.

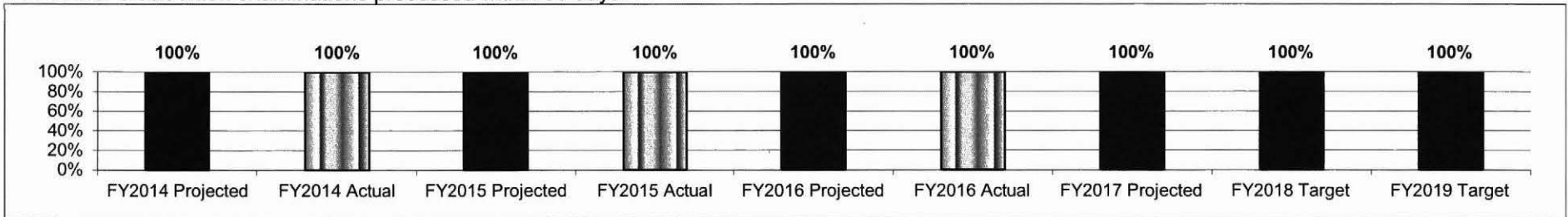
Percent of Missouri credit unions rated with a 1, 2, or 3*.



*A credit union's performance is measured by the CAMEL ratings. The CAMEL rating system (1 - 5) is based upon evaluation of critical elements of a credit union's operations. Credit unions rated at a CAMEL rate of 4 or 5 are considered to be performing poorly.

7b. Provide an efficiency measure.

Percent of credit union examinations processed within 30 days.



7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Missouri Credit Union Members	1,333,346	1,338,861	1,339,207	1,374,580	1,374,601	1,397,660	1,374,648	1,471,283	1,471,283

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

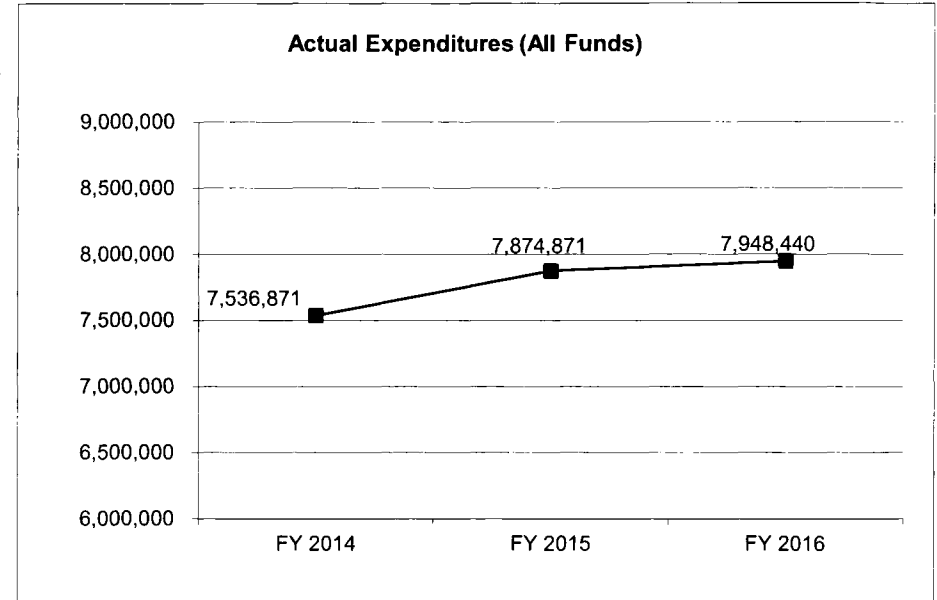
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit					42510C									
Division of Finance																			
Core - Finance										HB Section					7.445				
1. CORE FINANCIAL SUMMARY																			
FY 2018 Budget Request										FY 2018 Governor's Recommendation									
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E		GR	Federal	Other	Total	E		
PS	0	0	8,119,094	8,119,094		PS	0	0	8,119,094	8,119,094		PS	0	0	8,119,094	8,119,094			
EE	0	0	927,276	927,276		EE	0	0	927,276	927,276		EE	0	0	927,276	927,276			
PSD	0	0	1,000	1,000		PSD	0	0	1,000	1,000		PSD	0	0	1,000	1,000			
TRF	0	0	0	0		TRF	0	0	0	0		TRF	0	0	0	0			
Total	0	0	9,047,370	9,047,370		Total	0	0	9,047,370	9,047,370		Total	0	0	9,047,370	9,047,370			
FTE	0.00	0.00	118.15	118.15		FTE	0.00	0.00	118.15	118.15		FTE	0.00	0.00	118.15	118.15			
Est. Fringe	0	0	3,434,403	3,434,403		Est. Fringe	0	0	3,434,403	3,434,403		Est. Fringe	0	0	3,434,403	3,434,403			
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.						Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.						Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.							
Other Funds: Division of Finance Fund (0550)						Other Funds: Division of Finance Fund (0550)						Other Funds: Division of Finance Fund (0550)							
2. CORE DESCRIPTION																			
The Division of Finance is responsible for the regulation of state-chartered financial institutions in Missouri to ensure a sound banking system and protect depositor's funds. The health and vitality of Missouri's banking industry is critical to the state's economic well-being. Bank failures have a negative impact on a state's economy and threaten the public's confidence in the banking system. It is important that Missouri citizens have confidence that the money deposited in the state's financial institutions is safe. The Division of Finance helps to ensure the safety and soundness of Missouri's financial institutions through the chartering and regulation of state chartered banks, trust companies, and savings and loan associations. The division also licenses and regulates consumer credit companies, credit service organizations, money order companies, residential mortgage brokers, and loan originators. Statutes require that each state bank, trust company, and savings and loan association be examined for safety and soundness at least every 18 months. Consumer credit companies as well as banks are examined periodically for compliance with statutes and regulations governing finance charges, credit insurance fees, etc. The Conference of State Bank Supervisors (CSBS) dues are paid from this core. The CSBS is the only national organization that provides a forum for all 50 states and their commissioners to advocate for the dual banking system and preservation of the state bank charter. CSBS also provides a wide array of professional development and training courses for regulators and conducts a comprehensive Accreditation Program in order to maintain quality standards and best practices within each state banking department.																			
3. PROGRAM LISTING (list programs included in this core funding)																			
Bank and Trust Company Regulation Consumer Credit Licensing and Regulation																			

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42510C
Division of Finance		
Core - Finance	HB Section	7.445

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	8,552,612	8,617,233	8,657,921	9,047,370
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	8,552,612	8,617,233	8,657,921	9,047,370
Actual Expenditures (All Funds)	7,536,871	7,874,871	7,948,440	N/A
Unexpended (All Funds)	1,015,741	742,362	709,481	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,015,741	742,362	709,481	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to lower than expected expenditures.
- (2) Unexpended amount is due to lower than expected expenditures.
- (3) Unexpended amount is due to lower than expected expenditures.

CORE RECONCILIATION DETAIL

**DIFP
FINANCE**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	118.15	0	0	8,119,094	8,119,094	
	EE	0.00	0	0	927,276	927,276	
	PD	0.00	0	0	1,000	1,000	
	Total	118.15	0	0	9,047,370	9,047,370	
DEPARTMENT CORE REQUEST							
	PS	118.15	0	0	8,119,094	8,119,094	
	EE	0.00	0	0	927,276	927,276	
	PD	0.00	0	0	1,000	1,000	
	Total	118.15	0	0	9,047,370	9,047,370	
GOVERNOR'S RECOMMENDED CORE							
	PS	118.15	0	0	8,119,094	8,119,094	
	EE	0.00	0	0	927,276	927,276	
	PD	0.00	0	0	1,000	1,000	
	Total	118.15	0	0	9,047,370	9,047,370	

DIFP**DECISION ITEM SUMMARY**

Budget Unit									
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
FINANCE									
CORE									
PERSONAL SERVICES									
DIVISION OF FINANCE	7,202,354	109.47	8,119,094	118.15	8,119,094	118.15	8,119,094	118.15	
TOTAL - PS	7,202,354	109.47	8,119,094	118.15	8,119,094	118.15	8,119,094	118.15	
EXPENSE & EQUIPMENT									
DIVISION OF FINANCE	738,786	0.00	927,276	0.00	927,276	0.00	927,276	0.00	
TOTAL - EE	738,786	0.00	927,276	0.00	927,276	0.00	927,276	0.00	
PROGRAM-SPECIFIC									
DIVISION OF FINANCE	7,300	0.00	1,000	0.00	1,000	0.00	1,000	0.00	
TOTAL - PD	7,300	0.00	1,000	0.00	1,000	0.00	1,000	0.00	
TOTAL	7,948,440	109.47	9,047,370	118.15	9,047,370	118.15	9,047,370	118.15	
GRAND TOTAL	\$7,948,440	109.47	\$9,047,370	118.15	\$9,047,370	118.15	\$9,047,370	118.15	

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 42510C BUDGET UNIT NAME: Finance HOUSE BILL SECTION: 7.445	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Finance	
1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.		
DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION	
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.	
2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.		
PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.
3. Please explain how flexibility was used in the prior and/or current years.		
PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE	
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
FINANCE								
CORE								
SALARIES & WAGES	0	0.00	234,854	0.00	0	0.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	1,268	0.02	0	0.00	0	0.00	0	0.00
SPECIAL ASST PROFESSIONAL	20	0.00	0	0.00	0	0.00	0	0.00
ADMIN OFFICE SUPPORT ASSISTANT	65,616	2.00	69,374	2.00	69,374	2.00	69,374	2.00
ADMINISTRATIVE SECRETARY	81,955	2.00	83,202	2.00	83,202	2.00	83,202	2.00
SR OFC SUPPORT ASST (KEYBRD)	26,713	0.99	54,911	2.00	28,911	1.00	28,911	1.00
SENIOR ACCOUNTING CLERK	28,596	1.00	29,168	1.00	29,168	1.00	29,168	1.00
ASSISTANT BANK EXAMINER	142,788	3.43	206,815	5.00	208,858	5.00	208,858	5.00
SENIOR ASSISTANT BANK EXAMINER	224,004	4.54	252,623	5.00	201,116	4.00	201,116	4.00
BANK EXAMINER	502,454	8.34	676,241	11.00	122,952	2.00	122,952	2.00
SENIOR BANK EXAMINER I	815,584	11.67	993,831	14.00	572,131	8.00	572,131	8.00
REVIEW EXAMINER	252,578	3.00	339,053	4.00	339,053	4.00	339,053	4.00
SENIOR ASSISTANT TRUST EXAM	14,447	0.29	101,049	2.00	50,524	1.00	50,524	1.00
TRUST SUPERVISOR	83,319	1.00	84,566	1.00	84,566	1.00	84,566	1.00
DISTRICT SUPERVISOR	452,428	5.00	458,827	5.00	468,233	5.00	468,233	5.00
REPORT ANALYST	35,514	1.00	40,845	1.00	40,845	1.00	40,845	1.00
ASSISTANT BANK EXAMINER II	148,377	3.29	137,936	3.00	137,936	3.00	137,936	3.00
ASSIST TRUST EXAMINER II	76,139	1.69	0	0.00	0	0.00	0	0.00
ASST CONS. CREDIT EXAMINER	28,819	0.70	41,363	1.00	82,726	2.00	82,726	2.00
SENIOR ASST CONS. CREDIT EXAM	39,214	0.79	0	0.00	0	0.00	0	0.00
CONSUMER CREDIT EXAMINER	60,271	1.00	61,476	1.00	61,476	1.00	61,476	1.00
SR CONS CREDIT EXAMINER I	223,674	3.21	141,976	2.00	70,988	1.00	70,988	1.00
ASST CONSUMER CREDIT EXAM II	0	0.00	0	0.00	45,978	1.00	45,978	1.00
SUPERVISOR OF CONSUMER CREDIT	90,031	1.00	91,406	1.00	91,406	1.00	91,406	1.00
SENIOR BANK EXAMINER II	777,862	10.58	601,718	8.00	827,365	11.00	827,365	11.00
SENIOR BANK EXAMINER III	926,488	11.50	1,129,434	14.00	1,778,154	21.00	1,778,154	21.00
SENIOR TRUST EXAMINER III	78,690	1.00	80,674	1.00	80,674	1.00	80,674	1.00
SR CONS CREDIT EXAMINER II	122,472	1.71	150,430	2.00	225,645	3.00	225,645	3.00
SR CONS CREDIT EXAMINER III	153,787	1.95	242,022	3.00	242,022	3.00	242,022	3.00
SUPVSR OF MORTGAGE LICENSING	85,993	1.00	87,170	1.00	87,170	1.00	87,170	1.00
SENIOR ASSISTANT EXAMINER II	238,076	4.54	107,051	2.00	160,575	3.00	160,575	3.00
BANK EXAMINER II	344,526	5.33	328,843	5.00	657,680	10.00	657,680	10.00

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
FINANCE								
CORE								
SR ASST CONS CREDIT EXAM II	10,932	0.21	53,526	1.00	0	0.00	0	0.00
SENIOR ASST TRUST EXAMINER II	0	0.00	0	0.00	53,525	1.00	53,525	1.00
CONSUMER CREDIT EXAMINER II	91,345	1.42	131,537	2.00	65,768	1.00	65,768	1.00
SENIOR ASSISTANT MORTGAGE EXAM	32,451	0.66	50,525	1.00	0	0.00	0	0.00
SENIOR MORTGAGE EXAMINER II	0	0.00	0	0.00	75,215	1.00	75,215	1.00
PERSONNEL OFFICER	44,179	1.00	44,693	1.00	44,693	1.00	44,693	1.00
ASSISTANT MORTGAGE EXAMINER	42,555	1.00	0	0.00	0	0.00	0	0.00
ASSISTANT MORTGAGE EXAMINER II	15,026	0.33	45,979	1.00	45,979	1.00	45,979	1.00
SR ASST MORTGAGE EXAMINER II	14,312	0.27	0	0.00	53,525	1.00	53,525	1.00
MORTGAGE EXAMINER	104,104	1.73	122,953	2.00	0	0.00	0	0.00
MORTGAGE EXAMINER II	0	0.00	0	0.00	131,536	2.00	131,536	2.00
SENIOR MORTGAGE EXAMINER I	69,596	1.00	70,988	1.00	0	0.00	0	0.00
SENIOR MORTGAGE EXAMINER III	156,576	2.00	161,348	2.00	161,348	2.00	161,348	2.00
EXAMINER SPECIALIST	53,069	1.00	53,460	1.00	53,460	1.00	53,460	1.00
MORTGAGE LICENSING TECHNICIAN	0	0.00	0	0.00	26,000	1.00	26,000	1.00
DIVISION DIRECTOR	0	0.00	109,069	1.00	104,287	1.00	104,287	1.00
DEPUTY DIVISION DIRECTOR	102,243	1.00	97,938	1.00	99,543	1.00	99,543	1.00
CHIEF EXAMINER	96,859	1.00	98,318	1.00	98,318	1.00	98,318	1.00
SENIOR COUNSEL	76,982	1.00	78,153	1.00	78,153	1.00	78,153	1.00
CHIEF COUNSEL	97,591	1.00	93,468	1.00	93,468	1.00	93,468	1.00
FISCAL AND ADMINISTRATIVE MNGR	53,303	1.00	54,114	1.00	54,114	1.00	54,114	1.00
BOARD MEMBER	0	0.00	4,924	0.15	4,924	0.15	4,924	0.15
MISCELLANEOUS PROFESSIONAL	19,528	0.28	21,243	1.00	26,510	1.00	26,510	1.00
TOTAL - PS	7,202,354	109.47	8,119,094	118.15	8,119,094	118.15	8,119,094	118.15
TRAVEL, IN-STATE	368,679	0.00	466,525	0.00	466,525	0.00	466,525	0.00
TRAVEL, OUT-OF-STATE	69,880	0.00	132,369	0.00	102,369	0.00	102,369	0.00
SUPPLIES	54,010	0.00	67,133	0.00	67,133	0.00	67,133	0.00
PROFESSIONAL DEVELOPMENT	138,196	0.00	127,086	0.00	147,086	0.00	147,086	0.00
COMMUNICATION SERV & SUPP	31,030	0.00	36,325	0.00	36,325	0.00	36,325	0.00
PROFESSIONAL SERVICES	33,636	0.00	67,323	0.00	67,323	0.00	67,323	0.00
M&R SERVICES	2,269	0.00	5,175	0.00	5,175	0.00	5,175	0.00
MOTORIZED EQUIPMENT	0	0.00	1	0.00	1	0.00	1	0.00

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DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
FINANCE								
CORE								
OFFICE EQUIPMENT	33,439	0.00	13,293	0.00	23,293	0.00	23,293	0.00
OTHER EQUIPMENT	899	0.00	6,000	0.00	6,000	0.00	6,000	0.00
BUILDING LEASE PAYMENTS	25	0.00	1	0.00	1	0.00	1	0.00
EQUIPMENT RENTALS & LEASES	290	0.00	100	0.00	100	0.00	100	0.00
MISCELLANEOUS EXPENSES	2,293	0.00	1,805	0.00	1,805	0.00	1,805	0.00
REBILLABLE EXPENSES	4,140	0.00	4,140	0.00	4,140	0.00	4,140	0.00
TOTAL - EE	738,786	0.00	927,276	0.00	927,276	0.00	927,276	0.00
REFUNDS	7,300	0.00	1,000	0.00	1,000	0.00	1,000	0.00
TOTAL - PD	7,300	0.00	1,000	0.00	1,000	0.00	1,000	0.00
GRAND TOTAL	\$7,948,440	109.47	\$9,047,370	118.15	\$9,047,370	118.15	\$9,047,370	118.15
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$7,948,440	109.47	\$9,047,370	118.15	\$9,047,370	118.15	\$9,047,370	118.15

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.445

Bank and Trust Company Regulation

Program is found in the following core budget(s): Finance

1. What does this program do?

This program is responsible for the chartering, regulation and licensing of Missouri state-chartered banks and trust companies. As of September 30, 2016, Missouri ranked third in the nation in the number of state-chartered banks with 251 state-chartered banks, 4 non-deposit trust companies, and 5 savings and loan associations regulated by the division. Assets in Missouri state-chartered banks totaled \$119.6 billion on September 30, 2016. The 5 nondeposit trust companies held a combined total of \$16.2 billion in trust assets as of calendar year end 2015. The Conference of State Bank Supervisors (CSBS) dues are paid from this program. The CSBS is the only national organization that provides a forum for all 50 states and their commissioners to advocate for the dual banking system and preservation of the state bank charter. CSBS also provides a wide array of professional development and training courses for regulators and conducts a comprehensive Accreditation Program in order to maintain quality standards and best practices within each state banking department.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 361, 362, 369 and 443 RSMo.

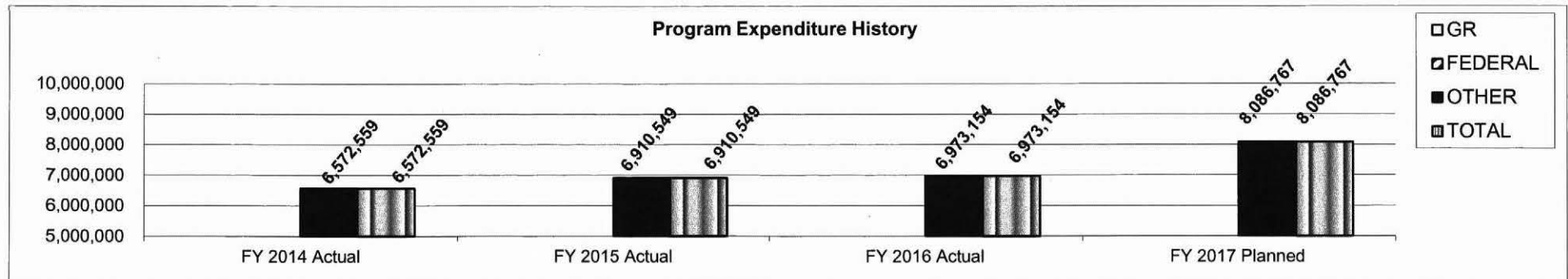
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Finance Fund (0550)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

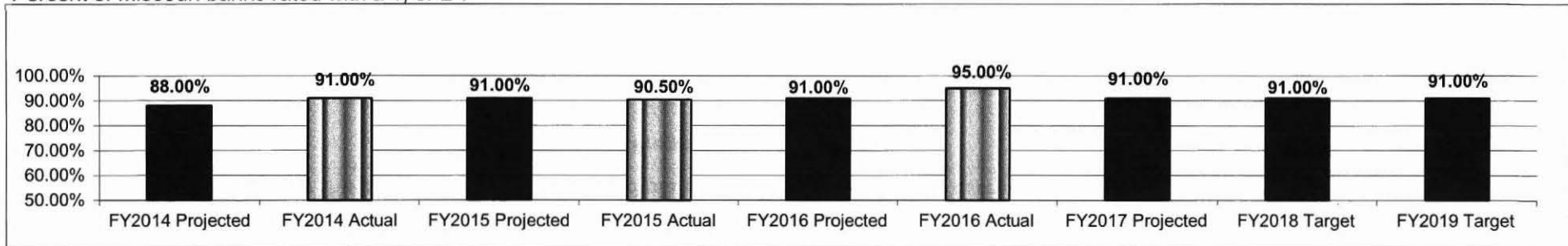
HB Section(s): 7.445

Bank and Trust Company Regulation

Program is found in the following core budget(s): Finance

7a. Provide an effectiveness measure.

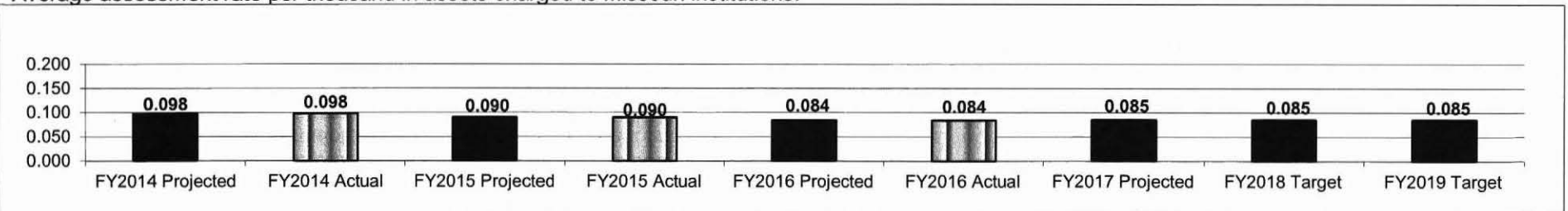
Percent of Missouri banks rated with a 1, or 2*.



*A bank's performance is measured by the CAMELS ratings. The CAMELS rating system (1 - 5) is based upon evaluation of critical elements of a bank's operations. Banks rated at a CAMELS rate of 3, 4 or 5 are considered to be problem institutions.

7b. Provide an efficiency measure.

Average assessment rate per thousand in assets charged to Missouri institutions.



7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
State-chartered Banks	262	262	262	262	262	258	258	258	258

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.445

Consumer Credit Licensing and Regulation

Program is found in the following core budget(s): Finance

1. What does this program do?

This program is responsible for the licensing and regulation of various consumer credit outlets which include finance companies, pay day loan companies, consumer installment lender companies and title loan companies.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 364, 365, 367 and 408 RSMo.

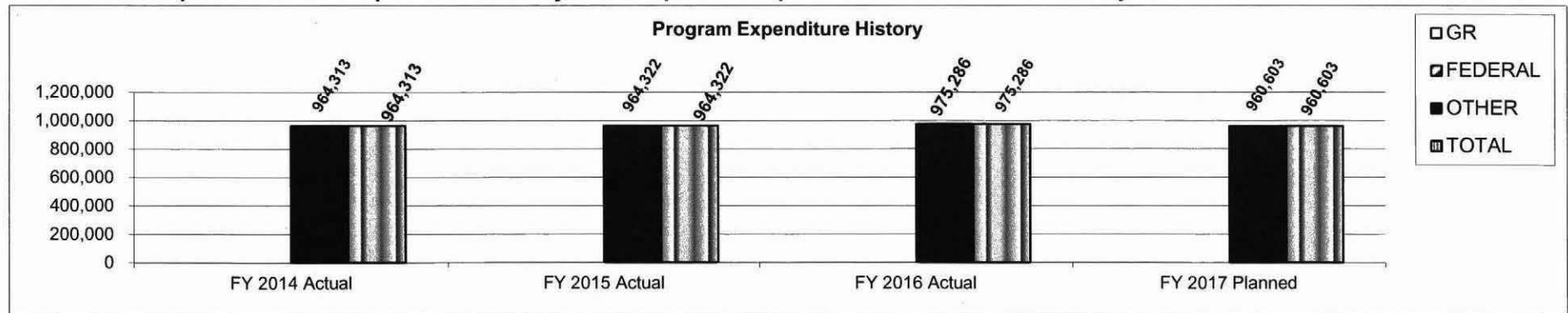
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Finance Fund (0550)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

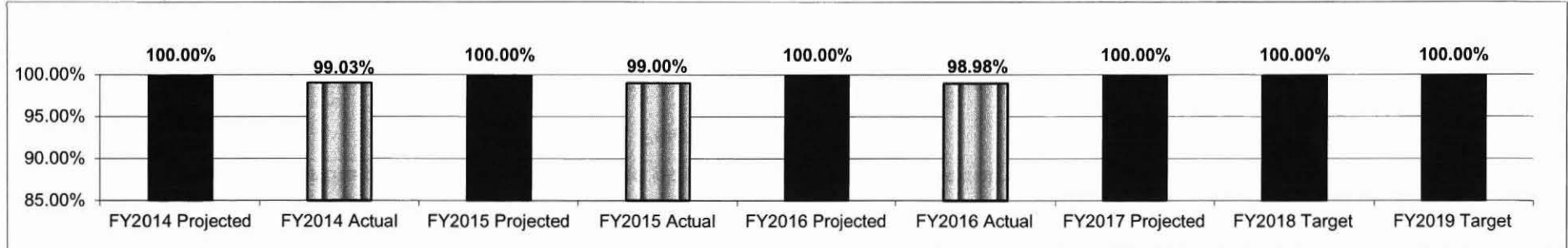
HB Section(s): 7.445

Consumer Credit Licensing and Regulation

Program is found in the following core budget(s): Finance

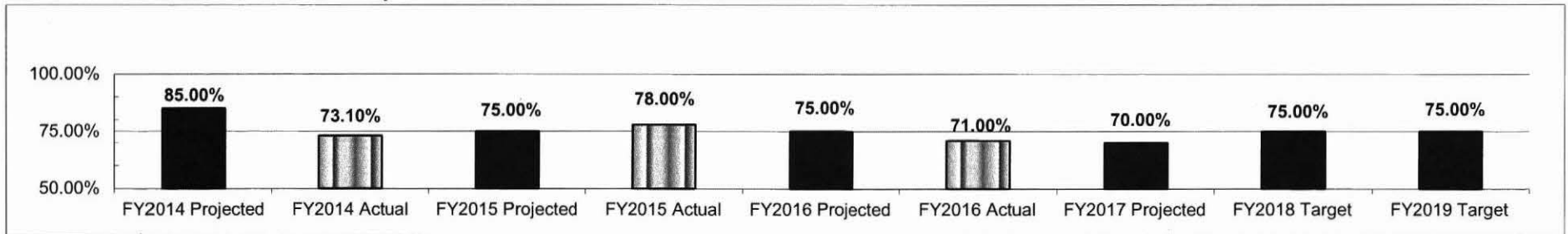
7a. Provide an effectiveness measure.

Percent of compliant licensees.



7b. Provide an efficiency measure.

Percent of licensees examined each year.



7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Licensees	2,900	2,878	2,875	2,882	2,875	2,693	2,400	2,000	1,600

7d. Provide a customer satisfaction measure, if available.

None available.

CORE DECISION ITEM

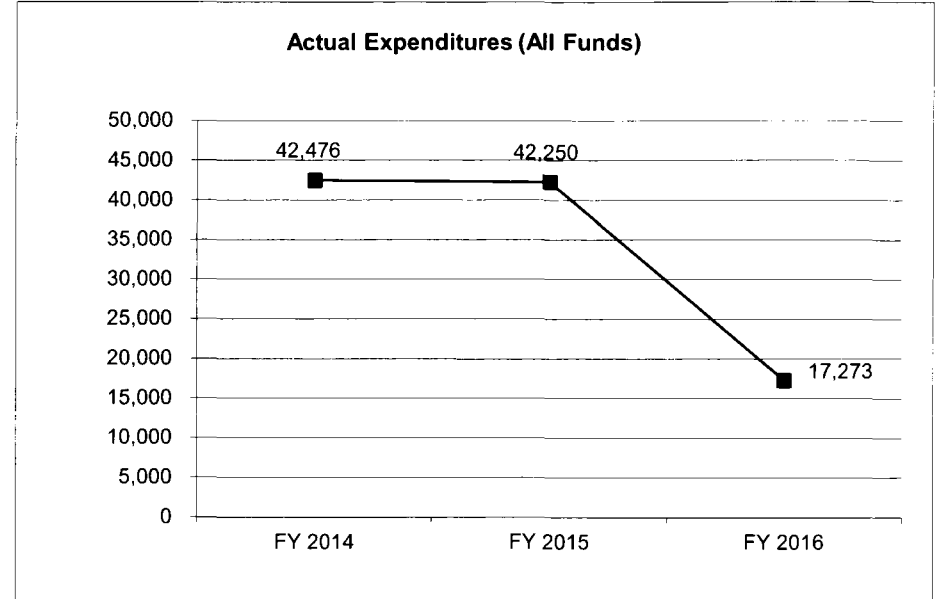
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42520C</u>						
Division of Finance											
Core - Savings and Loan Supervision Fund Transfer to Finance Fund					HB Section <u>7.450</u>						
1. CORE FINANCIAL SUMMARY											
	FY 2018 Budget Request						FY 2018 Governor's Recommendation				
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	0	0		EE	0	0	0	0	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	50,000	50,000		TRF	0	0	50,000	50,000	
Total	<u>0</u>	<u>0</u>	<u>50,000</u>	<u>50,000</u>		Total	<u>0</u>	<u>0</u>	<u>50,000</u>	<u>50,000</u>	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Division of Savings and Loan Supervision Fund (0549)						Other Funds: Division of Savings and Loan Supervision Fund (0549)					
2. CORE DESCRIPTION											
<p>This transfer provides funds to the Division of Finance Fund from the Savings and Loan Supervision Fund to meet the salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
Savings and Loan Supervision Transfer											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42520C
Division of Finance		
Core - Savings and Loan Supervision Fund Transfer to Finance Fund	HB Section	7.450

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	50,000	50,000	50,000	50,000
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	50,000	50,000	50,000	50,000
Actual Expenditures (All Funds)	42,476	42,250	17,273	N/A
Unexpended (All Funds)	7,524	7,750	32,727	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	7,524	7,750	32,727	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Required transfer amount less than appropriation.
- (2) Required transfer amount less than appropriation.
- (3) Required transfer amount less than appropriation.

CORE RECONCILIATION DETAIL

**DIFP
S&L FUND TRANSFER**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	50,000	50,000	
	Total	0.00	0	0	50,000	50,000	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	50,000	50,000	
	Total	0.00	0	0	50,000	50,000	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	50,000	50,000	
	Total	0.00	0	0	50,000	50,000	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
S&L FUND TRANSFER								
CORE								
FUND TRANSFERS								
DIV SAVINGS & LOAN SUPERVISION	17,273	0.00	50,000	0.00	50,000	0.00	50,000	0.00
TOTAL - TRF	17,273	0.00	50,000	0.00	50,000	0.00	50,000	0.00
TOTAL	17,273	0.00	50,000	0.00	50,000	0.00	50,000	0.00
GRAND TOTAL	\$17,273	0.00	\$50,000	0.00	\$50,000	0.00	\$50,000	0.00

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DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
S&L FUND TRANSFER								
CORE								
TRANSFERS OUT	17,273	0.00	50,000	0.00	50,000	0.00	50,000	0.00
TOTAL - TRF	17,273	0.00	50,000	0.00	50,000	0.00	50,000	0.00
GRAND TOTAL	\$17,273	0.00	\$50,000	0.00	\$50,000	0.00	\$50,000	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$17,273	0.00	\$50,000	0.00	\$50,000	0.00	\$50,000	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.450

Savings and Loan Supervision Fund Transfer to Finance Fund

Program is found in the following core budget(s): Savings and Loan Supervision Fund Transfer to Finance Fund

1. What does this program do?

This transfer provides funds to the Division of Finance Fund from the Division of Savings and Loan Supervision fund to meet salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 369 RSMo.

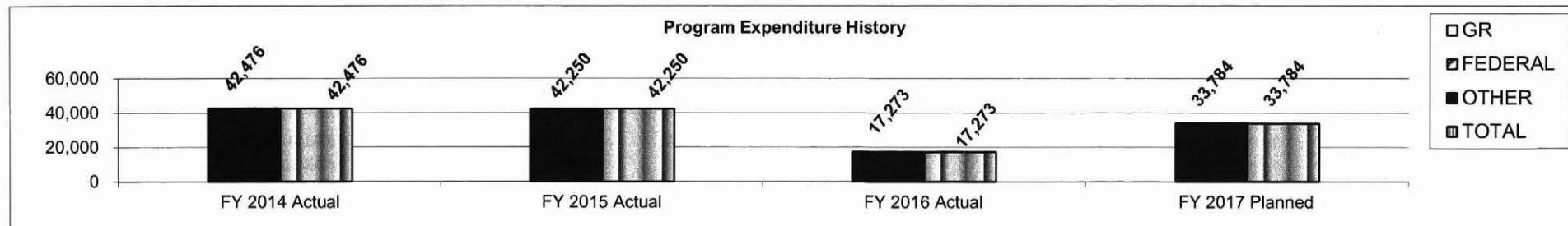
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Savings and Loan Supervision Fund (0549)

7a. Provide an effectiveness measure.

None available.

7b.

Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d.

Provide a customer satisfaction measure, if available.

None available.

CORE DECISION ITEM

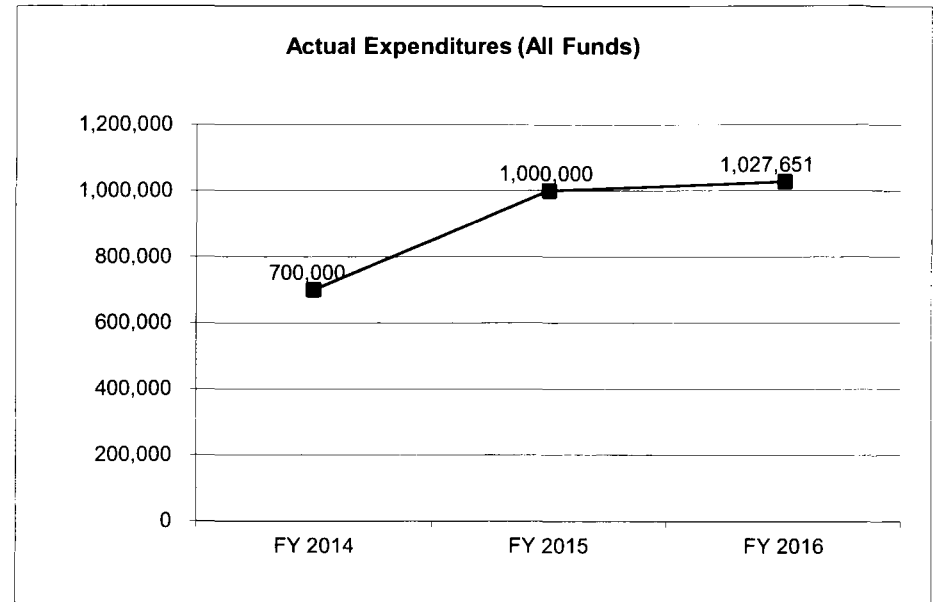
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42550C</u>						
Division of Finance											
Core - Residential Mortgage Licensing Fund Transfer to Finance Fund					HB Section <u>7.455</u>						
1. CORE FINANCIAL SUMMARY											
	FY 2018 Budget Request						FY 2018 Governor's Recommendation				
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	0	0		EE	0	0	0	0	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	1,200,000	1,200,000		TRF	0	0	1,200,000	1,200,000	
Total	<u>0</u>	<u>0</u>	<u>1,200,000</u>	<u>1,200,000</u>		Total	<u>0</u>	<u>0</u>	<u>1,200,000</u>	<u>1,200,000</u>	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Residential Mortgage Licensing Fund (0261)						Other Funds: Residential Mortgage Licensing Fund (0261)					
2. CORE DESCRIPTION											
<p>This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
Residential Mortgage Licensing Fund Transfer											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42550C
Division of Finance		
Core - Residential Mortgage Licensing Fund Transfer to Finance Fund	HB Section	7.455

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	700,000	1,000,000	1,200,000	1,200,000
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	700,000	1,000,000	1,200,000	1,200,000
Actual Expenditures (All Funds)	700,000	1,000,000	1,027,651	N/A
Unexpended (All Funds)	0	0	172,349	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	0	0	172,349	N/A
			(1)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

(1) Required transfer amount less than appropriation.

CORE RECONCILIATION DETAIL

DIFP
RESIDENTIAL MORTGAGE FUND TRF

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	1,200,000	1,200,000	
	Total	0.00	0	0	1,200,000	1,200,000	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	1,200,000	1,200,000	
	Total	0.00	0	0	1,200,000	1,200,000	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	1,200,000	1,200,000	
	Total	0.00	0	0	1,200,000	1,200,000	

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
RESIDENTIAL MORTGAGE FUND TRF								
CORE								
FUND TRANSFERS								
RESIDENTIAL MORTGAGE LICENSING	1,027,651	0.00	1,200,000	0.00	1,200,000	0.00	1,200,000	0.00
TOTAL - TRF	1,027,651	0.00	1,200,000	0.00	1,200,000	0.00	1,200,000	0.00
TOTAL	1,027,651	0.00	1,200,000	0.00	1,200,000	0.00	1,200,000	0.00
GRAND TOTAL	\$1,027,651	0.00	\$1,200,000	0.00	\$1,200,000	0.00	\$1,200,000	0.00

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DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
RESIDENTIAL MORTGAGE FUND TRF								
CORE								
TRANSFERS OUT	1,027,651	0.00	1,200,000	0.00	1,200,000	0.00	1,200,000	0.00
TOTAL - TRF	1,027,651	0.00	1,200,000	0.00	1,200,000	0.00	1,200,000	0.00
GRAND TOTAL	\$1,027,651	0.00	\$1,200,000	0.00	\$1,200,000	0.00	\$1,200,000	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$1,027,651	0.00	\$1,200,000	0.00	\$1,200,000	0.00	\$1,200,000	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.455

Residential Mortgage Licensing Fund Transfer

Program is found in the following core budget(s): Residential Mortgage Licensing Fund Transfer to Finance Fund

1. What does this program do?

This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 443.845 RSMo.

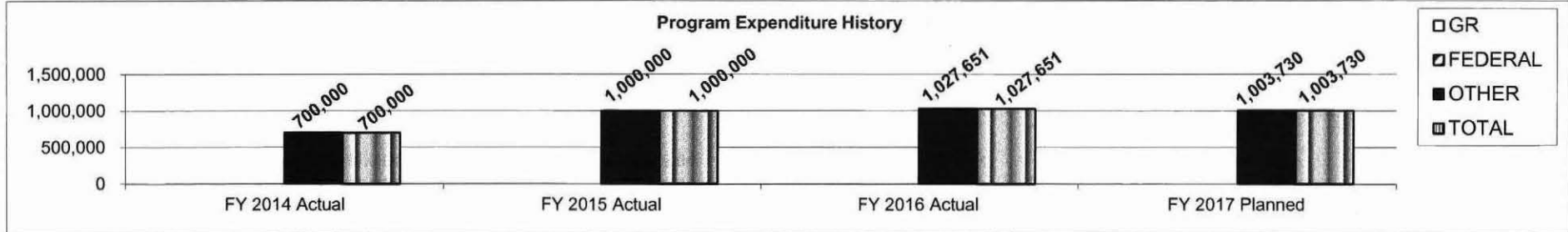
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Residential Mortgage Licensing Fund (0261)

7a. Provide an effectiveness measure.

None available.

7b.

Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d.

Provide a customer satisfaction measure, if available.

None available.

CORE DECISION ITEM

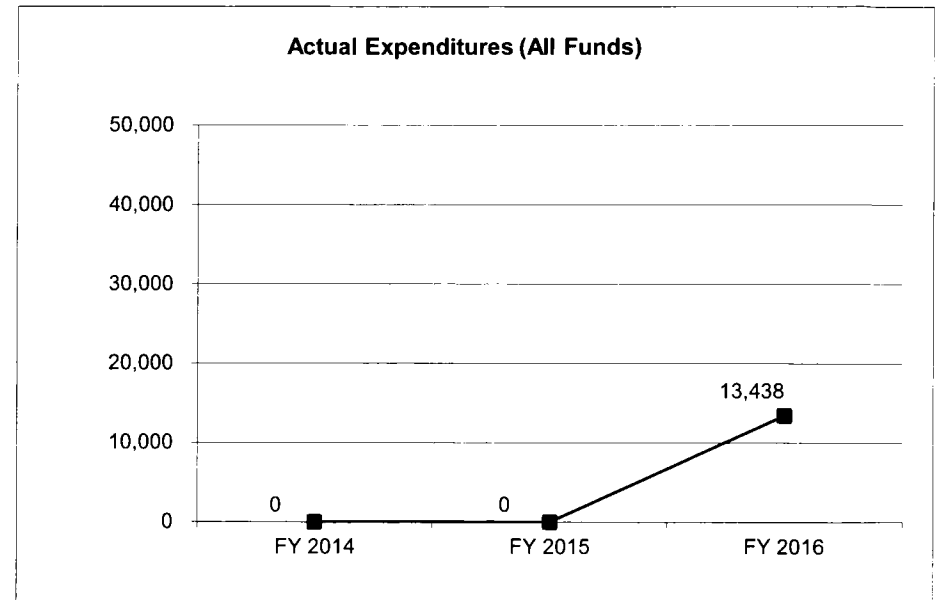
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit					42540C									
Division of Finance																			
Core - Savings and Loan Supervision Fund Transfer to General Revenue										HB Section					7.460				
1. CORE FINANCIAL SUMMARY																			
FY 2018 Budget Request										FY 2018 Governor's Recommendation									
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E		GR	Federal	Other	Total	E		
PS	0	0	0	0		PS	0	0	0	0			0	0	0	0			
EE	0	0	0	0		EE	0	0	0	0			0	0	0	0			
PSD	0	0	0	0		PSD	0	0	0	0			0	0	0	0			
TRF	0	0	50,000	50,000		TRF	0	0	50,000	50,000			0	0	50,000	50,000			
Total	0	0	50,000	50,000		Total	0	0	50,000	50,000			0	0	50,000	50,000			
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00			0.00	0.00	0.00	0.00			
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0			0	0	0	0			
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.										Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.									
Other Funds: Division of Savings and Loan Supervision Fund (0549)										Other Funds: Division of Savings and Loan Supervision Fund (0549)									
2. CORE DESCRIPTION																			
In accordance with State Statute Chapter 369.324 RSMo., any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year which exceeds five percent of the amount assessed to the savings and loan associations shall be transferred to general revenue. This transfer is necessary to meet the requirements of the statute.																			
3. PROGRAM LISTING (list programs included in this core funding)																			
Division of Savings and Loan Supervision Fund Transfer to General Revenue																			

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42540C
Division of Finance		
Core - Savings and Loan Supervision Fund Transfer to General Revenue	HB Section	7.460

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	25,000	25,000	50,000	50,000
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	25,000	25,000	50,000	50,000
Actual Expenditures (All Funds)	0	0	13,438	N/A
Unexpended (All Funds)	25,000	25,000	36,562	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	25,000	25,000	36,562	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) No transfer required for FY 2014.
- (2) No transfer required for FY 2015.
- (3) Required transfer amount less than appropriation.

CORE RECONCILIATION DETAIL

DIFP
S&L FUND TRANSFER TO GR

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	50,000	50,000	
	Total	0.00	0	0	50,000	50,000	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	50,000	50,000	
	Total	0.00	0	0	50,000	50,000	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	50,000	50,000	
	Total	0.00	0	0	50,000	50,000	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
S&L FUND TRANSFER TO GR								
CORE								
FUND TRANSFERS								
DIV SAVINGS & LOAN SUPERVISION	13,438	0.00	50,000	0.00	50,000	0.00	50,000	0.00
TOTAL - TRF	13,438	0.00	50,000	0.00	50,000	0.00	50,000	0.00
TOTAL	13,438	0.00	50,000	0.00	50,000	0.00	50,000	0.00
GRAND TOTAL	\$13,438	0.00	\$50,000	0.00	\$50,000	0.00	\$50,000	0.00

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DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
S&L FUND TRANSFER TO GR								
CORE								
TRANSFERS OUT	13,438	0.00	50,000	0.00	50,000	0.00	50,000	0.00
TOTAL - TRF	13,438	0.00	50,000	0.00	50,000	0.00	50,000	0.00
GRAND TOTAL	\$13,438	0.00	\$50,000	0.00	\$50,000	0.00	\$50,000	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$13,438	0.00	\$50,000	0.00	\$50,000	0.00	\$50,000	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.460

Savings and Loan Supervision Fund Transfer to General Revenue

Program is found in the following core budget(s): Savings and Loan Supervision Fund Transfer to General Revenue

1. What does this program do?

Any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year that exceeds five percent of the amount assessed the saving and loan associations shall be transferred to General Revenue.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 369.324 RSMo.

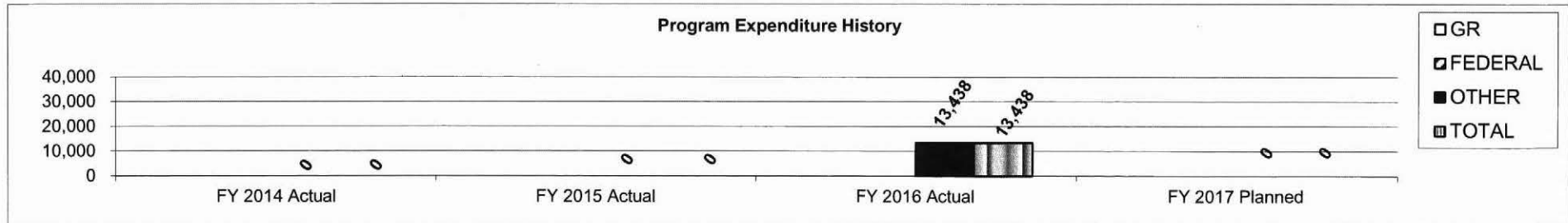
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Savings and Loan Supervision Fund (0549)

7a. Provide an effectiveness measure.

None available.

7b.

Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d.

Provide a customer satisfaction measure, if available.

None available.

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42640C
Professional Registration		
Core - Professional Registration Administration	HB Section	7.465

1. CORE FINANCIAL SUMMARY

FY 2018 Budget Request					FY 2018 Governor's Recommendation						
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	3,498,131	3,498,131		PS	0	0	3,498,131	3,498,131	
EE	0	0	1,289,295	1,289,295		EE	0	0	1,289,295	1,289,295	
PSD	0	0	125,000	125,000		PSD	0	0	125,000	125,000	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	0	0	4,912,426	4,912,426		Total	0	0	4,912,426	4,912,426	
FTE	0.00	0.00	84.50	84.50		FTE	0.00	0.00	84.50	84.50	
Est. Fringe	0	0	1,826,016	1,826,016		Est. Fringe	0	0	1,826,016	1,826,016	
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.						Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					

Other Funds: Professional Registration Fees Fund (0689)

Other Funds: Professional Registration Fees Fund (0689)

2. CORE DESCRIPTION

The core program request is necessary to ensure that the Division of Professional Registration Administration can continue to provide the accounting, budgeting, cash receiving, building maintenance, and other various services to the boards and commissions which regulate professions within the State of Missouri. In addition, this core program request is necessary to ensure the continued high quality of service provided by boxers, wrestlers, martial arts, judges, referees, timekeepers, announcers, promoters, athlete agents, behavior analysts, dietitians, endowed care cemeteries, geologists, hearing instrument specialists, interior designers, interpreters, marital and family therapists, massage therapists, occupational therapists, private investigators, private fire investigators, professional counselors, psychologists, real estate appraisers, respiratory therapists, social workers, tattoo artists, branders, and body piercers licensed in Missouri. Note: The core appropriation for Division/Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology and Barbers, Embalmers & Funeral Directors, Optometry, Podiatry, and Veterinary.

3. PROGRAM LISTING (list programs included in this core funding)

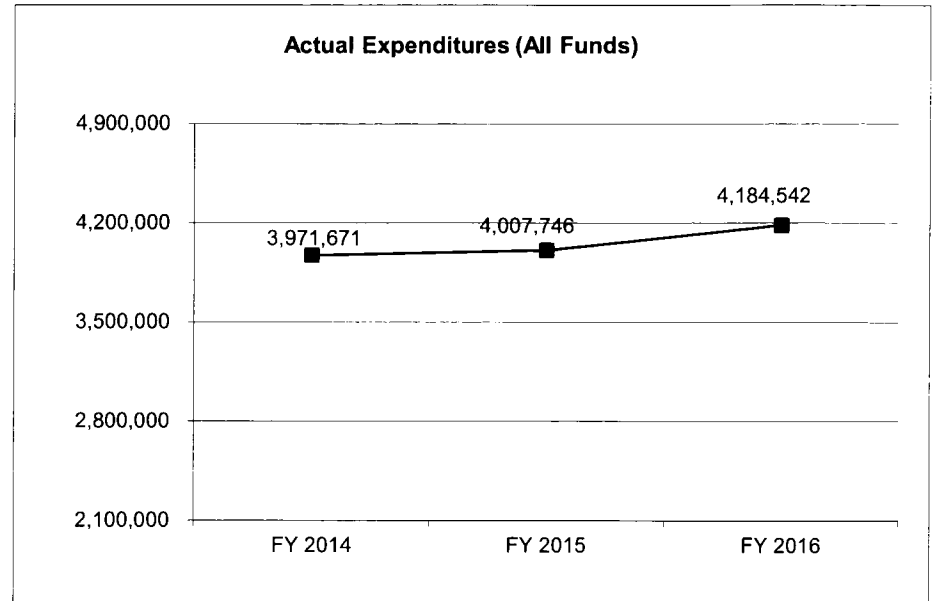
Professional Registration Administration	Board of Geologist Registration	Board of Private Investigator and Private
Missouri Acupuncture Advisory Committee	Board of Hearing Instrument Specialists	Fire Investigator Examiners
Office of Athletics	Interior Design Council	Committee for Professional Counselors
Office of Athlete Agents	State Committee of Interpreters	State Committee of Psychologists
State Board of Chiropractic Examiners (PS Only)	Committee for Marital & Family Therapists	Missouri Real Estate Appraisers Commission
State Board of Cosmetology & Barbers (PS Only)	State Board of Therapeutic Massage	Board for Respiratory Care
Committee for Dietitians	Occupational Therapy	State Committee for Social Workers
State Board of Embalmers & Funeral Directors (PS Only)	State Board of Optometry (PS Only)	Office of Tattooing, Body Piercing & Branding
Endowed Care Cemeteries	State Board of Podiatric Medicine (PS Only)	Board of Veterinary Medicine (PS Only)

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42640C
Professional Registration		
Core - Professional Registration Administration	HB Section	7.465

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	4,790,175	4,826,871	4,843,833	4,912,426
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	4,790,175	4,826,871	4,843,833	4,912,426
Actual Expenditures (All Funds)	3,971,671	4,007,746	4,184,542	N/A
Unexpended (All Funds)	818,504	819,125	659,291	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	818,504	819,125	659,291	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

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PR ADMINISTRATION**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	84.50	0	0	3,498,131	3,498,131	
	EE	0.00	0	0	1,289,295	1,289,295	
	PD	0.00	0	0	125,000	125,000	
	Total	84.50	0	0	4,912,426	4,912,426	
DEPARTMENT CORE REQUEST							
	PS	84.50	0	0	3,498,131	3,498,131	
	EE	0.00	0	0	1,289,295	1,289,295	
	PD	0.00	0	0	125,000	125,000	
	Total	84.50	0	0	4,912,426	4,912,426	
GOVERNOR'S RECOMMENDED CORE							
	PS	84.50	0	0	3,498,131	3,498,131	
	EE	0.00	0	0	1,289,295	1,289,295	
	PD	0.00	0	0	125,000	125,000	
	Total	84.50	0	0	4,912,426	4,912,426	

DIFP**DECISION ITEM SUMMARY**

Budget Unit									
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
PR ADMINISTRATION									
CORE									
PERSONAL SERVICES									
PROFESSIONAL REGISTRATION FEES	3,274,896	89.30	3,498,131	84.50	3,498,131	84.50	3,498,131	84.50	
TOTAL - PS	3,274,896	89.30	3,498,131	84.50	3,498,131	84.50	3,498,131	84.50	
EXPENSE & EQUIPMENT									
PROFESSIONAL REGISTRATION FEES	872,066	0.00	1,289,295	0.00	1,289,295	0.00	1,289,295	0.00	
TOTAL - EE	872,066	0.00	1,289,295	0.00	1,289,295	0.00	1,289,295	0.00	
PROGRAM-SPECIFIC									
PROFESSIONAL REGISTRATION FEES	37,580	0.00	125,000	0.00	125,000	0.00	125,000	0.00	
TOTAL - PD	37,580	0.00	125,000	0.00	125,000	0.00	125,000	0.00	
TOTAL	4,184,542	89.30	4,912,426	84.50	4,912,426	84.50	4,912,426	84.50	
GRAND TOTAL	\$4,184,542	89.30	\$4,912,426	84.50	\$4,912,426	84.50	\$4,912,426	84.50	

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 42640C BUDGET UNIT NAME: Professional Registration Administration HOUSE BILL SECTION: 7.465	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Professional Registration	
1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.		
DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION	
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.	
2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.		
PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.
3. Please explain how flexibility was used in the prior and/or current years.		
PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE	
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR ADMINISTRATION								
CORE								
SR OFC SUPPORT ASST (CLERICAL)	56,108	2.00	60,615	2.00	60,615	2.00	60,615	2.00
ADMIN OFFICE SUPPORT ASSISTANT	29,976	1.00	31,615	1.00	0	0.00	0	0.00
OFFICE SUPPORT ASSISTANT	11,015	0.45	25,500	1.00	25,500	1.00	25,500	1.00
SR OFFICE SUPPORT ASSISTANT	70,782	2.32	61,077	2.00	61,077	2.00	61,077	2.00
ACCOUNT CLERK II	17,488	0.67	27,739	1.00	0	0.00	0	0.00
ACCOUNTANT I	27,995	0.91	33,482	1.00	33,482	1.00	33,482	1.00
ACCOUNTANT II	38,908	1.00	40,419	1.00	40,419	1.00	40,419	1.00
BUDGET ANAL II	34,974	0.91	39,708	1.00	39,708	1.00	39,708	1.00
ACCOUNTING CLERK	8,744	0.33	0	0.00	59,354	2.00	59,354	2.00
PERSONNEL OFFICER	54,288	1.00	55,995	1.00	58,995	1.00	58,995	1.00
RESEARCH ANAL II	36,888	1.00	37,950	1.00	37,950	1.00	37,950	1.00
PUBLIC INFORMATION SPEC II	37,941	1.00	39,953	1.00	39,953	1.00	39,953	1.00
EXECUTIVE I	34,316	1.00	37,686	1.00	77,190	2.00	77,190	2.00
EXECUTIVE II	40,305	1.00	39,504	1.00	0	0.00	0	0.00
PERSONNEL CLERK	30,984	1.00	31,674	1.00	33,174	1.00	33,174	1.00
INVESTIGATOR I	11,410	0.33	0	0.00	36,000	1.00	36,000	1.00
INVESTIGATOR II	120,620	3.04	119,898	3.00	123,898	3.00	123,898	3.00
INVESTIGATOR III	64	0.00	0	0.00	0	0.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	47,062	1.00	47,968	1.00	47,968	1.00	47,968	1.00
INSURANCE FINANCIAL ANALYST II	113,464	2.83	123,385	3.00	124,385	3.00	124,385	3.00
INSPECTOR (PROF REGISTRATION)	322,257	10.85	357,966	11.00	357,966	11.00	357,966	11.00
INSP SUPV (PROF REGISTRATION)	34,209	1.00	40,683	1.00	40,683	1.00	40,683	1.00
FUNERAL ESTABLISHMENT INSP	42,810	1.00	43,770	1.00	43,770	1.00	43,770	1.00
PROF REG ADMSTV COOR	40,380	1.00	41,724	1.00	41,724	1.00	41,724	1.00
FISCAL & ADMINISTRATIVE MGR B2	64,555	1.00	67,479	1.00	67,479	1.00	67,479	1.00
INVESTIGATION MGR B1	54,129	1.00	55,349	1.00	55,349	1.00	55,349	1.00
PROCESSING TECHNICIAN I	180,277	7.30	203,282	8.00	177,782	7.00	177,782	7.00
PROCESSING TECHNICIAN II	422,571	15.29	509,323	17.50	498,823	17.50	498,823	17.50
PROCESSING TECHNICIAN III	65,064	2.00	70,284	2.00	70,284	2.00	70,284	2.00
PROCESSING TECHNICIAN SUPV	84,530	2.34	78,829	2.00	78,829	2.00	78,829	2.00
DIVISION DIRECTOR	110,000	1.00	112,417	1.00	112,417	1.00	112,417	1.00
DESIGNATED PRINCIPAL ASST DIV	18,408	0.32	50,552	3.00	50,552	3.00	50,552	3.00

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR ADMINISTRATION								
CORE								
LEGAL COUNSEL	119,586	2.00	142,320	2.00	133,820	2.00	133,820	2.00
CHIEF COUNSEL	71,205	1.00	72,680	1.00	72,680	1.00	72,680	1.00
BOARD MEMBER	58,720	4.23	64,533	0.00	64,533	0.00	64,533	0.00
CLERK	124,308	4.24	89,400	0.00	89,400	0.00	89,400	0.00
INSPECTOR	42,550	1.64	46,057	0.00	46,057	0.00	46,057	0.00
SPECIAL ASST OFFICIAL & ADMSTR	76,255	1.00	80,357	1.00	79,357	1.00	79,357	1.00
SPECIAL ASST PROFESSIONAL	3,316	0.05	0	0.00	0	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	516,434	8.25	516,958	8.00	516,958	8.00	516,958	8.00
TOTAL - PS	3,274,896	89.30	3,498,131	84.50	3,498,131	84.50	3,498,131	84.50
TRAVEL, IN-STATE	78,604	0.00	119,125	0.00	119,125	0.00	119,125	0.00
TRAVEL, OUT-OF-STATE	44,009	0.00	53,700	0.00	53,700	0.00	53,700	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1	0.00	1	0.00
SUPPLIES	179,559	0.00	149,002	0.00	149,002	0.00	149,002	0.00
PROFESSIONAL DEVELOPMENT	67,149	0.00	169,392	0.00	169,392	0.00	169,392	0.00
COMMUNICATION SERV & SUPP	52,284	0.00	53,525	0.00	53,525	0.00	53,525	0.00
PROFESSIONAL SERVICES	261,923	0.00	605,554	0.00	605,554	0.00	605,554	0.00
M&R SERVICES	26,007	0.00	38,445	0.00	38,445	0.00	38,445	0.00
COMPUTER EQUIPMENT	5,071	0.00	0	0.00	0	0.00	0	0.00
MOTORIZED EQUIPMENT	11,900	0.00	1	0.00	1	0.00	1	0.00
OFFICE EQUIPMENT	45,879	0.00	22,225	0.00	22,225	0.00	22,225	0.00
OTHER EQUIPMENT	41,342	0.00	550	0.00	550	0.00	550	0.00
PROPERTY & IMPROVEMENTS	0	0.00	25,050	0.00	25,050	0.00	25,050	0.00
BUILDING LEASE PAYMENTS	21,572	0.00	18,250	0.00	18,250	0.00	18,250	0.00
EQUIPMENT RENTALS & LEASES	9,384	0.00	2,800	0.00	2,800	0.00	2,800	0.00
MISCELLANEOUS EXPENSES	22,180	0.00	31,675	0.00	31,675	0.00	31,675	0.00
REBILLABLE EXPENSES	5,203	0.00	0	0.00	0	0.00	0	0.00
TOTAL - EE	872,066	0.00	1,289,295	0.00	1,289,295	0.00	1,289,295	0.00

DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR ADMINISTRATION								
CORE								
REFUNDS	37,580	0.00	125,000	0.00	125,000	0.00	125,000	0.00
TOTAL - PD	37,580	0.00	125,000	0.00	125,000	0.00	125,000	0.00
GRAND TOTAL	\$4,184,542	89.30	\$4,912,426	84.50	\$4,912,426	84.50	\$4,912,426	84.50
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$4,184,542	89.30	\$4,912,426	84.50	\$4,912,426	84.50	\$4,912,426	84.50

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Professional Registration Administration

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

Professional Registration Administration provides the accounting, budgeting, cash receiving, building maintenance and other various services to the agencies which regulate professions within the State of Missouri.

Note: The core appropriation for Professional Registration Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology & Barber, Embalmers & Funeral Directors, Optometry, Podiatry and Veterinary.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 620.105-620.154 RSMo.

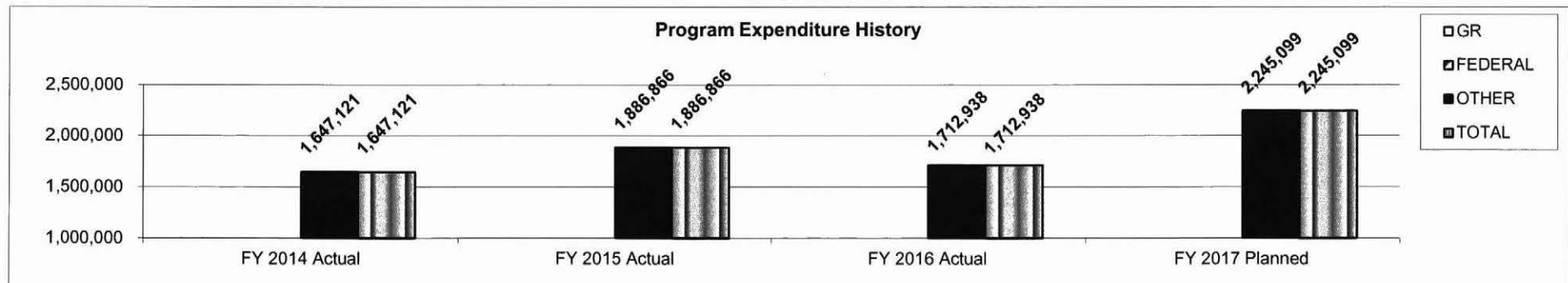
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Professional Registration Fee Fund (0689)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

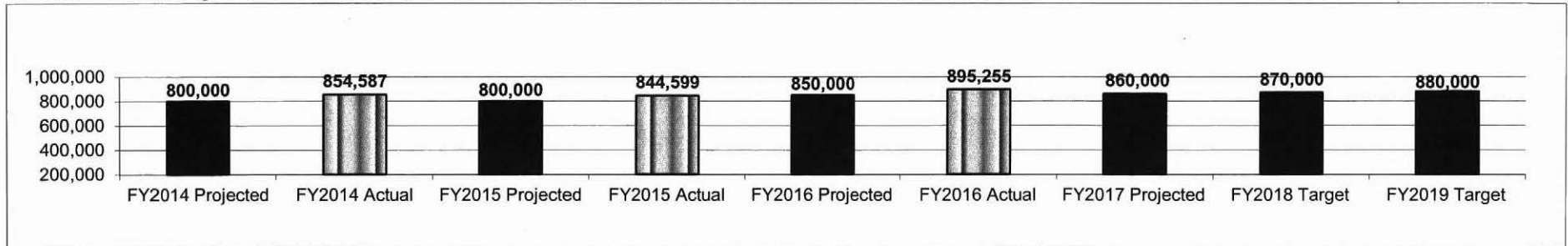
HB Section(s): 7.465

Professional Registration Administration

Program is found in the following core budget(s): Professional Registration Administration

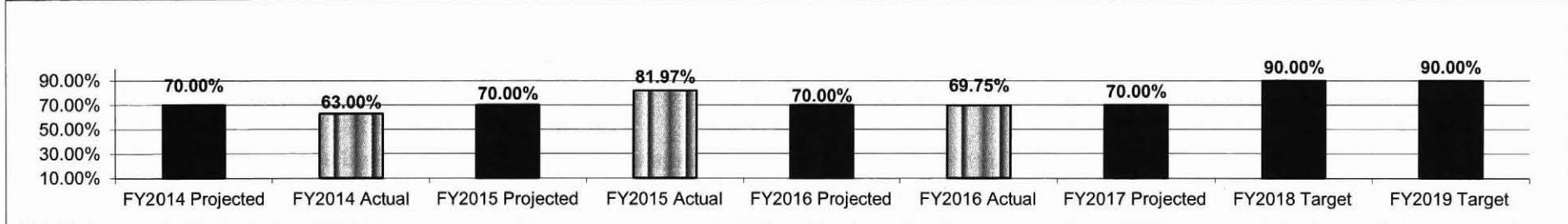
7a. Provide an effectiveness measure.

Increase the average number of monthly division webpage visits by adding more information for professionals.



7b. Provide an efficiency measure.

Percent of renewals processed online.



7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Licensed Professionals	434,597	448,497	434,597	455,693	438,156	467,271	448,497	455,693	501,262
Board Members	244	239	244	239	239	239	239	239	239
Division Employees	222	222	222	222	222	224	222	222	224
Renewals Processed	191,460	197,733	227,280	235,945	191,460	202,288	197,733	235,945	259,540

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Missouri Acupuncturist Advisory Committee

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Acupuncturist Advisory Committee was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating acupuncturists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.475-324.635 RSMo.

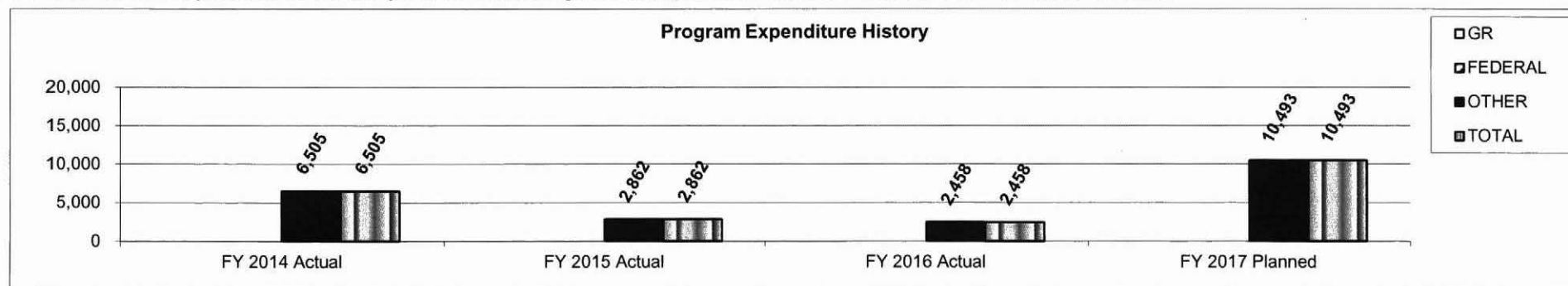
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Acupuncturist Fund (0882)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

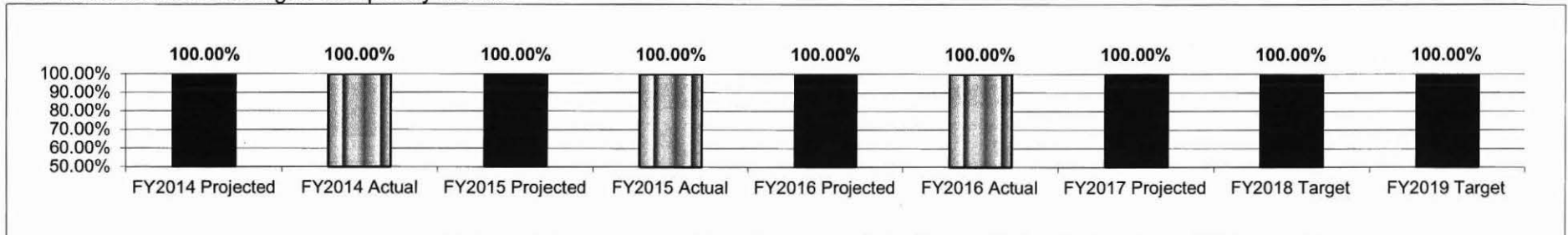
HB Section(s): 7.465

Missouri Acupuncturist Advisory Committee

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	15	10	15	14	15	11	10	12	7
Licensed Professionals	130	127	130	140	130	137	120	110	105

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Office of Athlete Agents

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Uniform Athlete Agents Act was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating athlete agents in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 436.218-436.272 RSMo.

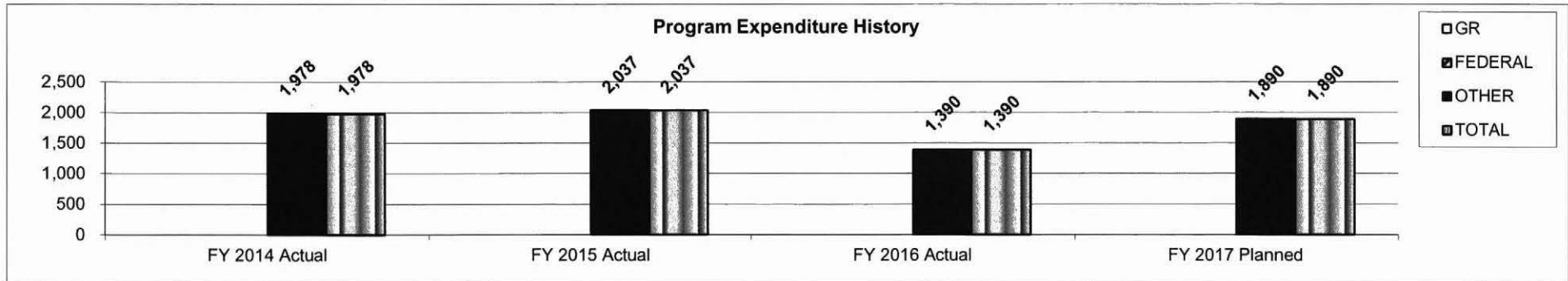
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Athlete Agent Fund (0774)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

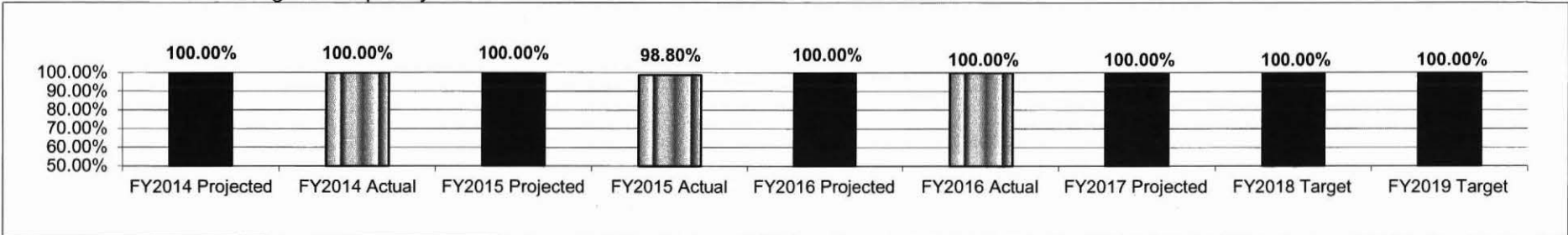
HB Section(s): 7.465

Office of Athlete Agents

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	15	32	15	22	15	16	36	20	12
Licensed Professionals	80	64	80	83	80	52	70	70	60

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Office of Athletics

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Office of Athletics was established to protect the interests of the citizens of the State of Missouri by licensing and regulating contestants, seconds, promoters, referees, judges, announcers, timekeepers, and physicians involved in professional boxing, professional wrestling, professional kickboxing or professional full-contact karate contests in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 317.001-317.021 RSMo.

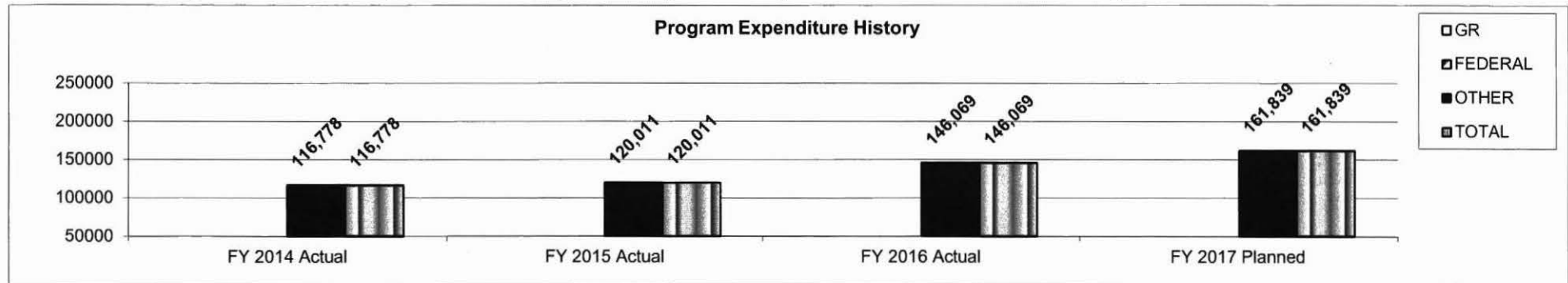
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Office of Athletics (0693)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

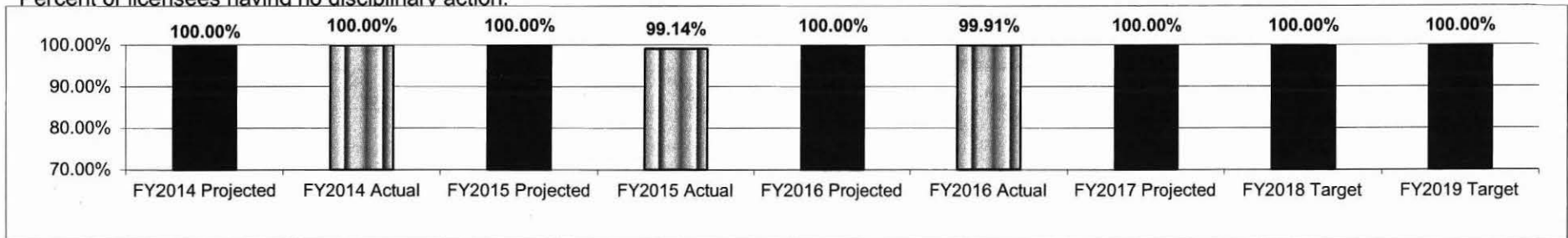
HB Section(s): 7.465

Office of Athletics

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	815	615	815	667	815	616	969	640	600
Licensed Professionals	2,500	2,384	2,500	1,620	2,500	2,298	2,500	2,500	2,500

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.480

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

FY 2017 PLANNED

	Chiropractic	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	131,820	78,520	210,340
TOTAL	131,820	78,520	210,340

1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.010-331.100 RSMo.

3. Are there federal matching requirements? If yes, please explain.

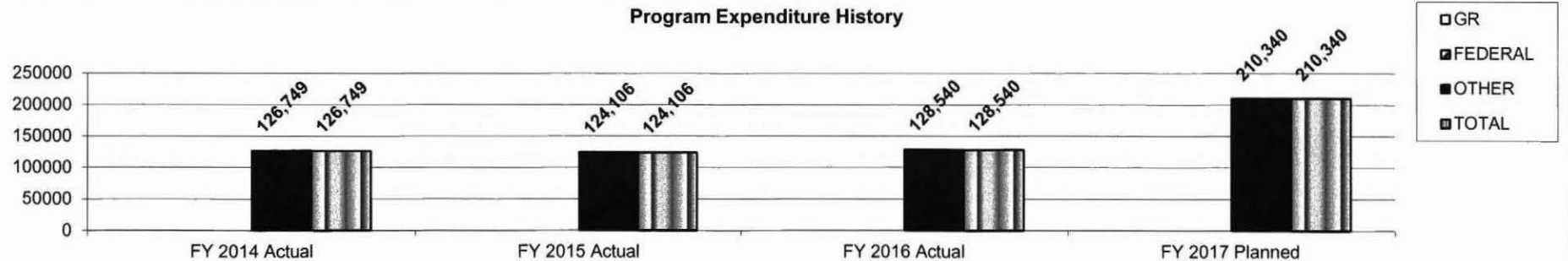
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.480

State Board of Chiropractic Examiners

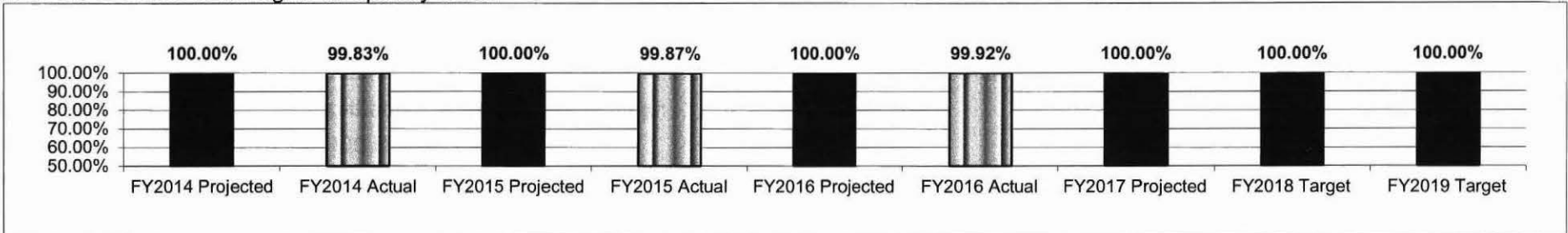
Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Chiropractic Examiners Fund (0630)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	120	153	120	168	115	163	120	162	140
Licensed Professionals	2,200	2,393	2,200	2,285	2,200	2,448	2,200	2,150	2,100

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.485

Board of Cosmetology and Barber Examiners

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

FY 2017 PLANNED

	Cosmetology Barber	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	273,899	720,966	994,865
TOTAL	273,899	720,966	994,865

1. What does this program do?

The Board of Cosmetology and Barber Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the state of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 328.010-328.160, 329.010-329.265 RSMo.

3. Are there federal matching requirements? If yes, please explain.

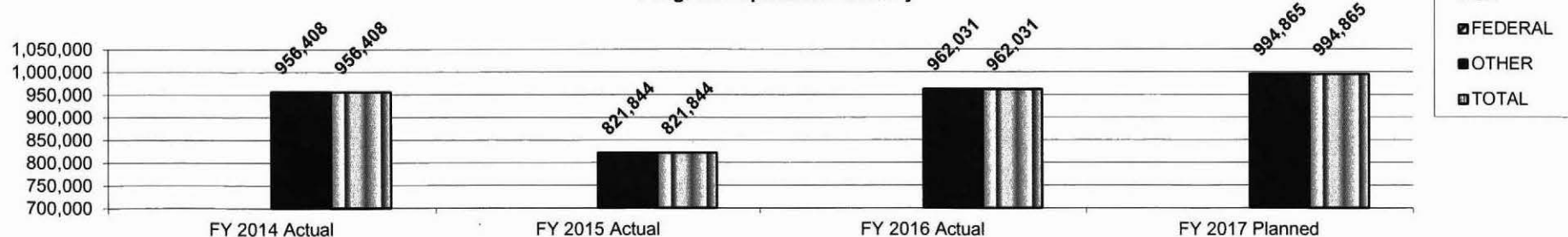
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.485

Board of Cosmetology and Barber Examiners

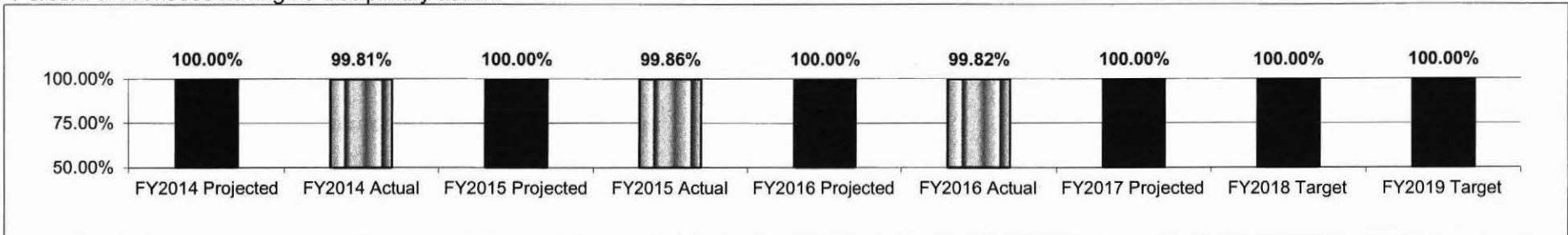
Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	11,500	9,990	11,500	8,915	11,500	8,637	11,553	16,632	10,991
Licensed Professionals	79,643	78,763	79,643	82,421	83,500	78,198	79,322	77,332	79,118

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Committee for Dietitians
Program is found in the following core budget(s): Professional Registration Administration

HB Section(s): 7.465

1. What does this program do?

The State Committee of Dietitians was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating dietitians in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.200-324.228 RSMo.

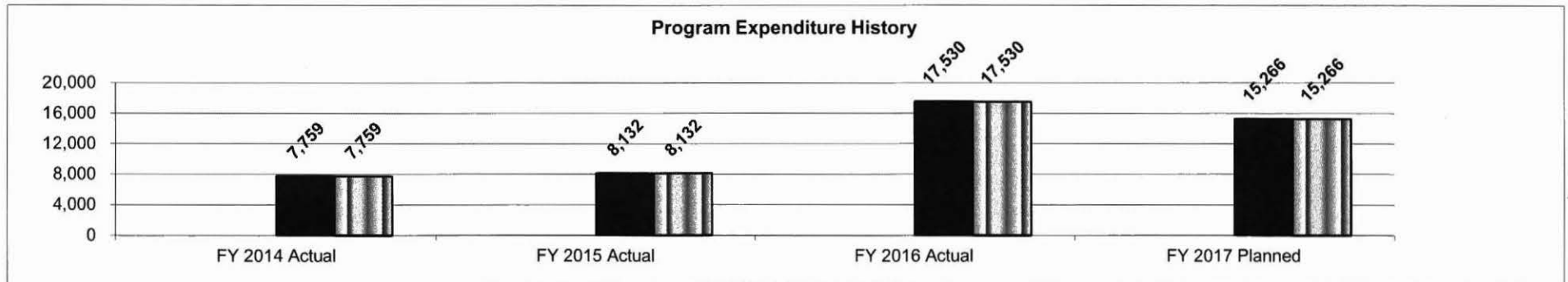
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Dietitian Fund (0857)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

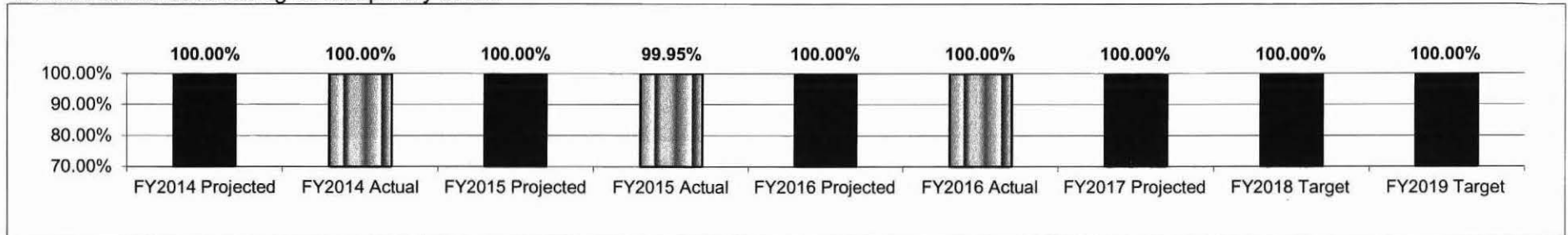
HB Section(s): 7.465

Committee for Dietitians

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	170	149	175	182	180	201	165	181	200
Licensed Professionals	1,750	1,838	1,800	2,035	2,100	1,961	1,865	1,835	2,050

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.495

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

FY 2017 PLANNED

	Emb & FDs	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	164,200	372,980	537,180
TOTAL	164,200	372,980	537,180

1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the state of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers, preneed providers, and preneed agents in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.011-331.261 RSMo.

3. Are there federal matching requirements? If yes, please explain.

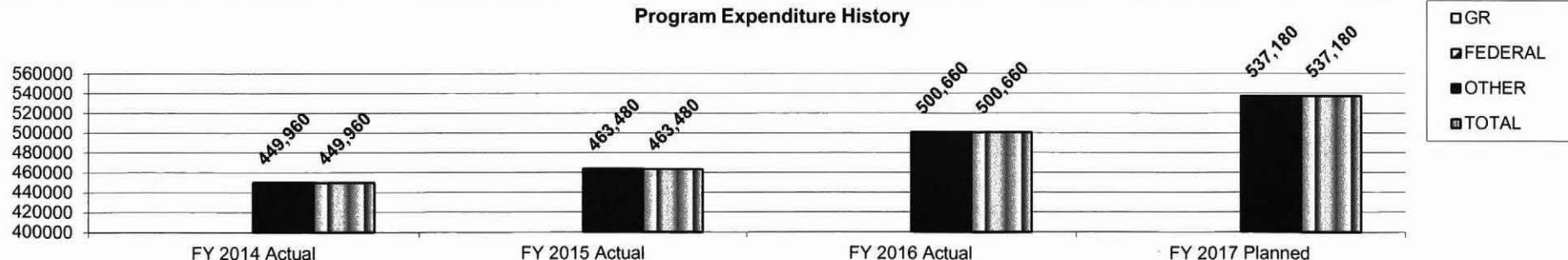
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.495

State Board of Embalmers and Funeral Directors

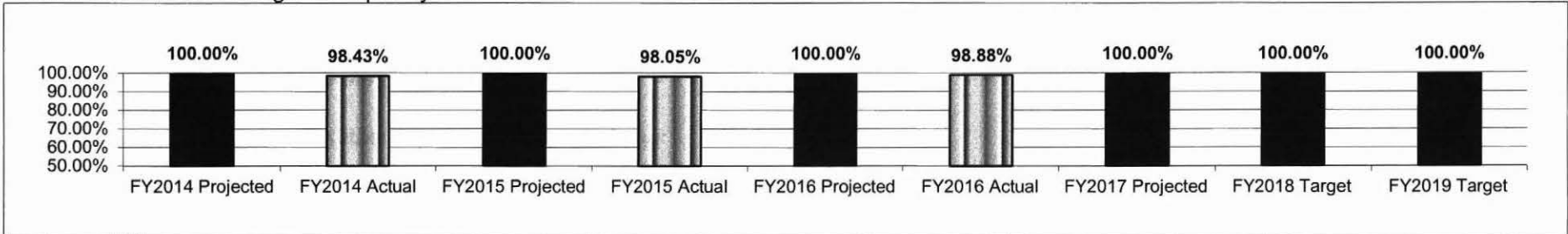
Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	400	406	400	390	400	410	425	328	425
Licensed Professionals	6,200	6,128	6,200	6,260	6,200	6,174	5,928	5,201	6,015

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Office of Endowed Care Cemeteries

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Office of Endowed Care Cemeteries was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating endowed care cemeteries in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 214.270-214.516 RSMo.

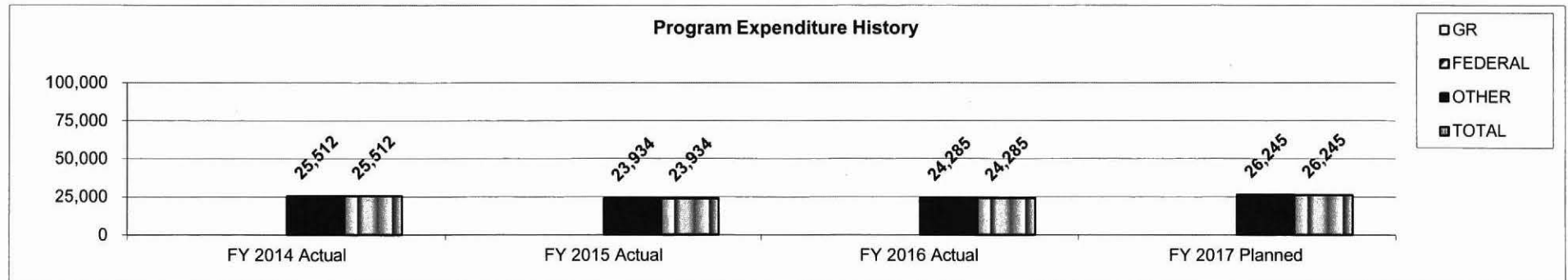
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Endowed Care Cemetery Audit Fund (0562)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

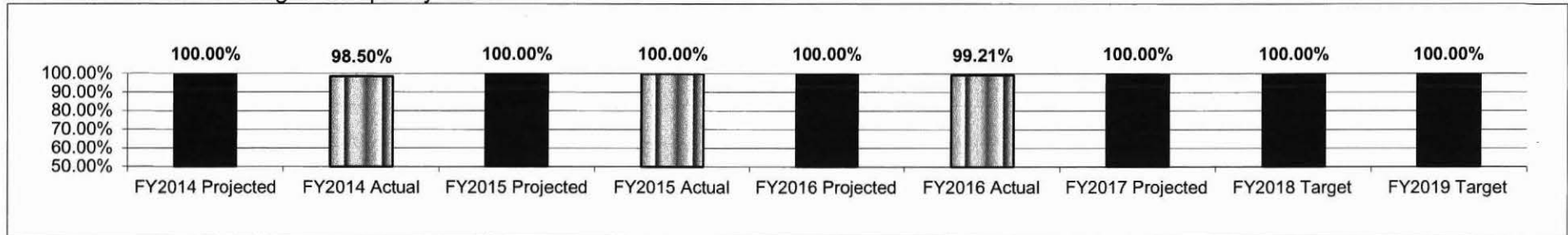
HB Section(s): 7.465

Office of Endowed Care Cemeteries

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	2	6	2	1	3	8	4	4	3
Licensed Professionals	133	133	135	129	135	127	135	134	135

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Geologist Registration
Program is found in the following core budget(s): Professional Registration Administration

HB Section(s): 7.465

1. What does this program do?

The Missouri Board of Geologists Registration was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating geologists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 256.010-256.453 RSMo.

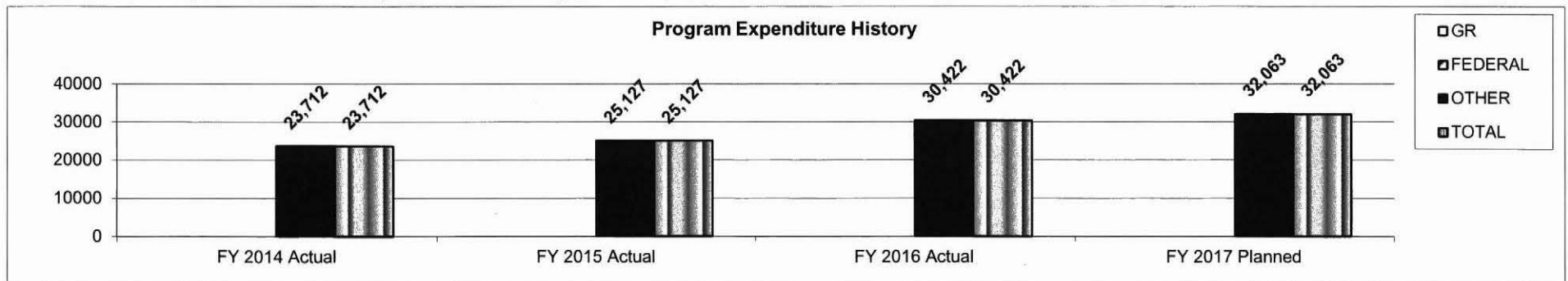
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

The Board of Geologists Registration Fund (0263)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

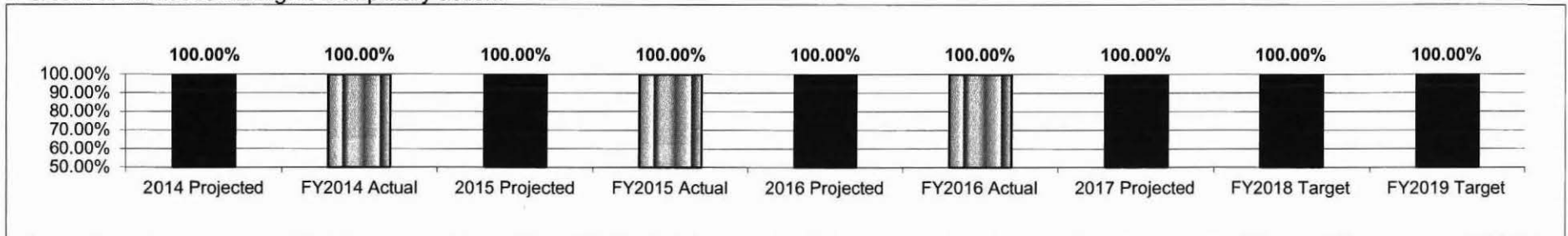
HB Section(s): 7.465

Board of Geologist Registration

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	25	25	25	34	30	31	30	30	25
Licensed Professionals	850	892	850	853	860	891	895	895	855

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Board of Hearing Instrument Specialists

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Board of Examiners for Hearing Instrument Specialists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating hearing instrument specialists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 346.007-346.250 RSMo.

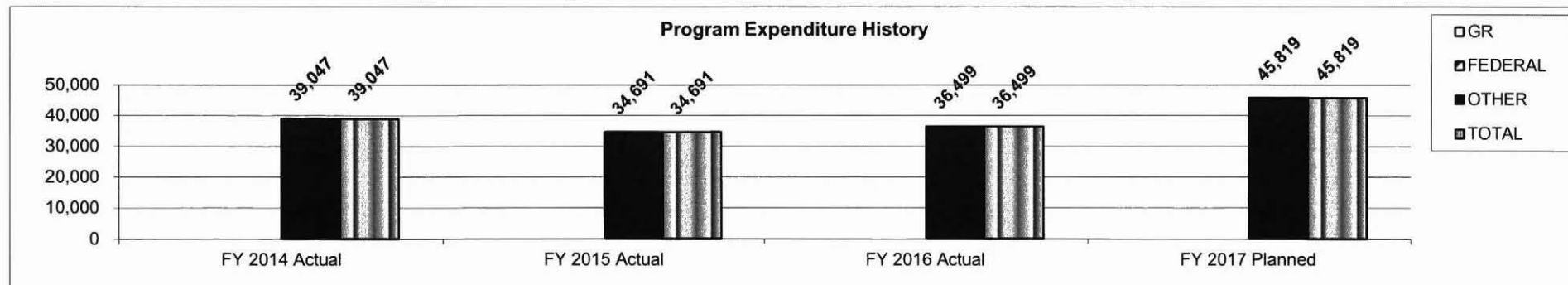
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Hearing Instrument Specialists Fund (0247)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

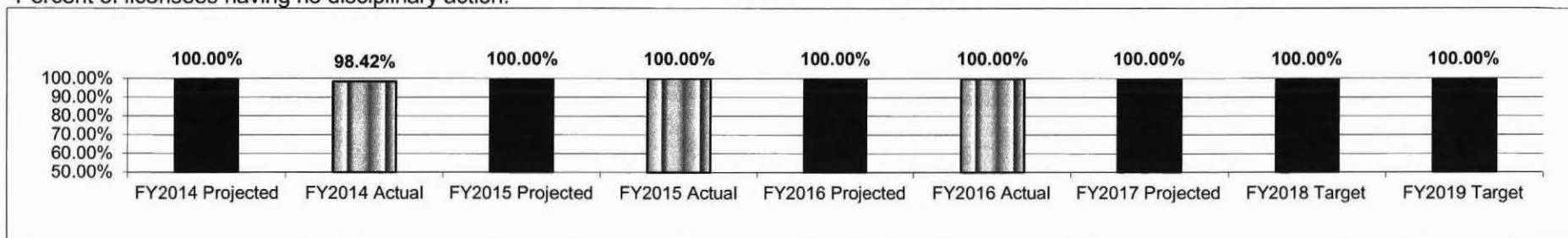
HB Section(s): 7.465

Board of Hearing Instrument Specialists

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	75	44	75	73	75	50	33	48	49
Licensed Professionals	285	259	285	294	285	283	250	279	266

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Interior Design Council

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Interior Design Council was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interior designers in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.400-324.439 RSMo.

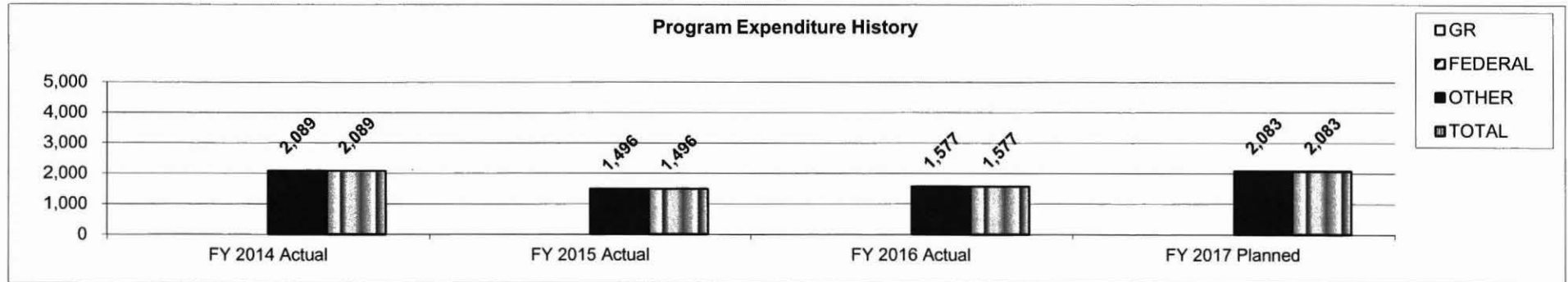
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Interior Design Council (0877)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

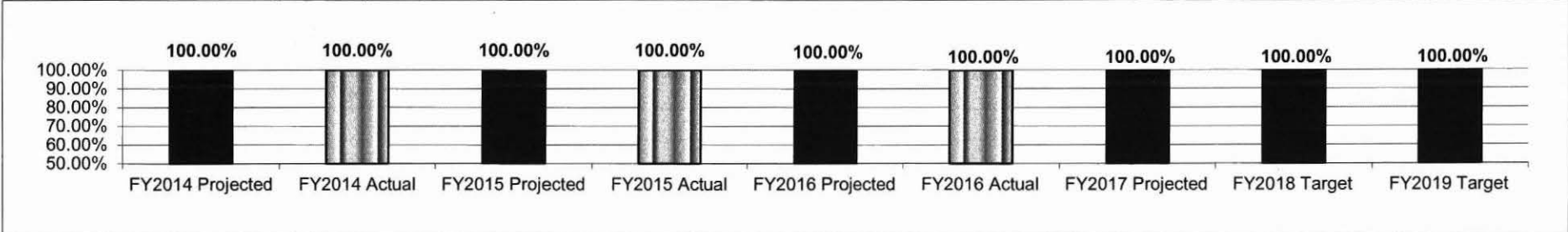
HB Section(s): 7.465

Interior Design Council

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	9	2	9	8	9	7	2	7	7
Licensed Professionals	93	81	93	78	93	84	83	72	77

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

State Committee of Interpreters

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee of Interpreters was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interpreters in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 209.319-209.339 RSMo.

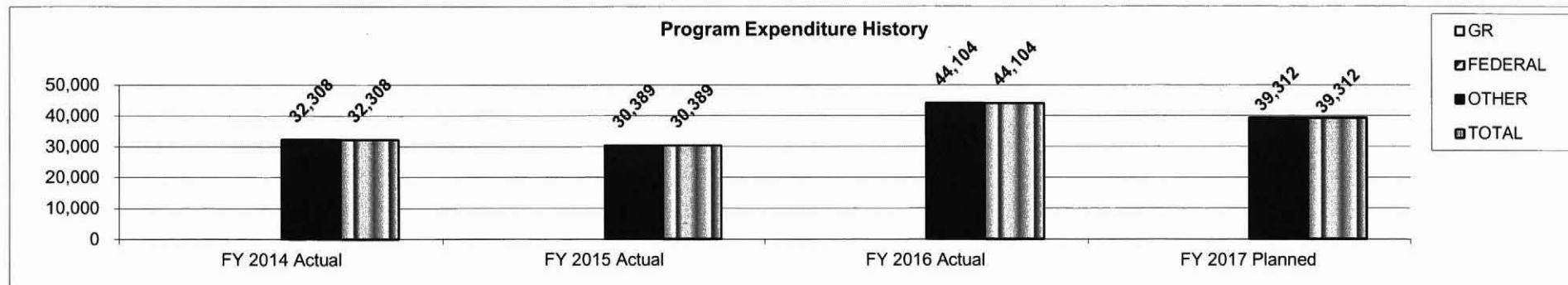
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Committee of Interpreters Fund (0256)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

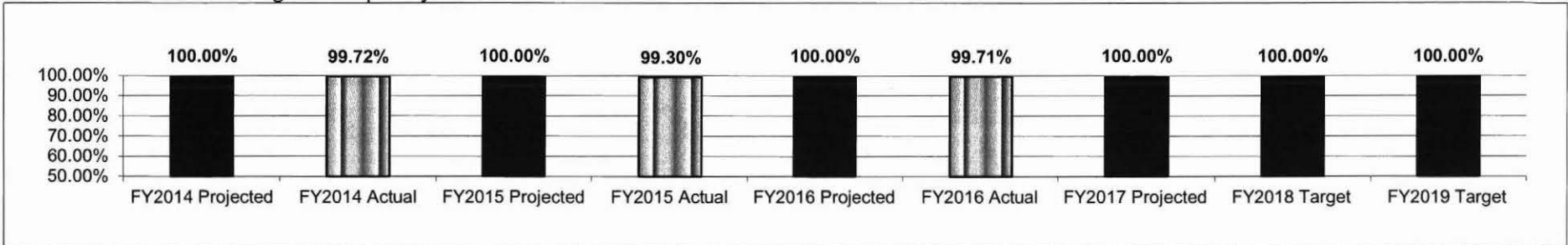
HB Section(s): 7.465

State Committee of Interpreters

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	75	90	75	51	80	42	85	65	50
Licensed Professionals	754	722	774	719	800	678	730	691	680

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
 State Committee for Marital & Family Therapists
 Program is found in the following core budget(s): Professional Registration Administration

HB Section(s): 7.465

1. What does this program do?

The State Committee for Marital and Family Therapists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating marital and family therapists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.700-337.750 RSMo.

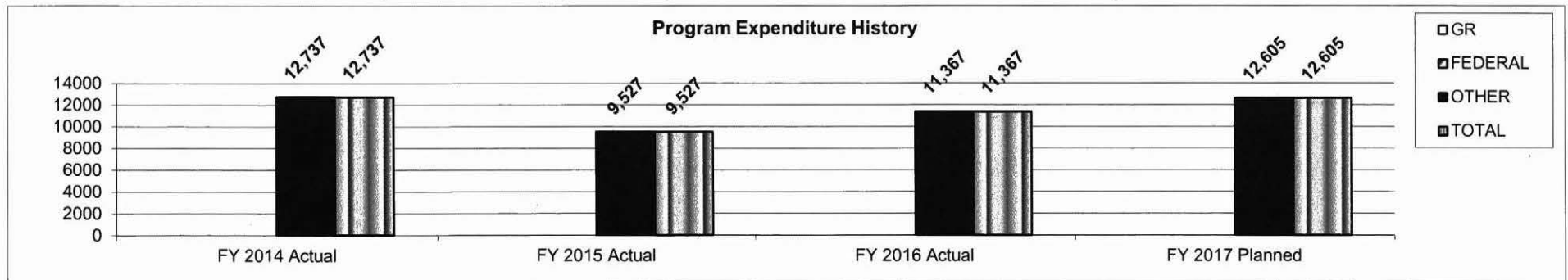
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Marital and Family Therapists Fund (0820)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

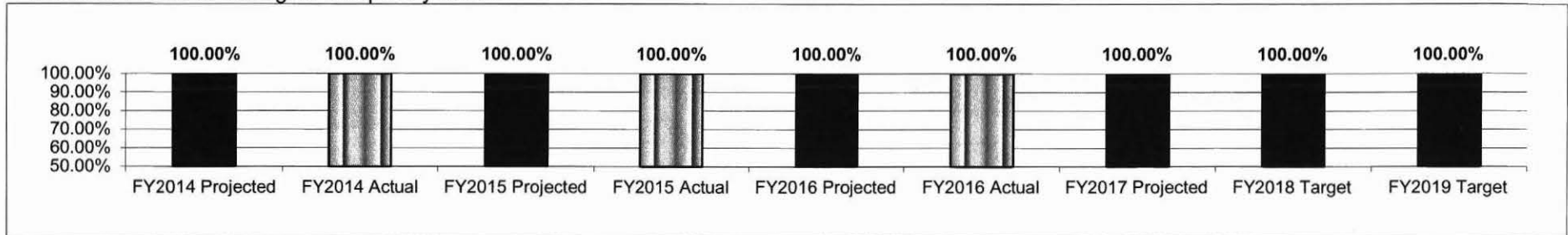
HB Section(s): 7.465

State Committee for Marital & Family Therapists

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	40	67	40	37	45	66	60	35	50
Licensed Professionals	225	261	225	285	250	294	200	210	215

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Board of Occupational Therapy

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Board of Occupational Therapy was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating occupational therapists and occupational therapy assistants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.050-324.089 RSMo.

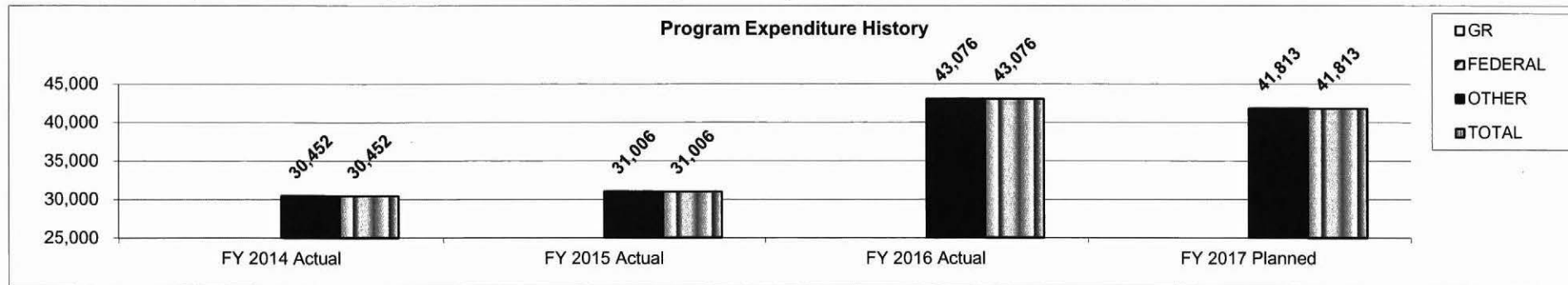
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Missouri Board of Occupational Therapy Fund (0845)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

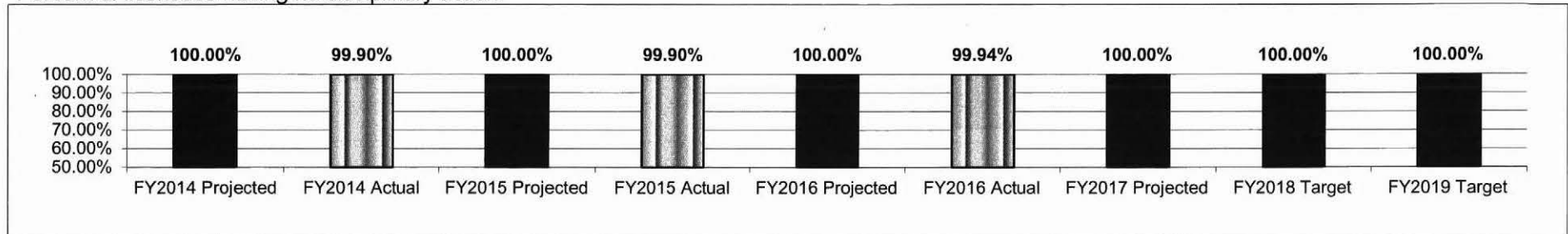
HB Section(s): 7.465

Board of Occupational Therapy

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	531	597	531	618	630	652	665	615	615
Licensed Professionals	4,500	4,749	4,700	5,211	4,700	5,207	4,800	5,112	5,300

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.510

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

FY 2017 PLANNED

	Optometry	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	34,726	58,624	93,350
TOTAL	34,726	58,624	93,350

1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 336.010-336.225 RSMo.

3. Are there federal matching requirements? If yes, please explain.

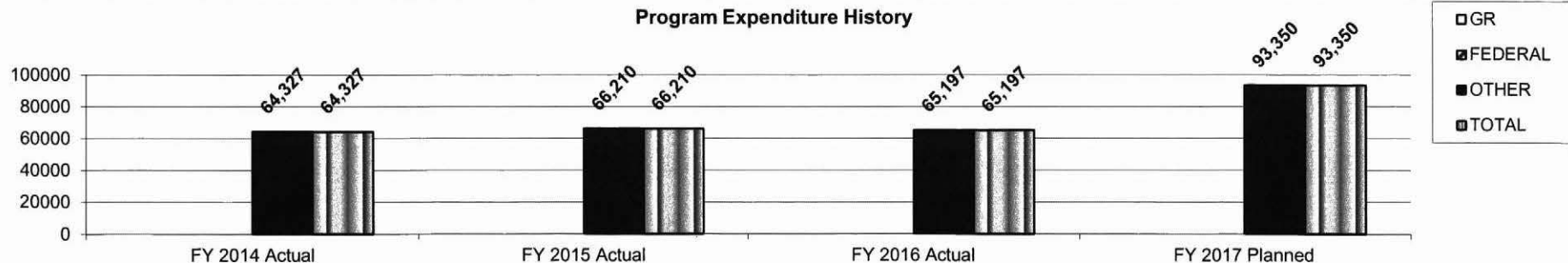
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.510

State Board of Optometry

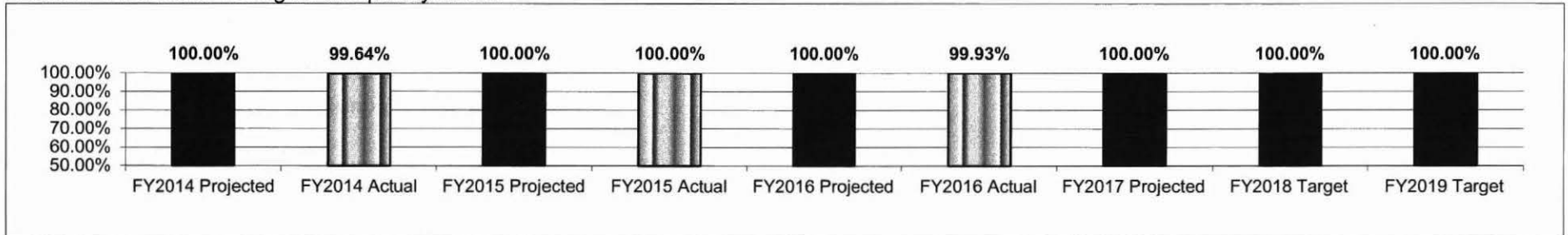
Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	70	56	70	54	70	54	55	71	55
Licensed Professionals	1,350	1,370	1,350	1,321	1,350	1,387	1,365	1,281	1,300

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.520

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

FY 2017 PLANNED

	Podiatry	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	13,734	28,710	42,444
TOTAL	13,734	28,710	42,444

1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 330.010-330.210 RSMo.

3. Are there federal matching requirements? If yes, please explain.

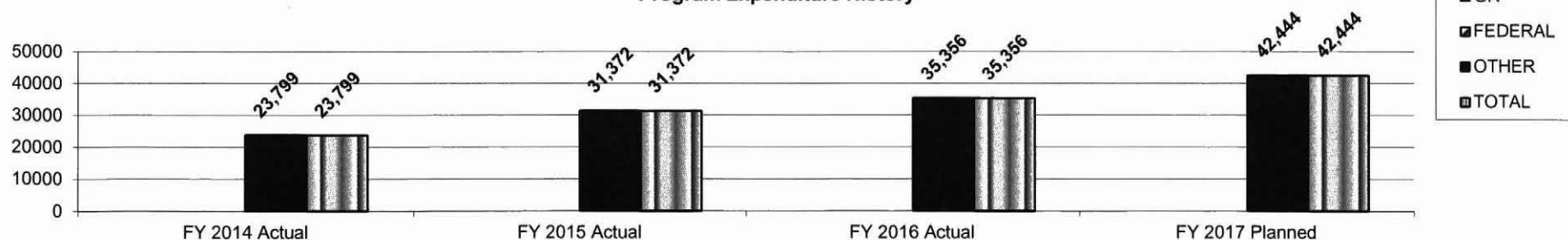
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.520

State Board of Podiatric Medicine

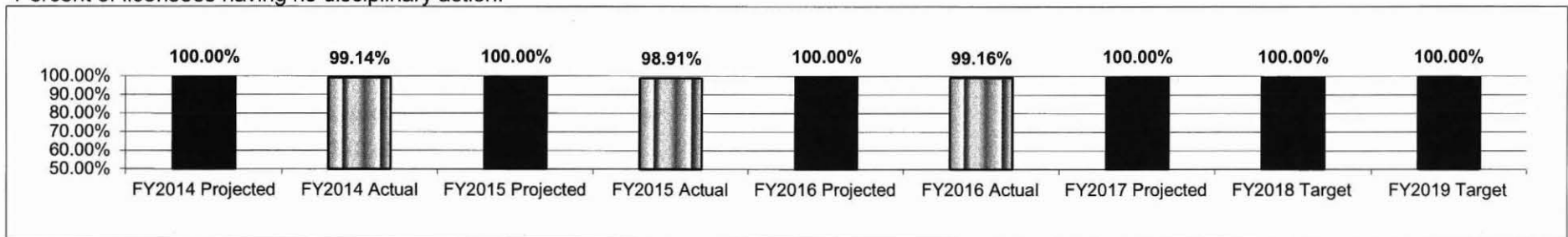
Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Podiatric Medicine (0629)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	40	36	40	48	40	37	40	63	28
Licensed Professionals	375	349	375	367	375	357	343	315	343

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Board of Private Investigator and Private Fire Investigator Examiners

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Board of Private Investigator and Private Fire Investigator Examiners was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating private investigators, private investigator businesses, private fire investigators, and private fire investigator businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.1100-324.1148 RSMo.

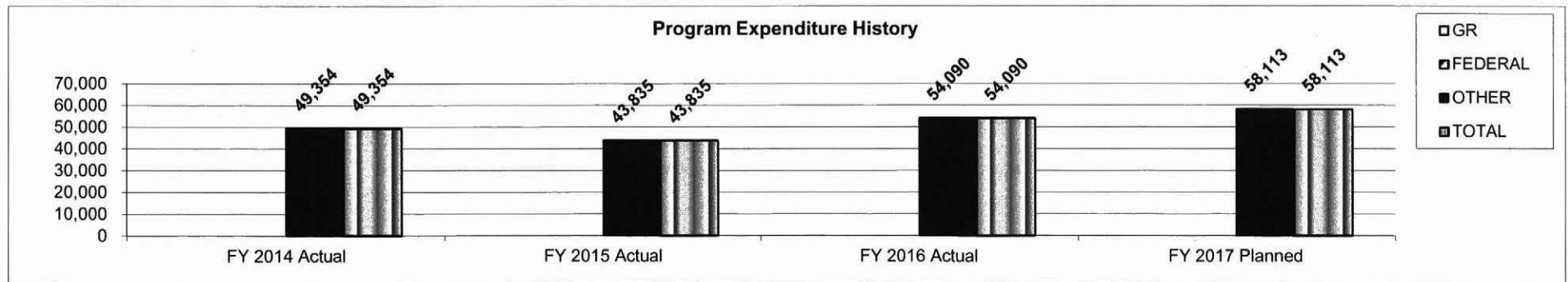
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Private Investigator and Private Fire Investigator Examiners Fund (0802)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

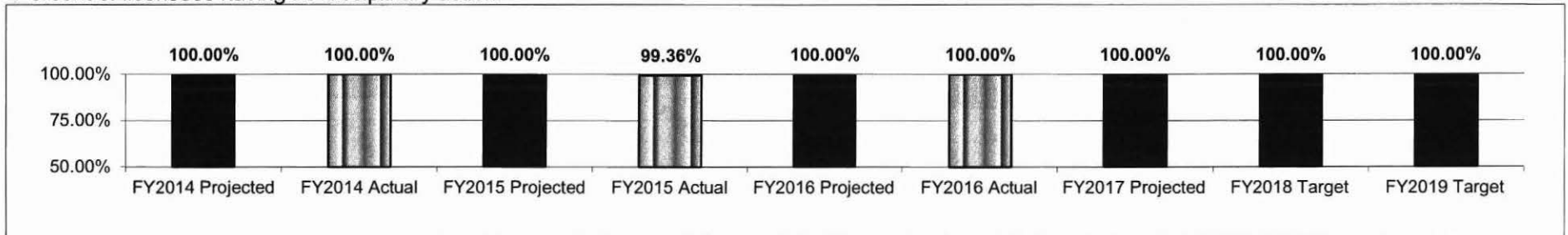
HB Section(s): 7.465

Board of Private Investigator and Private Fire Investigator Examiners

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	200	295	200	300	200	237	200	282	240
Licensed Professionals	800	860	800	931	850	881	800	930	765

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Committee for Professional Counselors

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Committee for Professional Counselors was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating professional counselors in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.050-337.540 RSMo.

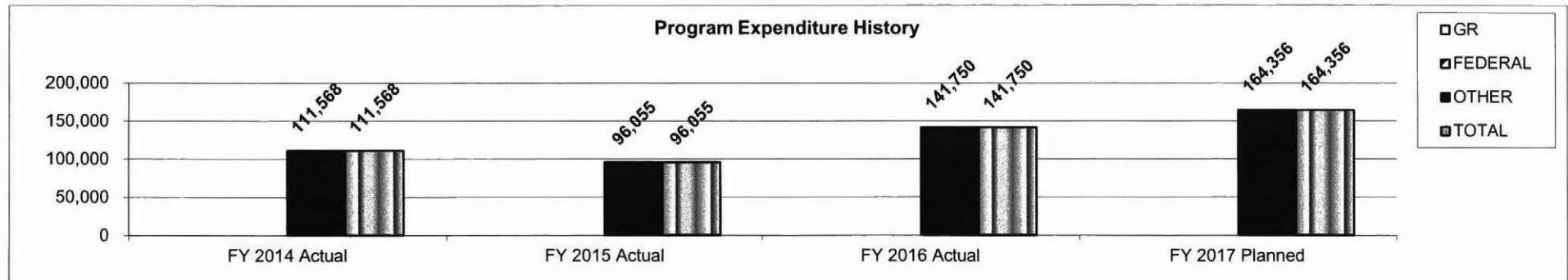
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Committee for Professional Counselors Fund (0672)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

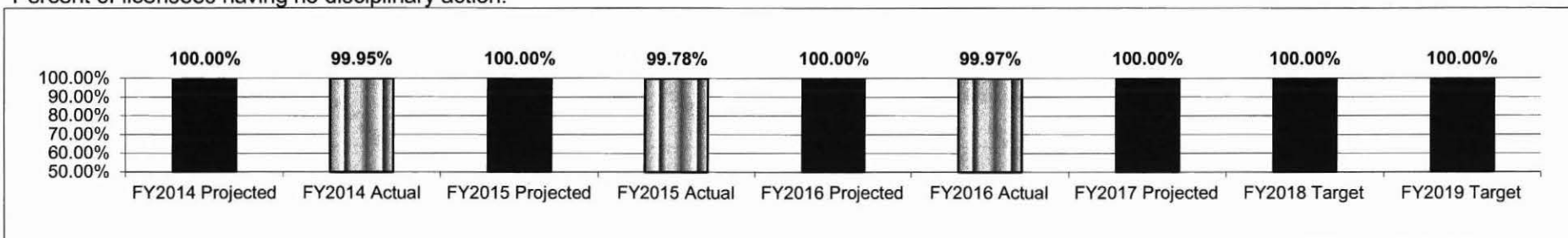
HB Section(s): 7.465

Committee for Professional Counselors

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	850	803	850	864	850	847	795	850	825
Licensed Professionals	5,300	5,451	5,300	5,864	5,300	6,026	4,400	4,515	5,700

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

State Committee of Psychologists

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee of Psychologists and the Behavioral Analyst Advisory Board were established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating psychologists and behavioral analysts in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.010-337.093 and 337.300-337.345 RSMo.

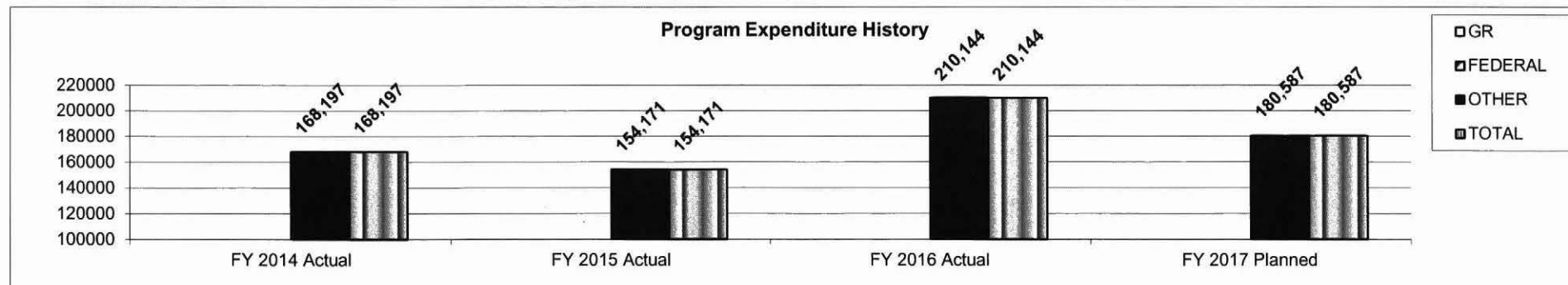
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Committee of Psychologists' Fund (0580)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

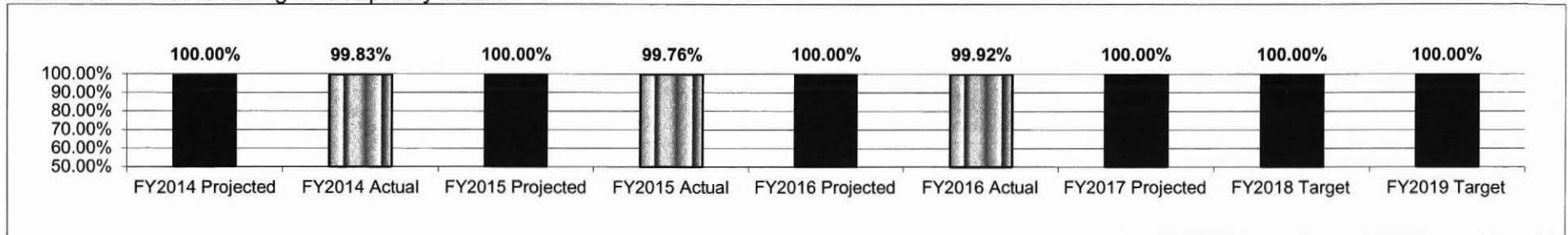
HB Section(s): 7.465

State Committee of Psychologists

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	150	98	150	103	140	192	90	90	190
Licensed Professionals	2,365	2,324	2,450	2,490	2,450	2,510	2,085	2,462	2,300

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Real Estate Appraisers Commission
Program is found in the following core budget(s): Professional Registration Administration

HB Section(s): 7.465

1. What does this program do?

The Missouri Real Estate Appraisers Commission was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate appraisers and appraisal management companies in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 339.500-339.549 RSMo.

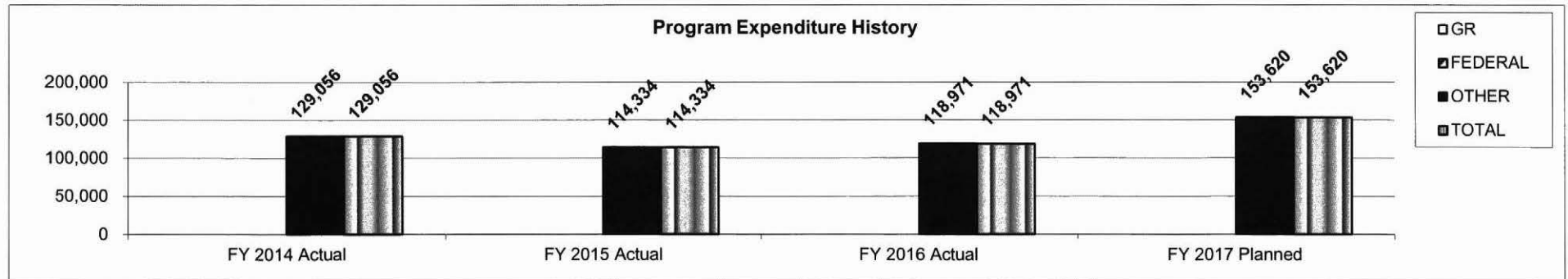
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

Yes. Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 requires all real estate appraisers to be certified to perform appraisals for federal transactions.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Missouri Real Estate Appraisers Fund (0561)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

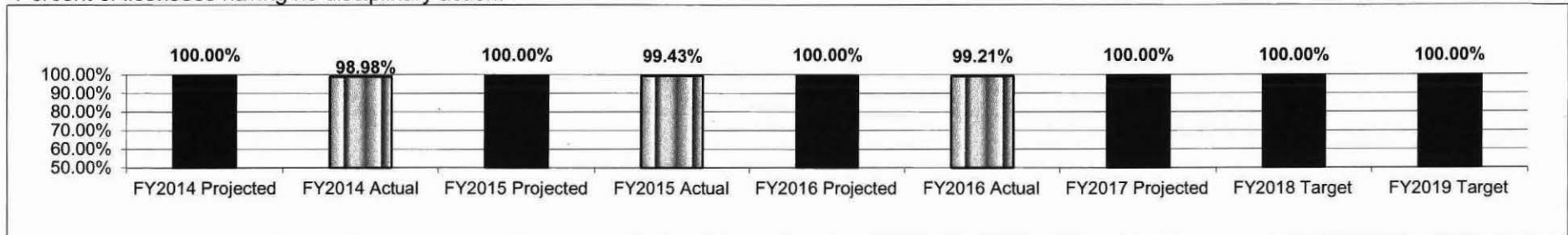
HB Section(s): 7.465

Real Estate Appraisers Commission

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	400	562	400	411	400	397	335	415	390
Licensed Professionals	2,500	2,938	2,500	2,621	2,800	2,661	2,800	2,469	2,300

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Board for Respiratory Care

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Board for Respiratory Care was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating respiratory therapists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 334.800-334.930 RSMo.

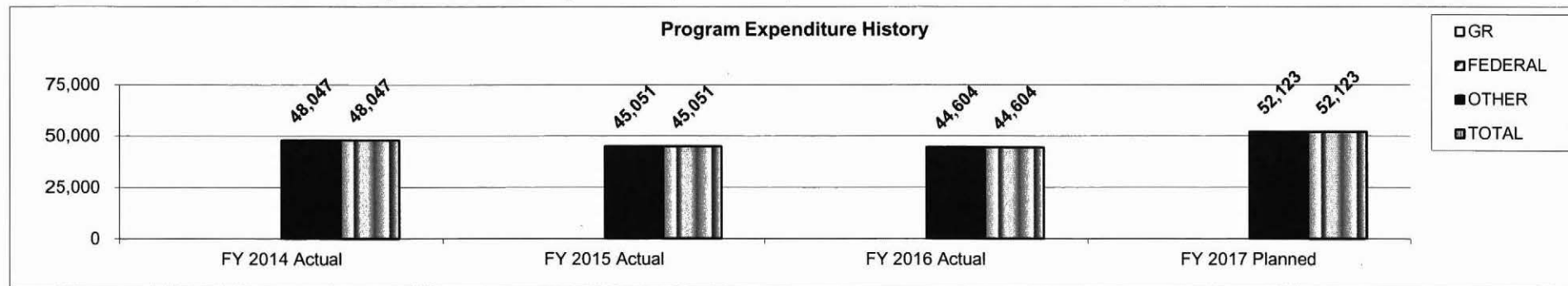
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Respiratory Care Practitioners Fund (0833)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

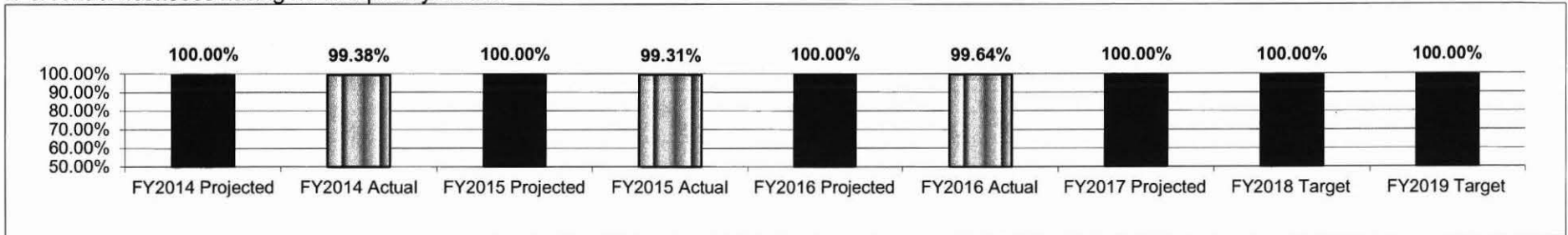
HB Section(s): 7.465

Board for Respiratory Care

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	400	359	350	356	300	333	346	336	366
Licensed Professionals	4,650	4,650	4,800	4,477	4,800	4,758	4,200	4,159	4,200

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

State Committee for Social Workers

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee for Social Workers was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating social workers in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.600-337.689 RSMo.

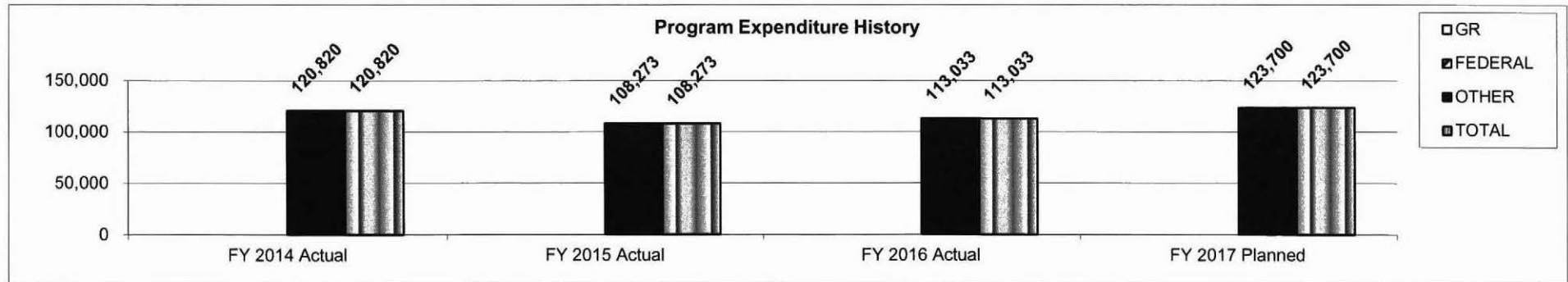
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Clinical Social Workers Fund (0574)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

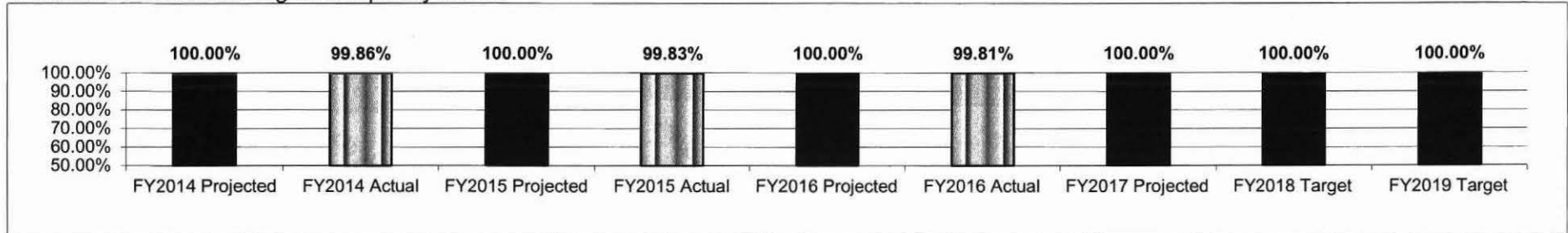
HB Section(s): 7.465

State Committee for Social Workers

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	850	757	860	885	860	1,183	725	910	1,000
Licensed Professionals	7,000	7,356	7,000	7,828	7,000	8,245	7,150	6,514	7,000

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Office of Tattoo, Body Piercing and Branding

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Office of Tattoo, Body Piercing and Branding was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating tattoo artists, body piercers, branding, and tattoo, body piercing and branding businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.520-324.524 RSMo.

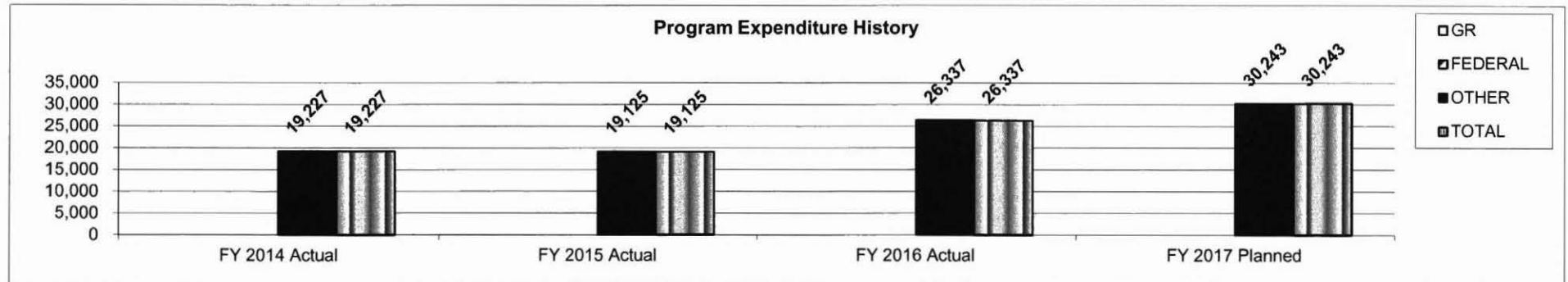
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Tattoo Fund (0883)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

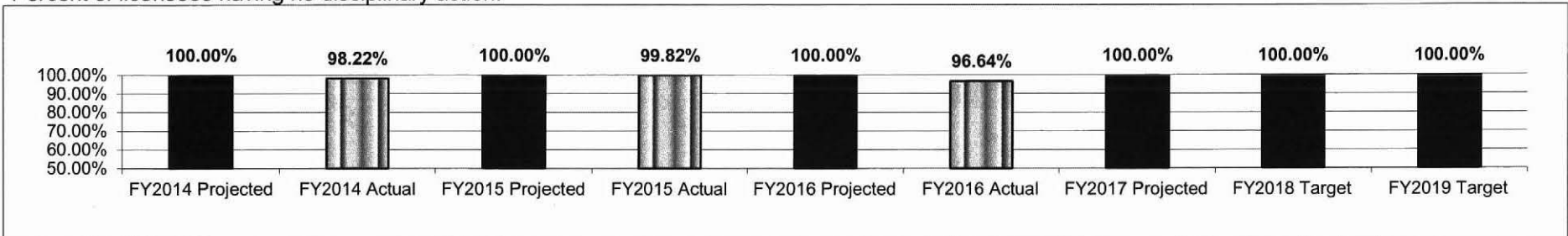
HB Section(s): 7.465

Office of Tattoo, Body Piercing and Branding

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	250	221	250	248	250	247	270	230	489
Licensed Professionals	1,600	1,463	1,500	1,649	1,300	1,549	1,300	1,365	1,400

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Board of Therapeutic Massage

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Board of Therapeutic Massage was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating massage therapists and massage therapy businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 436.218-436.272 RSMo.

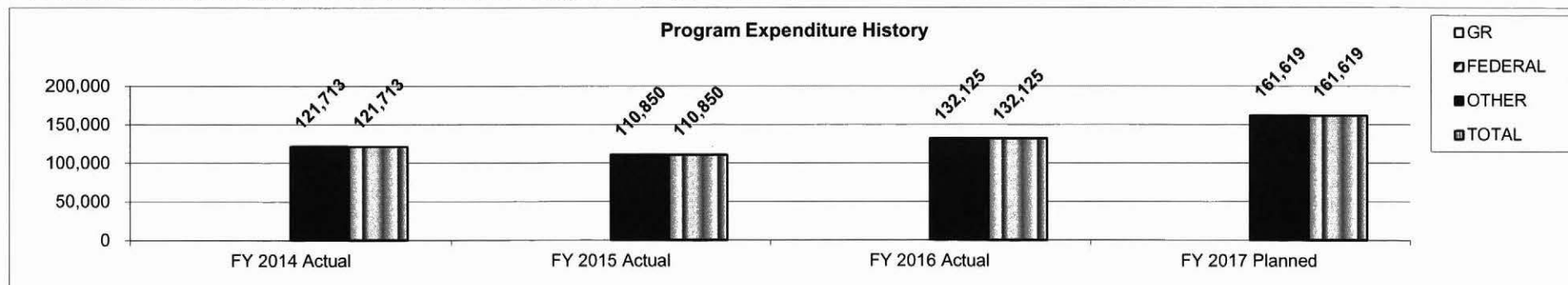
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Massage Therapy Fund (0884)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

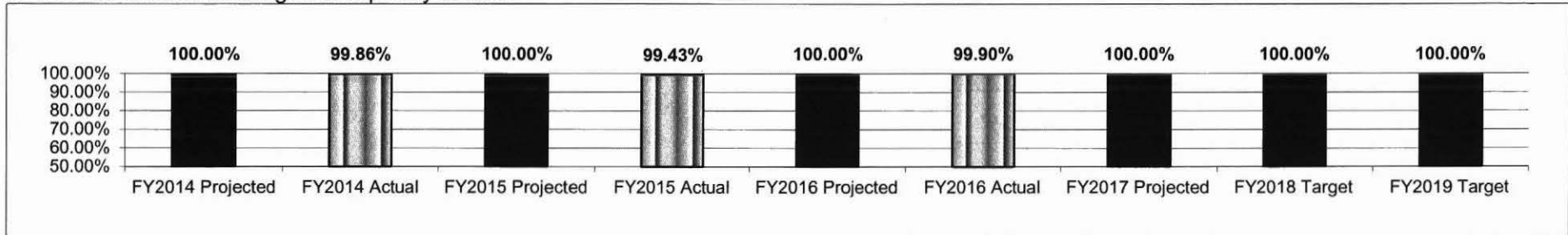
HB Section(s): 7.465

Board of Therapeutic Massage

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	1,250	1,268	1,250	1,182	1,250	1,221	1,250	1,150	1,200
Licensed Professionals	6,000	6,913	6,000	6,360	6,000	6,990	6,000	4,895	5,275

7d. Provide a customer satisfaction measure, if available.

None available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.530

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

FY 2017 PLANNED

	Veterinary	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	107,975	93,737	201,712
TOTAL	107,975	93,737	201,712

1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 340.200-340.350 RSMo.

3. Are there federal matching requirements? If yes, please explain.

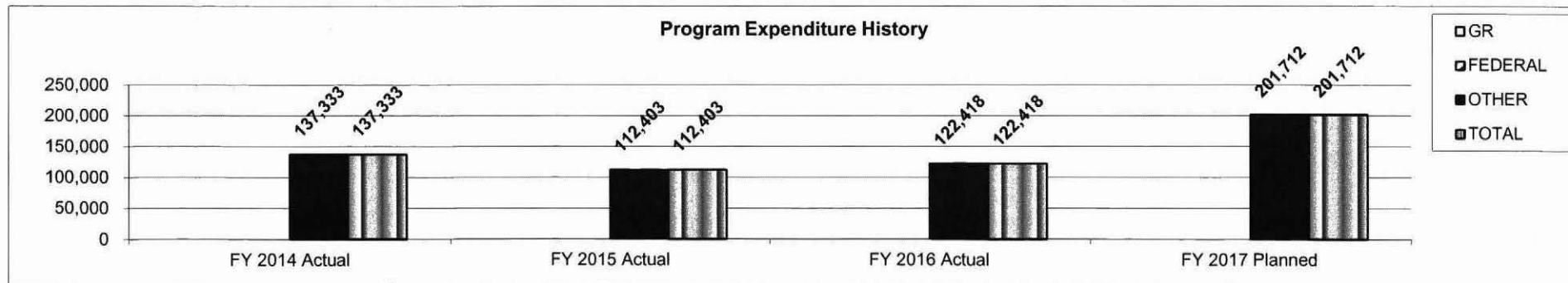
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.530

Missouri Veterinary Medical Board

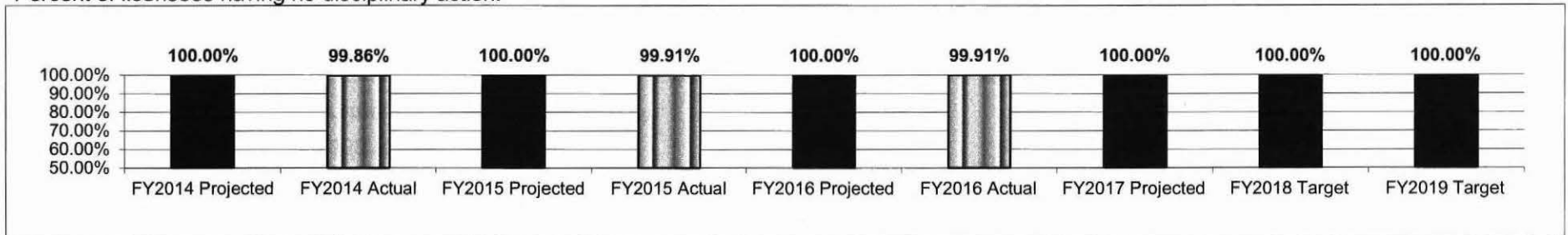
Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	433	524	433	537	594	584	526	694	500
Licensed Professionals	4,911	5,164	4,911	5,341	5,054	5,521	5,200	5,549	5,540

7d. Provide a customer satisfaction measure, if available.

None available.

CORE DECISION ITEM

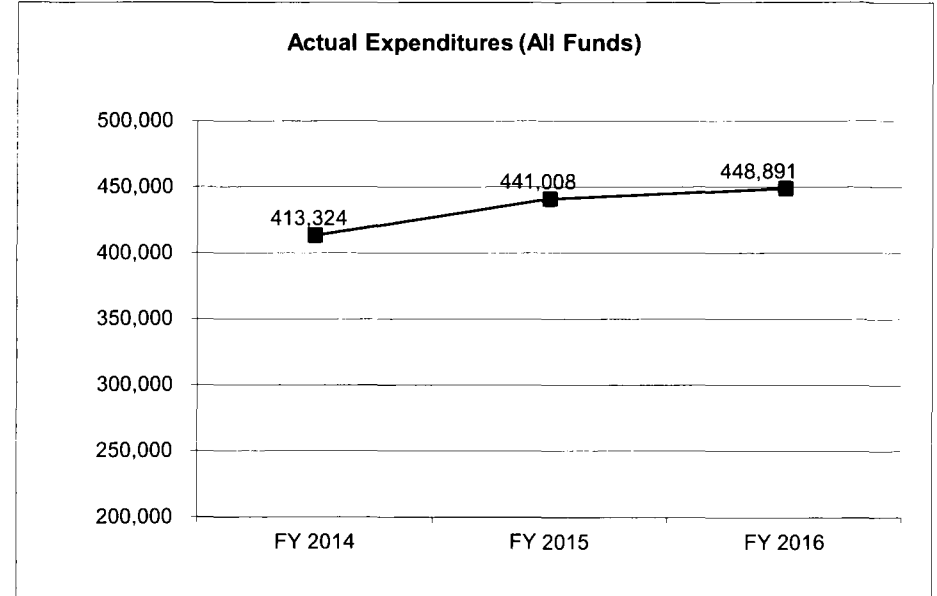
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42650C</u>						
Professional Registration											
Core - State Board of Accountancy					HB Section <u>7.470</u>						
1. CORE FINANCIAL SUMMARY											
	FY 2018 Budget Request						FY 2018 Governor's Recommendation				
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	295,268	295,268		PS	0	0	295,268	295,268	
EE	0	0	171,991	171,991		EE	0	0	171,991	171,991	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	<u>0</u>	<u>0</u>	<u>467,259</u>	<u>467,259</u>		Total	<u>0</u>	<u>0</u>	<u>467,259</u>	<u>467,259</u>	
FTE	0.00	0.00	7.00	7.00		FTE	0.00	0.00	7.00	7.00	
Est. Fringe	0	0	152,764	152,764		Est. Fringe	0	0	152,764	152,764	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: State Board of Accountancy Fund (0627)						Other Funds: State Board of Accountancy Fund (0627)					
2. CORE DESCRIPTION											
<p>The Missouri State Board of Accountancy was created in 1909 by Senate Bill 112 and passed by the 44th General Assembly. The Board is a state agency and the members are appointed by the Governor and confirmed by the Senate. The Board is a fee funded agency, supported entirely by CPA candidates' and licensees' fees. The Public Accountancy Act is the set of statutes that govern the ability of the Board to regulate certified public accountants, public accountants, limited liability companies, partnerships, and professional corporations in Missouri.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
State Board of Accountancy											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42650C
Professional Registration		
Core - State Board of Accountancy	HB Section	7.470

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	456,848	459,913	461,468	467,259
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	456,848	459,913	461,468	467,259
Actual Expenditures (All Funds)	413,324	441,008	448,891	N/A
Unexpended (All Funds)	43,524	18,905	12,577	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	43,524	18,905	12,577	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
STATE BOARD OF ACCOUNTANCY**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	7.00	0	0	295,268	295,268	
	EE	0.00	0	0	171,991	171,991	
	Total	7.00	0	0	467,259	467,259	
DEPARTMENT CORE REQUEST							
	PS	7.00	0	0	295,268	295,268	
	EE	0.00	0	0	171,991	171,991	
	Total	7.00	0	0	467,259	467,259	
GOVERNOR'S RECOMMENDED CORE							
	PS	7.00	0	0	295,268	295,268	
	EE	0.00	0	0	171,991	171,991	
	Total	7.00	0	0	467,259	467,259	

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
STATE BOARD OF ACCOUNTANCY									
CORE									
PERSONAL SERVICES									
BOARD OF ACCOUNTANCY	276,965	7.78	295,268	7.00	295,268	7.00	295,268	7.00	
TOTAL - PS	276,965	7.78	295,268	7.00	295,268	7.00	295,268	7.00	
EXPENSE & EQUIPMENT									
BOARD OF ACCOUNTANCY	171,926	0.00	171,991	0.00	171,991	0.00	171,991	0.00	
TOTAL - EE	171,926	0.00	171,991	0.00	171,991	0.00	171,991	0.00	
TOTAL	448,891	7.78	467,259	7.00	467,259	7.00	467,259	7.00	
Board of Acct - E&E Increase - 1375003									
EXPENSE & EQUIPMENT									
BOARD OF ACCOUNTANCY	0	0.00	0	0.00	0	0.00	75,000	0.00	
TOTAL - EE	0	0.00	0	0.00	0	0.00	75,000	0.00	
TOTAL	0	0.00	0	0.00	0	0.00	75,000	0.00	
GRAND TOTAL	\$448,891	7.78	\$467,259	7.00	\$467,259	7.00	\$542,259	7.00	

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 42650C BUDGET UNIT NAME: State Board of Accountancy HOUSE BILL SECTION: 7.470	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Professional Registration
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1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
STATE BOARD OF ACCOUNTANCY								
CORE								
SR OFFICE SUPPORT ASSISTANT	21,071	0.80	27,225	1.00	27,225	1.00	27,225	1.00
SENIOR AUDITOR	44,304	1.00	50,695	1.00	46,695	1.00	46,695	1.00
PROCESSING TECHNICIAN I	22,440	0.95	25,532	1.00	25,532	1.00	25,532	1.00
PROCESSING TECHNICIAN II	55,891	2.10	54,151	2.00	55,151	2.00	55,151	2.00
PROCESSING TECHNICIAN SUPV	33,180	1.00	34,961	1.00	34,961	1.00	34,961	1.00
BOARD MEMBER	5,670	0.31	7,989	0.00	7,989	0.00	7,989	0.00
CLERK	23,212	0.62	20,752	0.00	23,752	0.00	23,752	0.00
PRINCIPAL ASST BOARD/COMMISSON	71,197	1.00	73,963	1.00	73,963	1.00	73,963	1.00
TOTAL - PS	276,965	7.78	295,268	7.00	295,268	7.00	295,268	7.00
TRAVEL, IN-STATE	8,184	0.00	13,200	0.00	13,200	0.00	13,200	0.00
TRAVEL, OUT-OF-STATE	4,699	0.00	1,719	0.00	1,719	0.00	1,719	0.00
SUPPLIES	21,260	0.00	21,375	0.00	21,375	0.00	21,375	0.00
PROFESSIONAL DEVELOPMENT	8,587	0.00	8,550	0.00	8,550	0.00	8,550	0.00
COMMUNICATION SERV & SUPP	3,056	0.00	5,000	0.00	5,000	0.00	5,000	0.00
PROFESSIONAL SERVICES	117,983	0.00	109,907	0.00	109,907	0.00	109,907	0.00
M&R SERVICES	702	0.00	3,000	0.00	3,000	0.00	3,000	0.00
OFFICE EQUIPMENT	1,917	0.00	3,000	0.00	3,000	0.00	3,000	0.00
BUILDING LEASE PAYMENTS	996	0.00	620	0.00	620	0.00	620	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	620	0.00	620	0.00	620	0.00
MISCELLANEOUS EXPENSES	4,542	0.00	5,000	0.00	5,000	0.00	5,000	0.00
TOTAL - EE	171,926	0.00	171,991	0.00	171,991	0.00	171,991	0.00
GRAND TOTAL	\$448,891	7.78	\$467,259	7.00	\$467,259	7.00	\$467,259	7.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$448,891	7.78	\$467,259	7.00	\$467,259	7.00	\$467,259	7.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.470

State Board of Accountancy

Program is found in the following core budget(s): State Board of Accountancy

1. What does this program do?

The Board of Accountancy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating certified public accountants, public accountants, limited liability companies, partnerships and professional corporations in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 326.250-326.331 RSMo.

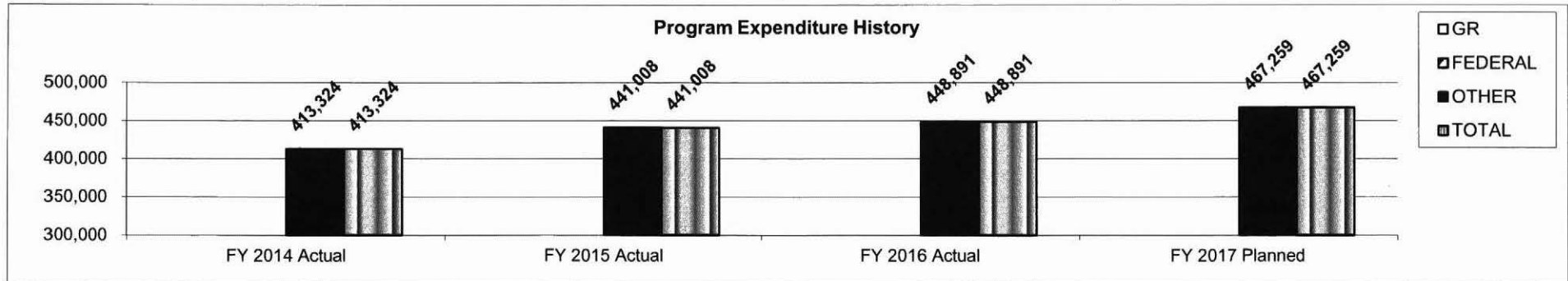
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board of Accountancy Fund (0627)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

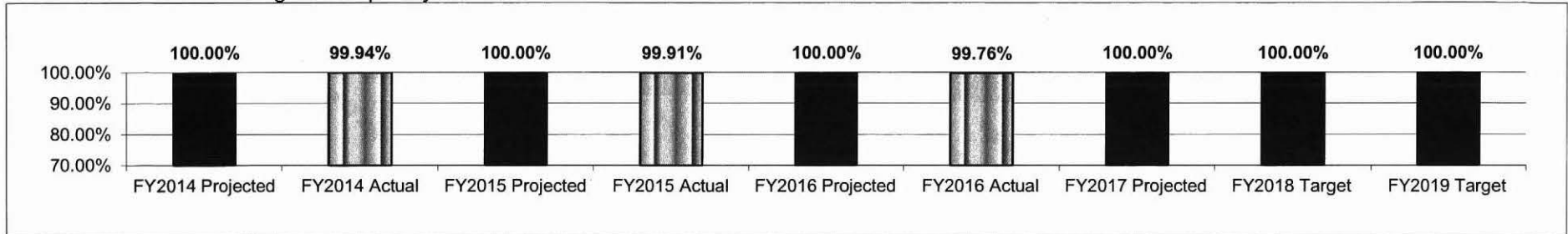
HB Section(s): 7.470

State Board of Accountancy

Program is found in the following core budget(s): State Board of Accountancy

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	800	844	750	1,075	750	888	844	763	660
Licensed Professionals	20,850	21,375	20,800	22,017	22,305	22,154	19,441	21,832	22,231

7d. Provide a customer satisfaction measure, if available.

None available.

Expense and Equipment
Increase

NEW DECISION ITEM
RANK: 7 OF 7

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 42650C
Division of Professional Registration	
State Board of Accountancy - Expense & Equipment Increase	DI# 1375003 House Bill 7.470

1. AMOUNT OF REQUEST

FY 2018 Budget Request					FY 2018 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	75,000	75,000
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	Total	<u>0</u>	<u>0</u>	<u>75,000</u>	<u>75,000</u>
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

Other Funds: State Board of Accountancy Fund (0627)

2. THIS REQUEST CAN BE CATEGORIZED AS:

<input type="checkbox"/> New Legislation	<input type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input checked="" type="checkbox"/> Other: Increase Appropriation Authority	

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The individuals licensed by the board has increased by 1,944 from June 30, 2009 to June 30, 2016. The increased number of licensees has increased the boards regulatory cost such as mailing, investigation, and enforcement expenditures. Based on FY2017 expected expenditures, investigations, and enforcements the board is projecting needing an estimated increase to the Expense and Equipment (E&E) appropriation of \$75,000 for FY2018.

NEW DECISION ITEM
RANK: 7 OF 7

Department of Insurance, Financial Institutions and Professional Registration Budget Unit **42650C**
Division of Professional Registration
State Board of Accountancy - Expense & Equipment Increase DI# **1375003** House Bill **7.470**

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

The State Board of Accountancy is requesting an increase to the E&E appropriation of \$75,000 for estimated expenditures, investigations, and enforcements in FY2018.

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
	0						0	0.0	
							0	0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
E&E							0		
							0		
							0		
Total EE	0		0		0		0		0
Program Distributions							0		
Total PSD	0		0		0		0		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	0

NEW DECISION ITEM
RANK: 7 OF 7

Department of Insurance, Financial Institutions and Professional Registration									
Division of Professional Registration								Budget Unit 42650C	
State Board of Accountancy - Expense & Equipment Increase								DI# 1375003 House Bill 7.470	
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	
							0	0.0	
Total PS	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>
190 / Supplies					11,250		11,250		
320 / Professional Services					63,750		63,750		
							0		
							0		
Total EE	<u>0</u>		<u>0</u>		<u>75,000</u>		<u>75,000</u>		<u>0</u>
Program Distributions							0		
Total PSD	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Transfers									
Total TRF	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Grand Total	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>75,000</u>	<u>0.0</u>	<u>75,000</u>	<u>0.0</u>	<u>0</u>

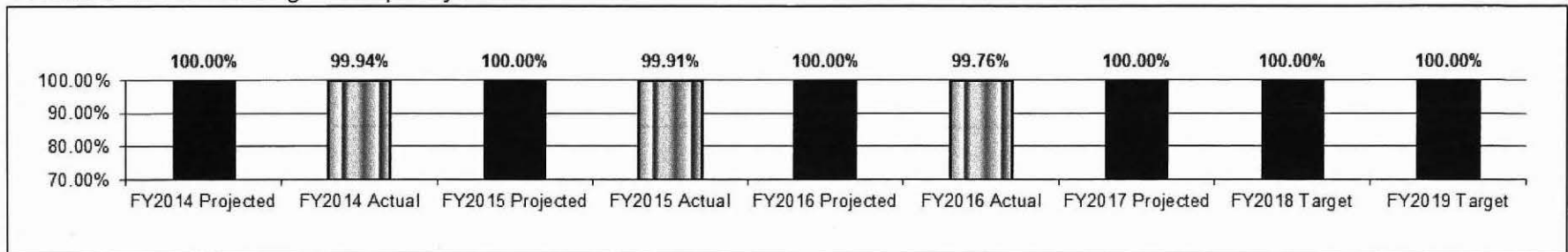
NEW DECISION ITEM
RANK: 7 OF 7

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42650C
Division of Professional Registration
State Board of Accountancy - Expense & Equipment Increase DI# 1375003 House Bill 7.470

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

6b. Provide an efficiency measure.

None available.

6c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	800	844	750	1,075	750	888	844	763	660
Licensed Professionals	20,850	21,375	20,800	22,017	22,305	22,154	19,441	21,832	22,231

6d. Provide a customer satisfaction measure, if available.

None available.

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

None available.

DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
STATE BOARD OF ACCOUNTANCY								
Board of Acct - E&E Increase - 1375003								
SUPPLIES	0	0.00	0	0.00	0	0.00	11,250	0.00
PROFESSIONAL SERVICES	0	0.00	0	0.00	0	0.00	63,750	0.00
TOTAL - EE	0	0.00	0	0.00	0	0.00	75,000	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$0	0.00	\$75,000	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$75,000	0.00

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CORE DECISION ITEM

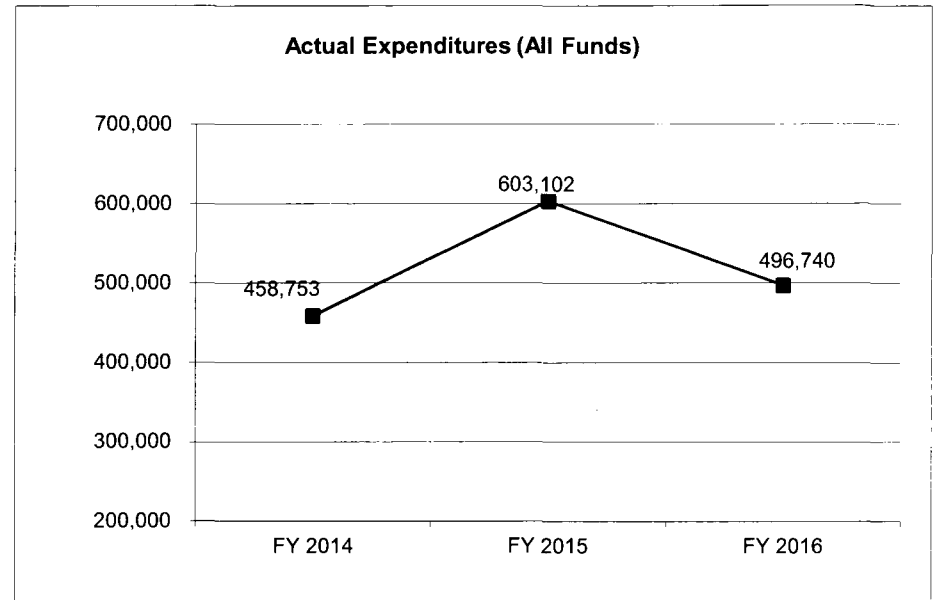
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42660C</u>					
Professional Registration					HB Section <u>7.475</u>					
Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects										
1. CORE FINANCIAL SUMMARY										
	FY 2018 Budget Request						FY 2018 Governor's Recommendation			
	GR	Federal	Other	Total	E		GR	Federal	Other	Total
PS	0	0	398,599	398,599		PS	0	0	398,599	398,599
EE	0	0	301,397	301,397		EE	0	0	301,397	301,397
PSD	0	0	0	0		PSD	0	0	0	0
TRF	0	0	0	0		TRF	0	0	0	0
Total	<u>0</u>	<u>0</u>	<u>699,996</u>	<u>699,996</u>		Total	<u>0</u>	<u>0</u>	<u>699,996</u>	<u>699,996</u>
FTE	0.00	0.00	10.00	10.00		FTE	0.00	0.00	10.00	10.00
Est. Fringe	0	0	211,898	211,898		Est. Fringe	0	0	211,898	211,898
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:	State Board for Architects, Prof. Engineers, Prof. Land Surveyors & Landscape Architects Fund (0678)					Other Funds:	State Board for Architects, Prof. Engineers, Prof. Land Surveyors & Landscape Architects Fund (0678)			
2. CORE DESCRIPTION										
The core program request is necessary to ensure the continued high quality of service provided by architects, professional engineers, professional land surveyors and landscape architects licensed in Missouri.										
3. PROGRAM LISTING (list programs included in this core funding)										
Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects										

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42660C
Professional Registration	HB Section	7.475
Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects		

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	685,812	690,085	692,179	699,996
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	685,812	690,085	692,179	699,996
Actual Expenditures (All Funds)	458,753	603,102	496,740	N/A
Unexpended (All Funds)	227,059	86,983	195,439	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	227,059	86,983	195,439	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
ARCHITECTS, P.E. & LAND SURV.**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	10.00	0	0	398,599	398,599	
	EE	0.00	0	0	301,397	301,397	
	Total	10.00	0	0	699,996	699,996	
DEPARTMENT CORE REQUEST							
	PS	10.00	0	0	398,599	398,599	
	EE	0.00	0	0	301,397	301,397	
	Total	10.00	0	0	699,996	699,996	
GOVERNOR'S RECOMMENDED CORE							
	PS	10.00	0	0	398,599	398,599	
	EE	0.00	0	0	301,397	301,397	
	Total	10.00	0	0	699,996	699,996	

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
ARCHITECTS, P.E. & LAND SURV.								
CORE								
PERSONAL SERVICES								
BRD OF ARCH,ENG,LND SUR,LND AR	303,322	9.02	398,599	10.00	398,599	10.00	398,599	10.00
TOTAL - PS	303,322	9.02	398,599	10.00	398,599	10.00	398,599	10.00
EXPENSE & EQUIPMENT								
BRD OF ARCH,ENG,LND SUR,LND AR	193,418	0.00	301,397	0.00	301,397	0.00	301,397	0.00
TOTAL - EE	193,418	0.00	301,397	0.00	301,397	0.00	301,397	0.00
TOTAL	496,740	9.02	699,996	10.00	699,996	10.00	699,996	10.00
GRAND TOTAL	\$496,740	9.02	\$699,996	10.00	\$699,996	10.00	\$699,996	10.00

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 42660C BUDGET UNIT NAME: Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects HOUSE BILL SECTION: 7.475	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Professional Registration
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1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
ARCHITECTS, P.E. & LAND SURV.								
CORE								
INVESTIGATOR II	40,320	1.02	40,645	1.00	40,645	1.00	40,645	1.00
PROCESSING TECHNICIAN I	10,352	0.45	54,882	2.00	40,000	2.00	40,000	2.00
PROCESSING TECHNICIAN II	89,406	3.20	124,118	4.00	124,118	4.00	124,118	4.00
PROCESSING TECHNICIAN III	32,052	1.00	35,014	1.00	35,014	1.00	35,014	1.00
PROCESSING TECHNICIAN SUPV	35,554	1.02	38,256	1.00	38,256	1.00	38,256	1.00
BOARD MEMBER	17,092	0.88	30,071	0.00	30,071	0.00	30,071	0.00
CLERK	11,404	0.45	0	0.00	14,882	0.00	14,882	0.00
PRINCIPAL ASST BOARD/COMMISSON	67,142	1.00	75,613	1.00	75,613	1.00	75,613	1.00
TOTAL - PS	303,322	9.02	398,599	10.00	398,599	10.00	398,599	10.00
TRAVEL, IN-STATE	24,689	0.00	15,069	0.00	25,069	0.00	25,069	0.00
TRAVEL, OUT-OF-STATE	4,459	0.00	4,450	0.00	4,450	0.00	4,450	0.00
FUEL & UTILITIES	0	0.00	100	0.00	100	0.00	100	0.00
SUPPLIES	33,488	0.00	49,400	0.00	39,400	0.00	39,400	0.00
PROFESSIONAL DEVELOPMENT	39,179	0.00	40,707	0.00	40,707	0.00	40,707	0.00
COMMUNICATION SERV & SUPP	3,864	0.00	6,984	0.00	6,984	0.00	6,984	0.00
PROFESSIONAL SERVICES	72,535	0.00	147,886	0.00	147,886	0.00	147,886	0.00
HOUSEKEEPING & JANITORIAL SERV	0	0.00	100	0.00	100	0.00	100	0.00
M&R SERVICES	1,614	0.00	5,608	0.00	5,608	0.00	5,608	0.00
OFFICE EQUIPMENT	487	0.00	5,419	0.00	5,419	0.00	5,419	0.00
OTHER EQUIPMENT	0	0.00	100	0.00	100	0.00	100	0.00
PROPERTY & IMPROVEMENTS	0	0.00	4,000	0.00	4,000	0.00	4,000	0.00
BUILDING LEASE PAYMENTS	3,779	0.00	5,131	0.00	5,131	0.00	5,131	0.00
EQUIPMENT RENTALS & LEASES	3,821	0.00	3,875	0.00	3,875	0.00	3,875	0.00
MISCELLANEOUS EXPENSES	5,503	0.00	12,568	0.00	12,568	0.00	12,568	0.00
TOTAL - EE	193,418	0.00	301,397	0.00	301,397	0.00	301,397	0.00
GRAND TOTAL	\$496,740	9.02	\$699,996	10.00	\$699,996	10.00	\$699,996	10.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$496,740	9.02	\$699,996	10.00	\$699,996	10.00	\$699,996	10.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.475

Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects

1. What does this program do?

The Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating architects, professional engineers, professional land surveyors and landscape architects in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 327.011-327.635 RSMo.

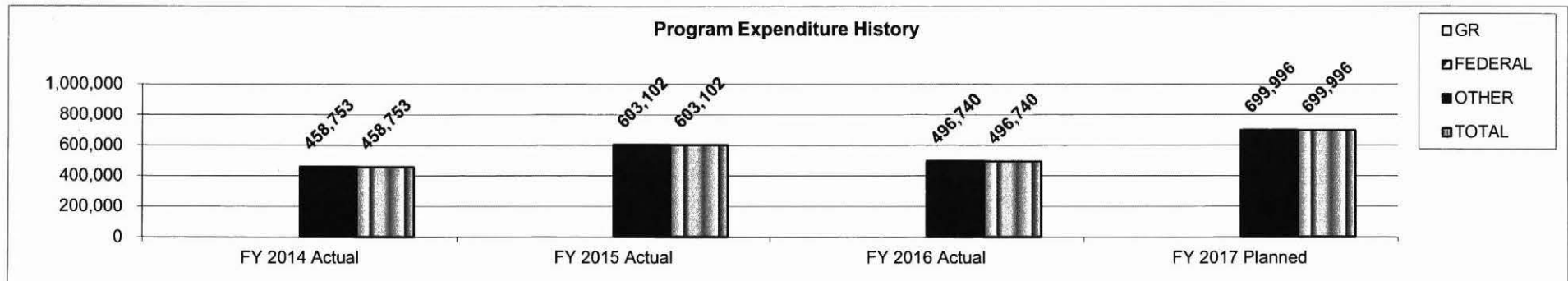
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects (0678)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

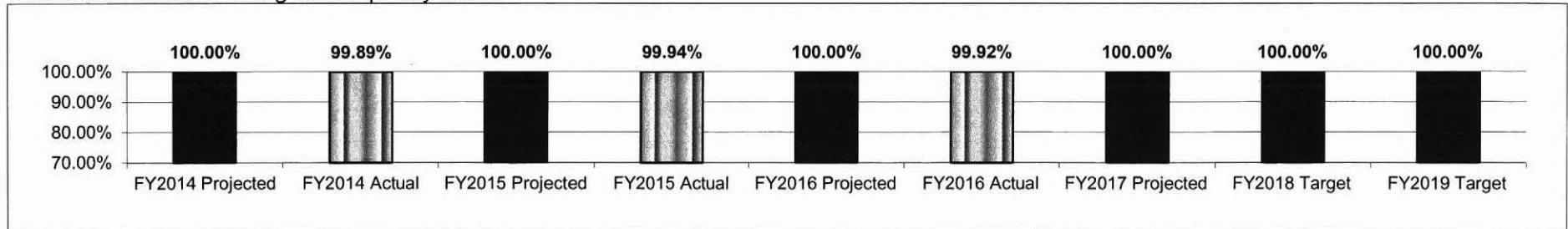
HB Section(s): 7.475

Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	1,260	1,075	1,260	1,269	1,279	1,369	1,519	1,912	1,339
Licensed Professionals	27,243	28,286	27,515	28,486	27,936	28,972	28,580	26,995	29,206

7d. Provide a customer satisfaction measure, if available.

None available.

CORE DECISION ITEM

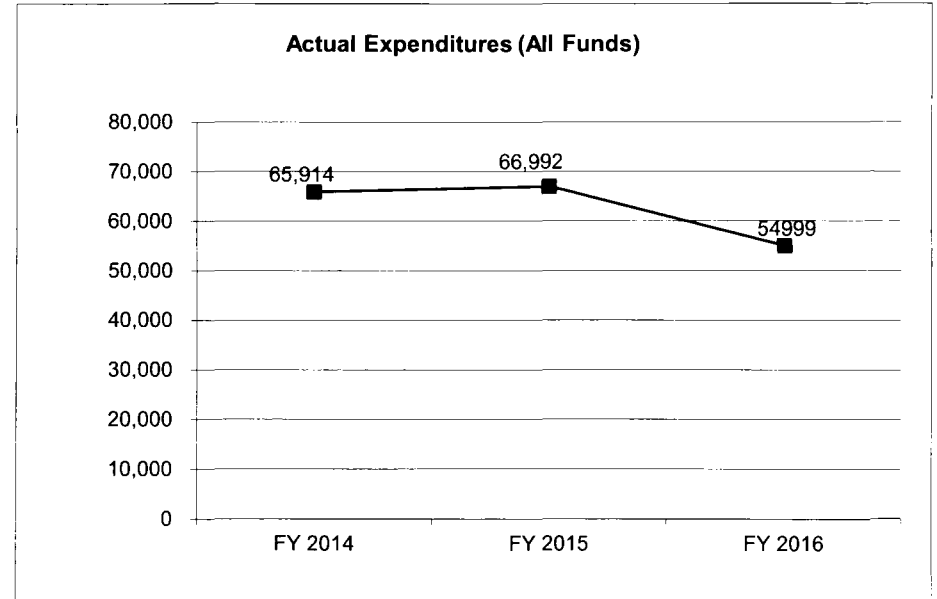
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42680C</u>						
Professional Registration											
Core - State Board of Chiropractic Examiners					HB Section <u>7.480</u>						
1. CORE FINANCIAL SUMMARY											
FY 2018 Budget Request					FY 2018 Governor's Recommendation						
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	131,820	131,820		EE	0	0	131,820	131,820	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	0	0	131,820	131,820		Total	0	0	131,820	131,820	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
<i>Est. Fringe</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>		<i>Est. Fringe</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: State Board of Chiropractic Examiners Fund (0630)						Other Funds: State Board of Chiropractic Examiners Fund (0630)					
2. CORE DESCRIPTION											
The core program request is necessary to ensure the continued high quality of service provided by chiropractors licensed in Missouri.											
3. PROGRAM LISTING (list programs included in this core funding)											
State Board of Chiropractic Examiners											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42680C
Professional Registration		
Core - State Board of Chiropractic Examiners	HB Section	7.480

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	131,820	131,820	131,820	131,820
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	131,820	131,820	131,820	131,820
Actual Expenditures (All Funds)	65,914	66,992	54,999	N/A
Unexpended (All Funds)	65,906	64,828	76,821	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	65,906	64,828	76,821	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
BD OF CHIROPRACTIC EXAMINERS**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES	EE	0.00	0	0	131,820	131,820	
	Total	0.00	0	0	131,820	131,820	
DEPARTMENT CORE REQUEST	EE	0.00	0	0	131,820	131,820	
	Total	0.00	0	0	131,820	131,820	
GOVERNOR'S RECOMMENDED CORE	EE	0.00	0	0	131,820	131,820	
	Total	0.00	0	0	131,820	131,820	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BD OF CHIROPRACTIC EXAMINERS								
CORE								
EXPENSE & EQUIPMENT								
BOARD OF CHIROPRACTIC EXAMINER	54,999	0.00	131,820	0.00	131,820	0.00	131,820	0.00
TOTAL - EE	54,999	0.00	131,820	0.00	131,820	0.00	131,820	0.00
TOTAL	54,999	0.00	131,820	0.00	131,820	0.00	131,820	0.00
GRAND TOTAL	\$54,999	0.00	\$131,820	0.00	\$131,820	0.00	\$131,820	0.00

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DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BD OF CHIROPRACTIC EXAMINERS								
CORE								
TRAVEL, IN-STATE	3,197	0.00	5,048	0.00	5,048	0.00	5,048	0.00
TRAVEL, OUT-OF-STATE	6,034	0.00	0	0.00	7,000	0.00	7,000	0.00
FUEL & UTILITIES	0	0.00	60	0.00	60	0.00	60	0.00
SUPPLIES	5,457	0.00	10,030	0.00	8,030	0.00	8,030	0.00
PROFESSIONAL DEVELOPMENT	4,180	0.00	4,980	0.00	6,980	0.00	6,980	0.00
COMMUNICATION SERV & SUPP	1,260	0.00	2,000	0.00	4,000	0.00	4,000	0.00
PROFESSIONAL SERVICES	32,441	0.00	96,000	0.00	87,000	0.00	87,000	0.00
M&R SERVICES	941	0.00	4,502	0.00	4,502	0.00	4,502	0.00
OFFICE EQUIPMENT	840	0.00	4,600	0.00	4,600	0.00	4,600	0.00
OTHER EQUIPMENT	0	0.00	2,000	0.00	2,000	0.00	2,000	0.00
BUILDING LEASE PAYMENTS	64	0.00	600	0.00	600	0.00	600	0.00
MISCELLANEOUS EXPENSES	585	0.00	2,000	0.00	2,000	0.00	2,000	0.00
TOTAL - EE	54,999	0.00	131,820	0.00	131,820	0.00	131,820	0.00
GRAND TOTAL	\$54,999	0.00	\$131,820	0.00	\$131,820	0.00	\$131,820	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$54,999	0.00	\$131,820	0.00	\$131,820	0.00	\$131,820	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.480

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

FY 2017 PLANNED			
	Chiropractic	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	131,820	78,520	210,340
TOTAL	131,820	78,520	210,340

1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.010-331.100 RSMo.

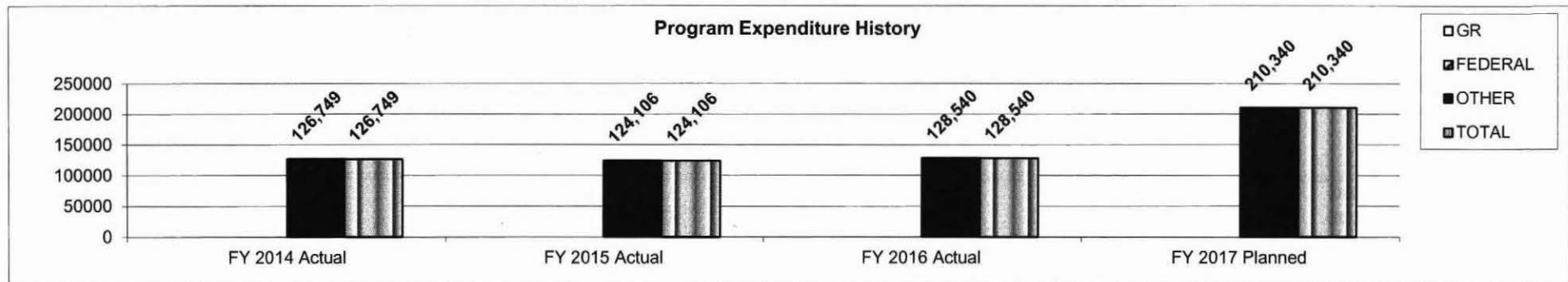
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.480

State Board of Chiropractic Examiners

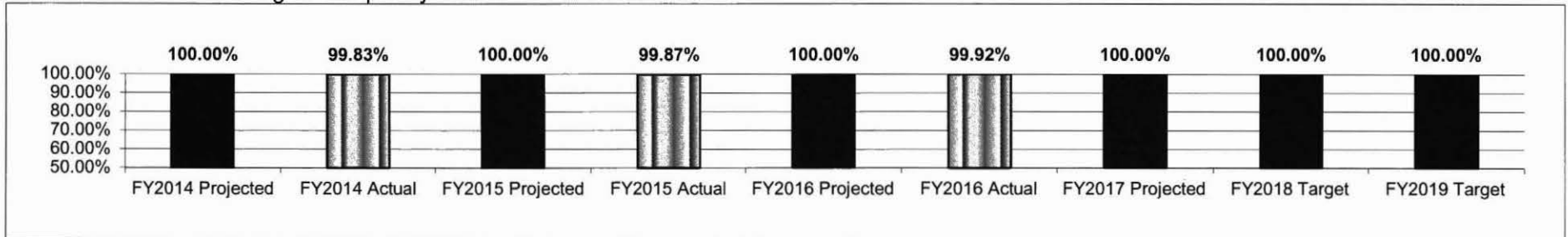
Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Chiropractic Examiners Fund (0630)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	120	153	120	168	115	163	120	162	140
Licensed Professionals	2,200	2,393	2,200	2,285	2,200	2,448	2,200	2,150	2,100

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

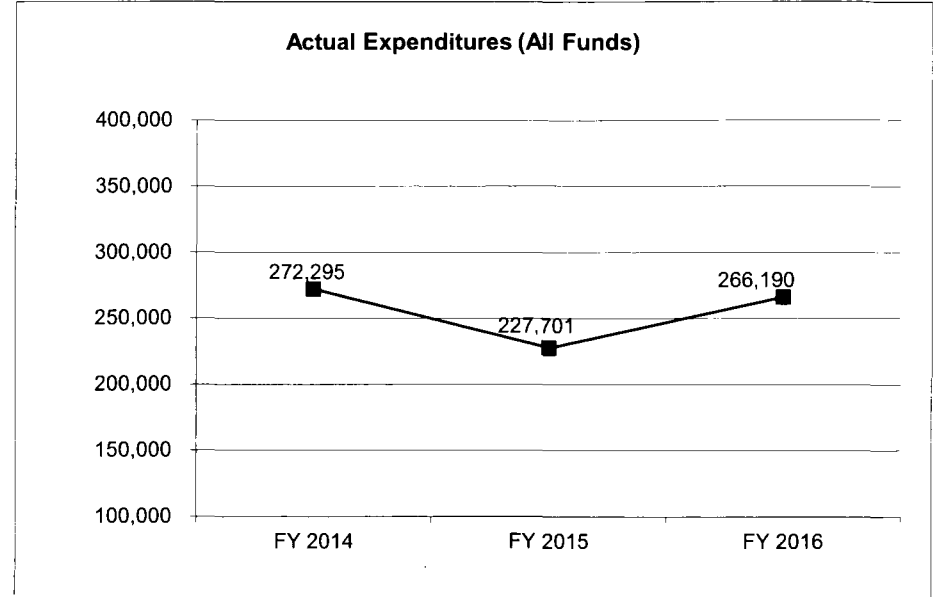
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42695C</u>						
Professional Registration											
Core - State Board of Cosmetology and Barber Examiners					HB Section <u>7.485</u>						
1. CORE FINANCIAL SUMMARY											
FY 2018 Budget Request					FY 2018 Governor's Recommendation						
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	273,899	273,899		EE	0	0	273,899	273,899	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	<u>0</u>	<u>0</u>	<u>273,899</u>	<u>273,899</u>		Total	<u>0</u>	<u>0</u>	<u>273,899</u>	<u>273,899</u>	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						
Other Funds:	Board of Cosmetology and Barber Examiners Fund (0785)				Other Funds:	Board of Cosmetology and Barber Examiners Fund (0785)					
2. CORE DESCRIPTION											
<p>The core program request is necessary to ensure the continued high quality of service provided by cosmetologists, manicurists, estheticians, cosmetology salons, manicuring schools, esthetians, barbers, and barber schools or colleges licensed in Missouri.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
<p>State Board of Cosmetology and Barber Examiners</p>											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42695C
Professional Registration		
Core - State Board of Cosmetology and Barber Examiners	HB Section	7.485

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	273,899	273,899	273,899	273,899
Less Reverted (All Funds)		0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	273,899	273,899	273,899	273,899
Actual Expenditures (All Funds)	272,295	227,701	266,190	N/A
Unexpended (All Funds)	1,604	46,198	7,709	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,604	46,198	7,709	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to less than anticipated expenditures.
- (3) Unexpended amount is due to less than anticipated expenditures.

CORE RECONCILIATION DETAIL

DIFP
BD COSMETOLOGY & BARBERS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	273,899	273,899	
	Total	0.00	0	0	273,899	273,899	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	273,899	273,899	
	Total	0.00	0	0	273,899	273,899	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	273,899	273,899	
	Total	0.00	0	0	273,899	273,899	

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BD COSMETOLOGY & BARBERS								
CORE								
EXPENSE & EQUIPMENT								
BRD OF COSMETOLOGY & BARBER EX	266,190	0.00	273,899	0.00	273,899	0.00	273,899	0.00
TOTAL - EE	266,190	0.00	273,899	0.00	273,899	0.00	273,899	0.00
TOTAL	266,190	0.00	273,899	0.00	273,899	0.00	273,899	0.00
GRAND TOTAL	\$266,190	0.00	\$273,899	0.00	\$273,899	0.00	\$273,899	0.00

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DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BD COSMETOLOGY & BARBERS								
CORE								
TRAVEL, IN-STATE	11,158	0.00	10,205	0.00	10,205	0.00	10,205	0.00
TRAVEL, OUT-OF-STATE	3,725	0.00	3,335	0.00	3,335	0.00	3,335	0.00
SUPPLIES	109,178	0.00	70,898	0.00	70,898	0.00	70,898	0.00
PROFESSIONAL DEVELOPMENT	2,171	0.00	4,761	0.00	4,761	0.00	4,761	0.00
COMMUNICATION SERV & SUPP	24,290	0.00	27,000	0.00	27,000	0.00	27,000	0.00
PROFESSIONAL SERVICES	97,531	0.00	90,000	0.00	90,000	0.00	90,000	0.00
M&R SERVICES	8,470	0.00	13,000	0.00	13,000	0.00	13,000	0.00
MOTORIZED EQUIPMENT	0	0.00	45,000	0.00	45,000	0.00	45,000	0.00
OFFICE EQUIPMENT	3,429	0.00	200	0.00	200	0.00	200	0.00
OTHER EQUIPMENT	2,341	0.00	0	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	50	0.00	50	0.00	50	0.00
MISCELLANEOUS EXPENSES	3,897	0.00	8,450	0.00	8,450	0.00	8,450	0.00
TOTAL - EE	266,190	0.00	273,899	0.00	273,899	0.00	273,899	0.00
GRAND TOTAL	\$266,190	0.00	\$273,899	0.00	\$273,899	0.00	\$273,899	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$266,190	0.00	\$273,899	0.00	\$273,899	0.00	\$273,899	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.485

Board of Cosmetology and Barber Examiners

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

FY 2017 PLANNED

	Cosmetology Barber	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	273,899	720,966	994,865
TOTAL	273,899	720,966	994,865

1. What does this program do?

The Board of Cosmetology and Barber Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the state of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 328.010-328.160, 329.010-329.265 RSMo.

3. Are there federal matching requirements? If yes, please explain.

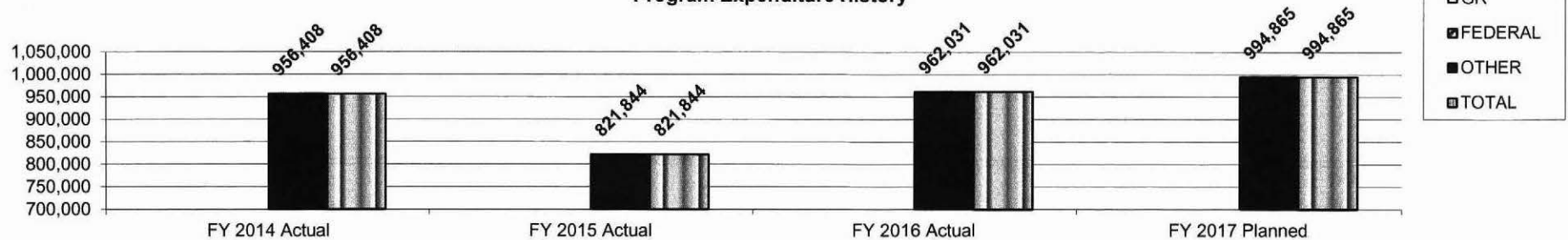
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.485

Board of Cosmetology and Barber Examiners

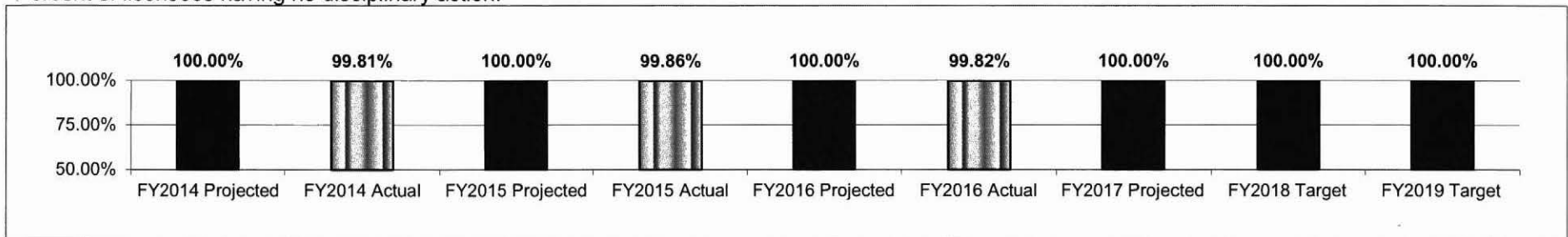
Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	11,500	9,990	11,500	8,915	11,500	8,637	11,553	16,632	10,991
Licensed Professionals	79,643	78,763	79,643	82,421	83,500	78,198	79,322	77,332	79,118

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

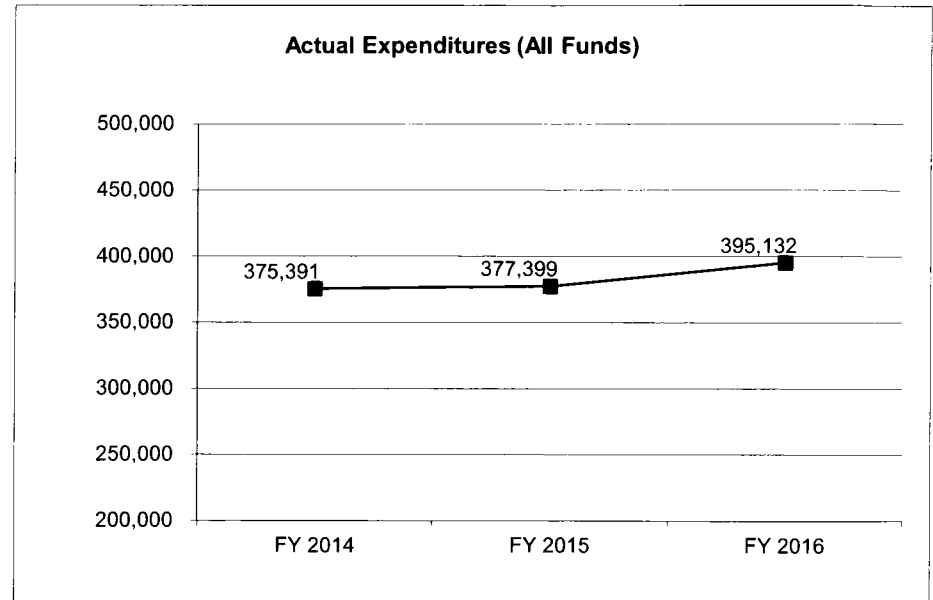
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42710C</u>						
Professional Registration											
Core - Missouri Dental Board					HB Section <u>7.490</u>						
1. CORE FINANCIAL SUMMARY											
FY 2018 Budget Request					FY 2018 Governor's Recommendation						
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	394,642	394,642		PS	0	0	394,642	394,642	
EE	0	0	237,475	237,475		EE	0	0	237,475	237,475	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	0	0	632,117	632,117		Total	0	0	632,117	632,117	
FTE	0.00	0.00	8.50	8.50		FTE	0.00	0.00	8.50	8.50	
Est. Fringe	0	0	195,355	195,355		Est. Fringe	0	0	195,355	195,355	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Dental Board Fund (0677)						Other Funds: Dental Board Fund (0677)					
2. CORE DESCRIPTION											
The core program request is necessary to ensure the continued high quality of service provided by dentists and dental hygienists licensed in Missouri.											
3. PROGRAM LISTING (list programs included in this core funding)											
Missouri Dental Board											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42710C
Professional Registration		
Core - Missouri Dental Board	HB Section	7.490

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	618,428	622,307	624,380	632,117
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	618,428	622,307	624,380	632,117
Actual Expenditures (All Funds)	375,391	377,399	395,132	N/A
Unexpended (All Funds)	243,037	244,908	229,248	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	243,037	244,908	229,248	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
MISSOURI DENTAL BOARD**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	8.50	0	0	394,642	394,642	
	EE	0.00	0	0	237,475	237,475	
	Total	8.50	0	0	632,117	632,117	
DEPARTMENT CORE REQUEST							
	PS	8.50	0	0	394,642	394,642	
	EE	0.00	0	0	237,475	237,475	
	Total	8.50	0	0	632,117	632,117	
GOVERNOR'S RECOMMENDED CORE							
	PS	8.50	0	0	394,642	394,642	
	EE	0.00	0	0	237,475	237,475	
	Total	8.50	0	0	632,117	632,117	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
MISSOURI DENTAL BOARD								
CORE								
PERSONAL SERVICES								
DENTAL BOARD FUND	288,255	8.54	394,642	8.50	394,642	8.50	394,642	8.50
TOTAL - PS	288,255	8.54	394,642	8.50	394,642	8.50	394,642	8.50
EXPENSE & EQUIPMENT								
DENTAL BOARD FUND	106,877	0.00	237,475	0.00	237,475	0.00	237,475	0.00
TOTAL - EE	106,877	0.00	237,475	0.00	237,475	0.00	237,475	0.00
TOTAL	395,132	8.54	632,117	8.50	632,117	8.50	632,117	8.50
GRAND TOTAL	\$395,132	8.54	\$632,117	8.50	\$632,117	8.50	\$632,117	8.50

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 42710C BUDGET UNIT NAME: Missouri Dental Board HOUSE BILL SECTION: 7.490	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Professional Registration
-------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
MISSOURI DENTAL BOARD								
CORE								
ACCOUNTANT I	31,181	1.00	32,138	1.00	32,638	1.00	32,638	1.00
INVESTIGATOR I	33,197	0.95	38,059	1.00	38,059	1.00	38,059	1.00
INVESTIGATOR II	73,594	1.96	96,944	2.00	96,944	2.00	96,944	2.00
INVESTIGATOR III	3,136	0.07	0	0.00	0	0.00	0	0.00
PROCESSING TECHNICIAN I	25,320	0.95	28,172	1.00	28,172	1.00	28,172	1.00
PROCESSING TECHNICIAN II	36,509	1.37	44,699	1.50	44,699	1.50	44,699	1.50
PROCESSING TECHNICIAN SUPV	24,885	0.75	39,429	1.00	39,429	1.00	39,429	1.00
BOARD MEMBER	6,345	0.49	38,895	0.00	36,195	0.00	36,195	0.00
CLERK	5,802	0.25	3,677	0.00	5,877	0.00	5,877	0.00
PRINCIPAL ASST BOARD/COMMISSON	48,286	0.75	72,629	1.00	72,629	1.00	72,629	1.00
TOTAL - PS	288,255	8.54	394,642	8.50	394,642	8.50	394,642	8.50
TRAVEL, IN-STATE	8,151	0.00	10,963	0.00	10,963	0.00	10,963	0.00
TRAVEL, OUT-OF-STATE	3,715	0.00	2,500	0.00	3,500	0.00	3,500	0.00
SUPPLIES	9,588	0.00	19,000	0.00	19,000	0.00	19,000	0.00
PROFESSIONAL DEVELOPMENT	3,766	0.00	10,500	0.00	10,500	0.00	10,500	0.00
COMMUNICATION SERV & SUPP	2,057	0.00	6,000	0.00	6,000	0.00	6,000	0.00
PROFESSIONAL SERVICES	75,193	0.00	171,362	0.00	170,362	0.00	170,362	0.00
M&R SERVICES	527	0.00	3,500	0.00	3,500	0.00	3,500	0.00
OFFICE EQUIPMENT	583	0.00	1,300	0.00	1,300	0.00	1,300	0.00
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
BUILDING LEASE PAYMENTS	1,764	0.00	4,000	0.00	4,000	0.00	4,000	0.00
EQUIPMENT RENTALS & LEASES	40	0.00	350	0.00	350	0.00	350	0.00
MISCELLANEOUS EXPENSES	1,493	0.00	7,000	0.00	7,000	0.00	7,000	0.00
TOTAL - EE	106,877	0.00	237,475	0.00	237,475	0.00	237,475	0.00
GRAND TOTAL	\$395,132	8.54	\$632,117	8.50	\$632,117	8.50	\$632,117	8.50
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$395,132	8.54	\$632,117	8.50	\$632,117	8.50	\$632,117	8.50

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.490

Missouri Dental Board

Program is found in the following core budget(s): Missouri Dental Board

1. What does this program do?

The Missouri Dental Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating dentists, dental specialists and dental hygienists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 332.011-332.364 RSMo.

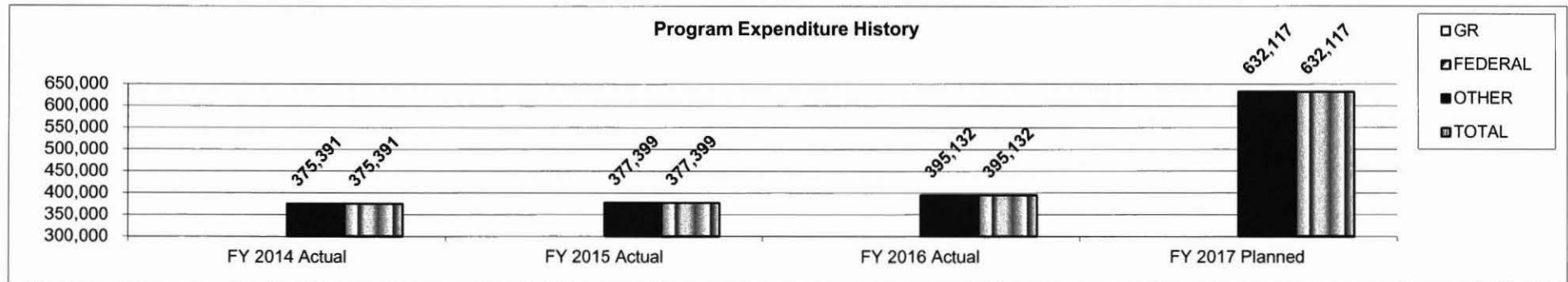
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Dental Board Fund (0677)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

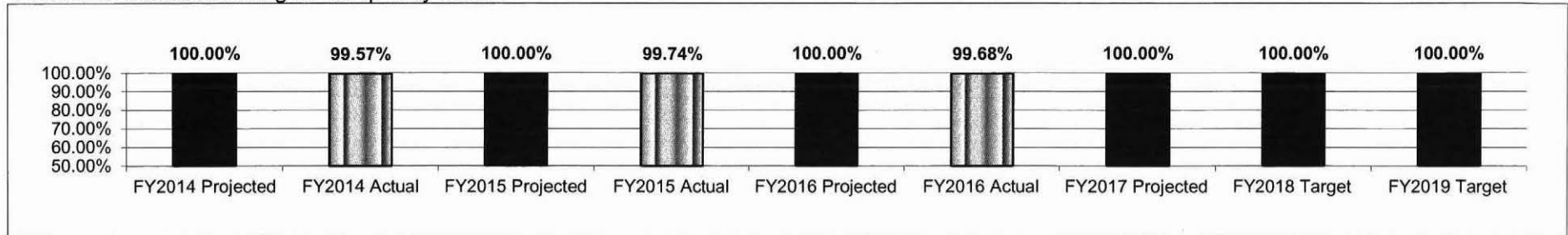
HB Section(s): 7.490

Missouri Dental Board

Program is found in the following core budget(s): Missouri Dental Board

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	2,100	2,548	2,100	2,122	2,100	1,775	2,300	1,849	1,900
Licensed Professionals	10,500	12,894	10,750	14,036	11,100	15,658	11,700	7,949	15,700

7d. Provide a customer satisfaction measure, if available.

None available.

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42720C
Professional Registration		
Core - State Board of Embalmers and Funeral Directors	HB Section	7.495

1. CORE FINANCIAL SUMMARY

FY 2018 Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	164,200	164,200
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	164,200	164,200
FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Board of Embalmers & Funeral Directors Fund (0633)				

FY 2018 Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	164,200	164,200
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	164,200	164,200
FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Board of Embalmers & Funeral Directors Fund (0633)				

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by embalmers, funeral directors, funeral establishment, preneed providers, preneed sellers, and preneed agents licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

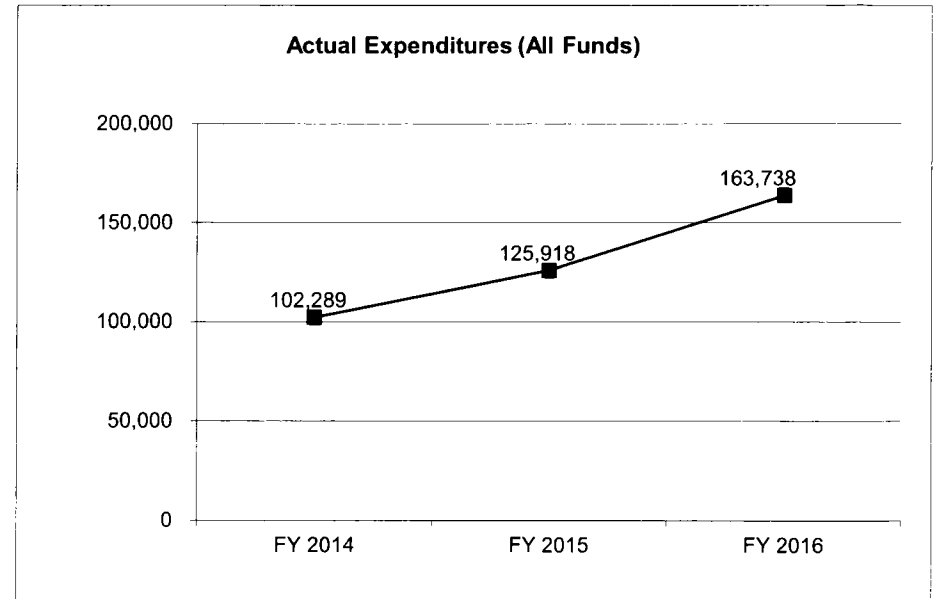
State Board of Embalmers and Funeral Directors

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42720C
Professional Registration		
Core - State Board of Embalmers and Funeral Directors	HB Section	7.495

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	164,200	164,200	164,200	164,200
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	164,200	164,200	164,200	164,200
Actual Expenditures (All Funds)	102,289	125,918	163,738	N/A
Unexpended (All Funds)	61,911	38,282	462	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	61,911	38,282	462	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
BD OF EMBALMERS & FUNERAL DIR**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	164,200	164,200	
	Total	0.00	0	0	164,200	164,200	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	164,200	164,200	
	Total	0.00	0	0	164,200	164,200	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	164,200	164,200	
	Total	0.00	0	0	164,200	164,200	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BD OF EMBALMERS & FUNERAL DIR								
CORE								
EXPENSE & EQUIPMENT								
BOARD OF EMBALM & FUN DIR	163,738	0.00	164,200	0.00	164,200	0.00	164,200	0.00
TOTAL - EE	163,738	0.00	164,200	0.00	164,200	0.00	164,200	0.00
TOTAL	163,738	0.00	164,200	0.00	164,200	0.00	164,200	0.00
GRAND TOTAL	\$163,738	0.00	\$164,200	0.00	\$164,200	0.00	\$164,200	0.00

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DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BD OF EMBALMERS & FUNERAL DIR								
CORE								
TRAVEL, IN-STATE	55,211	0.00	24,320	0.00	24,320	0.00	24,320	0.00
TRAVEL, OUT-OF-STATE	7,395	0.00	2,349	0.00	2,349	0.00	2,349	0.00
SUPPLIES	19,308	0.00	28,500	0.00	28,500	0.00	28,500	0.00
PROFESSIONAL DEVELOPMENT	3,304	0.00	14,250	0.00	14,250	0.00	14,250	0.00
COMMUNICATION SERV & SUPP	6,332	0.00	9,500	0.00	9,500	0.00	9,500	0.00
PROFESSIONAL SERVICES	59,117	0.00	73,731	0.00	73,731	0.00	73,731	0.00
M&R SERVICES	5,772	0.00	2,000	0.00	2,000	0.00	2,000	0.00
OFFICE EQUIPMENT	819	0.00	1,000	0.00	1,000	0.00	1,000	0.00
OTHER EQUIPMENT	1,596	0.00	0	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	958	0.00	1,550	0.00	1,550	0.00	1,550	0.00
EQUIPMENT RENTALS & LEASES	233	0.00	500	0.00	500	0.00	500	0.00
MISCELLANEOUS EXPENSES	3,693	0.00	6,500	0.00	6,500	0.00	6,500	0.00
TOTAL - EE	163,738	0.00	164,200	0.00	164,200	0.00	164,200	0.00
GRAND TOTAL	\$163,738	0.00	\$164,200	0.00	\$164,200	0.00	\$164,200	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$163,738	0.00	\$164,200	0.00	\$164,200	0.00	\$164,200	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.495

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

FY 2017 PLANNED

	Emb & FDs	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	164,200	372,980	537,180
TOTAL	164,200	372,980	537,180

1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the state of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers, preneed providers, and preneed agents in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.011-331.261 RSMo.

3. Are there federal matching requirements? If yes, please explain.

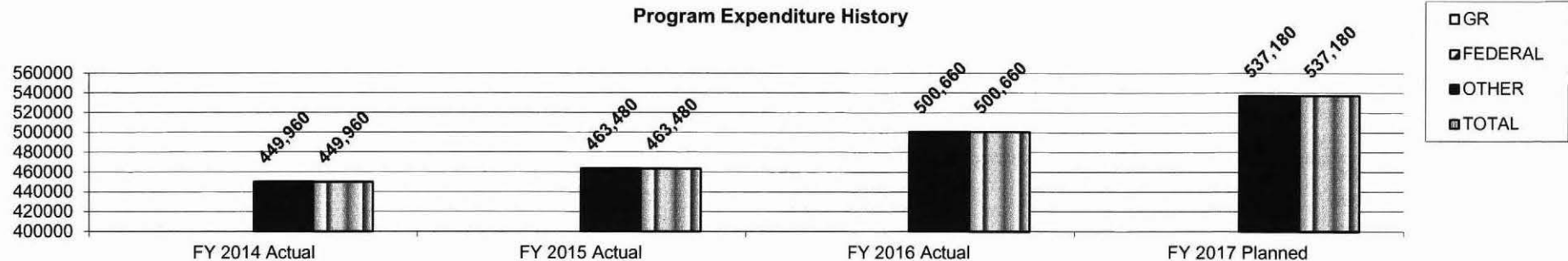
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.495

State Board of Embalmers and Funeral Directors

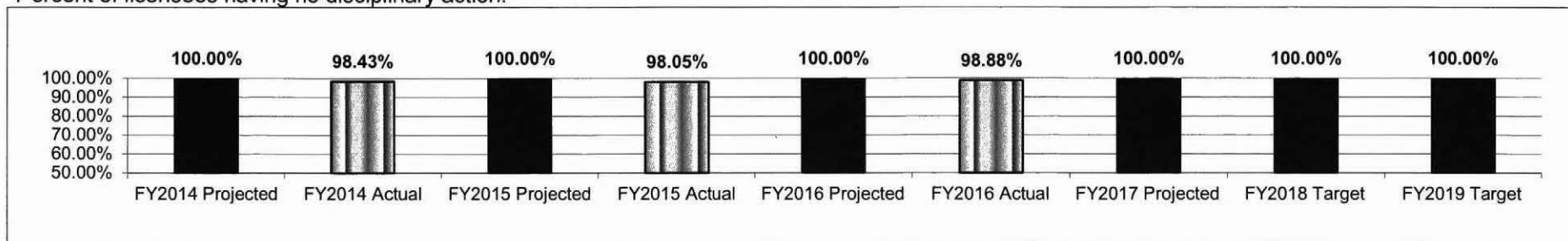
Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	400	406	400	390	400	410	425	328	425
Licensed Professionals	6,200	6,128	6,200	6,260	6,200	6,174	5,928	5,201	6,015

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42730C
Professional Registration		
Core - State Board of Registration for the Healing Arts	HB Section	7.500

1. CORE FINANCIAL SUMMARY

FY 2018 Budget Request					FY 2018 Governor's Recommendation						
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	1,903,234	1,903,234		PS	0	0	1,903,234	1,903,234	
EE	0	0	753,115	753,115		EE	0	0	753,115	753,115	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	0	0	2,656,349	2,656,349		Total	0	0	2,656,349	2,656,349	
FTE	0.00	0.00	45.00	45.00		FTE	0.00	0.00	45.00	45.00	

Est. Fringe	0	0	983,443	983,443
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Board of Registration for the Healing Arts Fund (0634)

Est. Fringe	0	0	983,443	983,443
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Board of Registration for the Healing Arts Fund (0634)

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathologists, speech language pathology assistants, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiology assistants licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

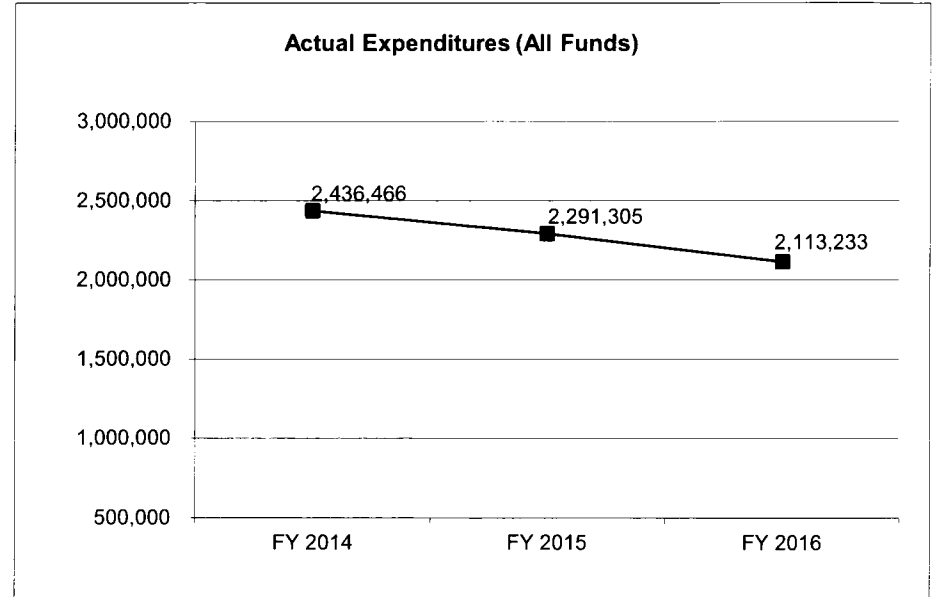
State Board of Registration for the Healing Arts

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42730C
Professional Registration		
Core - State Board of Registration for the Healing Arts	HB Section	7.500

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	2,589,310	2,609,027	2,619,032	2,656,349
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	2,589,310	2,609,027	2,619,032	2,656,349
Actual Expenditures (All Funds)	2,436,466	2,291,305	2,113,233	N/A
Unexpended (All Funds)	152,844	317,722	505,799	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	152,844	317,722	505,799	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
BD OF REG FOR THE HEALING ART**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	45.00	0	0	1,903,234	1,903,234	
	EE	0.00	0	0	753,115	753,115	
	Total	45.00	0	0	2,656,349	2,656,349	
DEPARTMENT CORE REQUEST							
	PS	45.00	0	0	1,903,234	1,903,234	
	EE	0.00	0	0	753,115	753,115	
	Total	45.00	0	0	2,656,349	2,656,349	
GOVERNOR'S RECOMMENDED CORE							
	PS	45.00	0	0	1,903,234	1,903,234	
	EE	0.00	0	0	753,115	753,115	
	Total	45.00	0	0	2,656,349	2,656,349	

DIFP**DECISION ITEM SUMMARY**

Budget Unit									
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	FTE
BD OF REG FOR THE HEALING ART									
CORE									
PERSONAL SERVICES									
BOARD OF REG FOR HEALING ARTS	1,670,244	41.93	1,903,234	45.00	1,903,234	45.00	1,903,234	45.00	45.00
TOTAL - PS	1,670,244	41.93	1,903,234	45.00	1,903,234	45.00	1,903,234	45.00	45.00
EXPENSE & EQUIPMENT									
BOARD OF REG FOR HEALING ARTS	442,989	0.00	753,115	0.00	753,115	0.00	753,115	0.00	0.00
TOTAL - EE	442,989	0.00	753,115	0.00	753,115	0.00	753,115	0.00	0.00
TOTAL	2,113,233	41.93	2,656,349	45.00	2,656,349	45.00	2,656,349	45.00	45.00
GRAND TOTAL	\$2,113,233	41.93	\$2,656,349	45.00	\$2,656,349	45.00	\$2,656,349	45.00	45.00

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 42730C BUDGET UNIT NAME: State Board of Registration for the Healing Arts HOUSE BILL SECTION: 7.500	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Professional Registration
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1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BD OF REG FOR THE HEALING ART								
CORE								
OFFICE SUPPORT ASST (STENO)	3,570	0.13	0	0.00	0	0.00	0	0.00
SR OFC SUPPORT ASST (STENO)	29,412	1.00	30,816	1.00	30,816	1.00	30,816	1.00
SR OFFICE SUPPORT ASSISTANT	23,940	0.83	30,600	1.00	56,600	2.00	56,600	2.00
INFORMATION SUPPORT COOR	29,004	1.00	29,857	1.00	29,857	1.00	29,857	1.00
EXECUTIVE I	35,661	1.04	38,088	1.00	35,088	1.00	35,088	1.00
MEDICAL CNSLT	119,976	1.00	178,754	1.50	178,754	1.50	178,754	1.50
MEDICAL DIR	127,080	1.00	130,063	1.00	130,063	1.00	130,063	1.00
INVESTIGATOR II	551,949	14.53	608,769	15.50	578,769	15.00	578,769	15.00
PROF REG ADMSTV COOR	41,172	1.00	42,495	1.00	42,495	1.00	42,495	1.00
INVESTIGATION MGR B1	45,842	0.85	55,889	1.00	55,889	1.00	55,889	1.00
PROCESSING TECHNICIAN I	129,222	5.38	155,163	7.00	177,163	7.00	177,163	7.00
PROCESSING TECHNICIAN II	130,861	4.88	166,108	6.00	150,108	5.50	150,108	5.50
PROCESSING TECHNICIAN III	59,952	2.00	61,200	2.00	61,200	2.00	61,200	2.00
PROCESSING TECHNICIAN SUPV	33,180	1.00	42,378	1.00	34,378	1.00	34,378	1.00
PARALEGAL	36,251	1.17	63,757	2.00	63,757	2.00	63,757	2.00
LEGAL COUNSEL	98,900	1.75	117,783	2.00	117,783	2.00	117,783	2.00
BOARD MEMBER	5,856	0.45	9,162	0.00	9,162	0.00	9,162	0.00
CLERK	92,150	1.92	63,966	0.00	72,966	0.00	72,966	0.00
PRINCIPAL ASST BOARD/COMMISSON	76,266	1.00	78,386	1.00	78,386	1.00	78,386	1.00
TOTAL - PS	1,670,244	41.93	1,903,234	45.00	1,903,234	45.00	1,903,234	45.00
TRAVEL, IN-STATE	22,516	0.00	20,000	0.00	20,000	0.00	20,000	0.00
TRAVEL, OUT-OF-STATE	18,510	0.00	10,000	0.00	10,000	0.00	10,000	0.00
SUPPLIES	87,152	0.00	95,500	0.00	95,500	0.00	95,500	0.00
PROFESSIONAL DEVELOPMENT	14,099	0.00	8,787	0.00	8,787	0.00	8,787	0.00
COMMUNICATION SERV & SUPP	54,514	0.00	39,324	0.00	39,324	0.00	39,324	0.00
PROFESSIONAL SERVICES	169,567	0.00	525,404	0.00	525,404	0.00	525,404	0.00
M&R SERVICES	15,940	0.00	16,000	0.00	16,000	0.00	16,000	0.00
MOTORIZED EQUIPMENT	10,914	0.00	22,000	0.00	22,000	0.00	22,000	0.00
OFFICE EQUIPMENT	13,314	0.00	1,000	0.00	1,000	0.00	1,000	0.00
OTHER EQUIPMENT	5,972	0.00	0	0.00	0	0.00	0	0.00
PROPERTY & IMPROVEMENTS	7,232	0.00	0	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	7,560	0.00	3,500	0.00	3,500	0.00	3,500	0.00

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DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BD OF REG FOR THE HEALING ART								
CORE								
EQUIPMENT RENTALS & LEASES	1,335	0.00	1,600	0.00	1,600	0.00	1,600	0.00
MISCELLANEOUS EXPENSES	14,364	0.00	10,000	0.00	10,000	0.00	10,000	0.00
TOTAL - EE	442,989	0.00	753,115	0.00	753,115	0.00	753,115	0.00
GRAND TOTAL	\$2,113,233	41.93	\$2,656,349	45.00	\$2,656,349	45.00	\$2,656,349	45.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$2,113,233	41.93	\$2,656,349	45.00	\$2,656,349	45.00	\$2,656,349	45.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.500

State Board of Registration for the Healing Arts

Program is found in the following core budget(s): State Board of Registration for the Healing Arts

1. What does this program do?

The State Board of Registration for the Healing Arts was established to protect the interests of the citizens of the State of Missouri by licensing and regulating physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathologists, speech language pathology assistants, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiologist assistants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.125-324.183, 334.002-334.749, and 345.010-345.080 RSMo.

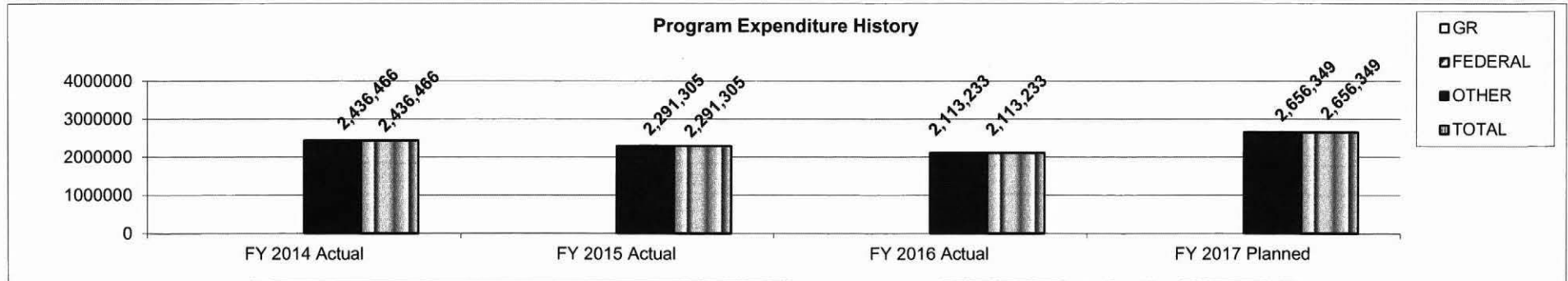
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Registration for the Healing Arts (0634)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

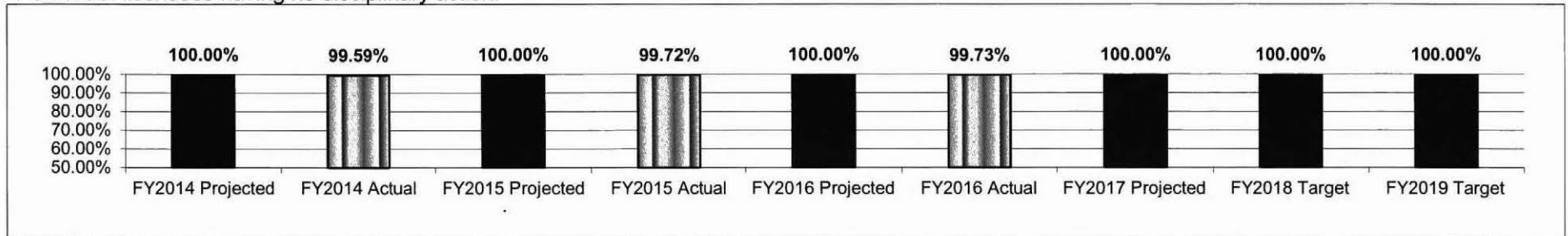
HB Section(s): 7.500

State Board of Registration for the Healing Arts

Program is found in the following core budget(s): State Board of Registration for the Healing Arts

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	4,130	4,294	4,130	4,255	4,300	4,523	4,128	4,166	4,620
Licensed Professionals	42,000	42,533	42,000	43,555	42,000	44,464	42,132	34,024	44,380

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

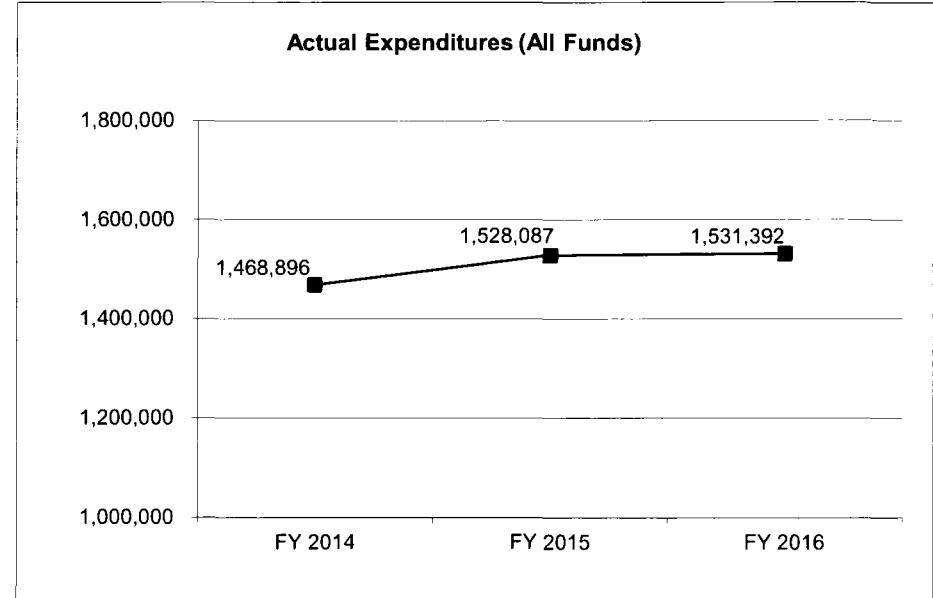
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42740C</u>						
Professional Registration					HB Section <u>7.505</u>						
Core - State Board of Nursing											
1. CORE FINANCIAL SUMMARY											
FY 2018 Budget Request					FY 2018 Governor's Recommendation						
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	1,268,471	1,268,471		PS	0	0	1,268,471	1,268,471	
EE	0	0	577,518	577,518		EE	0	0	577,518	577,518	
PSD	0	0	2,000,000	2,000,000		PSD	0	0	2,000,000	2,000,000	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	0	0	3,845,989	3,845,989		Total	0	0	3,845,989	3,845,989	
FTE	0.00	0.00	28.00	28.00		FTE	0.00	0.00	28.00	28.00	
Est. Fringe	0	0	634,917	634,917		Est. Fringe	0	0	634,917	634,917	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: State Board of Nursing Fund (0635)						Other Funds: State Board of Nursing Fund (0635)					
2. CORE DESCRIPTION											
<p>The core program request is necessary to ensure the continued high quality of service provided by registered professional nurses and practical nurses licensed in Missouri.</p> <p>The Nursing Education Incentive Program was established in 2011 in HB's 223 and 231 to fund the "Caring for Missouri Fund" that will provide grants for institutions of higher educations that offer a program of nursing that meets a predetermined category and area of need as determined by the Board of Nursing and the Department of Higher Education. House Bill 1816 in 2016 changed the administrative agency responsible for implementation of the program from the Department of Higher Education HB 2003 section 3.150 to the State Board of Nursing.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
State Board of Nursing											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42740C
Professional Registration		
Core - State Board of Nursing	HB Section	7.505

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	1,795,184	1,812,001	1,821,119	1,845,989
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	1,795,184	1,812,001	1,821,119	1,845,989
Actual Expenditures (All Funds)	1,468,896	1,528,087	1,531,392	N/A
Unexpended (All Funds)	326,288	283,914	289,727	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	326,288	283,914	289,727	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
BOARD OF NURSING**

5. CORE RECONCILIATION DETAIL

		Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES								
		PS	28.00	0	0	1,268,471	1,268,471	
		EE	0.00	0	0	577,518	577,518	
		Total	28.00	0	0	1,845,989	1,845,989	
DEPARTMENT CORE ADJUSTMENTS								
Transfer In	809 2938	PD	0.00	0	0	2,000,000	2,000,000	Transfer from HB 3 to HB 7 per HB 1816 from 2016
NET DEPARTMENT CHANGES			0.00	0	0	2,000,000	2,000,000	
DEPARTMENT CORE REQUEST								
		PS	28.00	0	0	1,268,471	1,268,471	
		EE	0.00	0	0	577,518	577,518	
		PD	0.00	0	0	2,000,000	2,000,000	
		Total	28.00	0	0	3,845,989	3,845,989	
GOVERNOR'S RECOMMENDED CORE								
		PS	28.00	0	0	1,268,471	1,268,471	
		EE	0.00	0	0	577,518	577,518	
		PD	0.00	0	0	2,000,000	2,000,000	
		Total	28.00	0	0	3,845,989	3,845,989	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BOARD OF NURSING								
CORE								
PERSONAL SERVICES								
BOARD OF NURSING	1,119,070	28.04	1,268,471	28.00	1,268,471	28.00	1,268,471	28.00
TOTAL - PS	1,119,070	28.04	1,268,471	28.00	1,268,471	28.00	1,268,471	28.00
EXPENSE & EQUIPMENT								
BOARD OF NURSING	412,322	0.00	577,518	0.00	577,518	0.00	577,518	0.00
TOTAL - EE	412,322	0.00	577,518	0.00	577,518	0.00	577,518	0.00
PROGRAM-SPECIFIC								
BOARD OF NURSING	0	0.00	0	0.00	2,000,000	0.00	2,000,000	0.00
TOTAL - PD	0	0.00	0	0.00	2,000,000	0.00	2,000,000	0.00
TOTAL	1,531,392	28.04	1,845,989	28.00	3,845,989	28.00	3,845,989	28.00
GRAND TOTAL	\$1,531,392	28.04	\$1,845,989	28.00	\$3,845,989	28.00	\$3,845,989	28.00

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 42740C BUDGET UNIT NAME: State Board of Nursing HOUSE BILL SECTION: 7.505	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Professional Registration
--------------------------------------------------------------------------------------------------------------------------	--------------------------------------------------------------------------------------------------------------------------------------

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BOARD OF NURSING								
CORE								
OFFICE SUPPORT ASSISTANT	23,160	1.00	24,239	1.00	24,239	1.00	24,239	1.00
EXECUTIVE I	32,628	1.00	37,293	1.00	37,293	1.00	37,293	1.00
REGISTERED NURSE - CLIN OPERS	40,938	0.76	57,120	1.00	57,120	1.00	57,120	1.00
INVESTIGATOR I	31,512	1.00	34,141	1.00	34,141	1.00	34,141	1.00
INVESTIGATOR II	132,614	3.46	159,681	4.00	159,681	4.00	159,681	4.00
PROF REG ADMSTV COOR	41,940	1.00	43,074	1.00	43,074	1.00	43,074	1.00
INVESTIGATION MGR B1	54,129	1.00	57,692	1.00	57,692	1.00	57,692	1.00
REGISTERED NURSE MANAGER B1	200,177	3.00	205,299	3.00	205,299	3.00	205,299	3.00
PROCESSING TECHNICIAN I	73,377	3.08	143,761	5.00	0	0.00	0	0.00
PROCESSING TECHNICIAN II	32,136	1.21	0	0.00	143,761	5.00	143,761	5.00
PROCESSING TECHNICIAN III	58,008	2.00	59,456	2.00	59,456	2.00	59,456	2.00
PROCESSING TECHNICIAN SUPV	31,512	1.00	32,612	1.00	32,612	1.00	32,612	1.00
PARALEGAL	96,529	3.00	98,343	3.00	99,343	3.00	99,343	3.00
LEGAL COUNSEL	158,914	2.86	194,660	3.00	188,660	3.00	188,660	3.00
BOARD MEMBER	10,328	0.79	19,243	0.00	19,243	0.00	19,243	0.00
CLERK	20,379	0.88	17,997	0.00	22,997	0.00	22,997	0.00
PRINCIPAL ASST BOARD/COMMISSON	80,789	1.00	83,860	1.00	83,860	1.00	83,860	1.00
TOTAL - PS	1,119,070	28.04	1,268,471	28.00	1,268,471	28.00	1,268,471	28.00
TRAVEL, IN-STATE	17,406	0.00	18,438	0.00	20,000	0.00	20,000	0.00
TRAVEL, OUT-OF-STATE	9,637	0.00	4,834	0.00	10,000	0.00	10,000	0.00
SUPPLIES	48,766	0.00	78,250	0.00	78,250	0.00	78,250	0.00
PROFESSIONAL DEVELOPMENT	13,427	0.00	28,500	0.00	28,500	0.00	28,500	0.00
COMMUNICATION SERV & SUPP	20,864	0.00	20,000	0.00	20,000	0.00	20,000	0.00
PROFESSIONAL SERVICES	255,472	0.00	396,496	0.00	381,768	0.00	381,768	0.00
M&R SERVICES	2,688	0.00	5,000	0.00	5,000	0.00	5,000	0.00
OFFICE EQUIPMENT	11,333	0.00	2,000	0.00	5,000	0.00	5,000	0.00
OTHER EQUIPMENT	4,539	0.00	1,000	0.00	5,000	0.00	5,000	0.00
PROPERTY & IMPROVEMENTS	7,313	0.00	0	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	6,776	0.00	10,000	0.00	10,000	0.00	10,000	0.00
EQUIPMENT RENTALS & LEASES	3,210	0.00	3,000	0.00	4,000	0.00	4,000	0.00
MISCELLANEOUS EXPENSES	10,891	0.00	10,000	0.00	10,000	0.00	10,000	0.00
TOTAL - EE	412,322	0.00	577,518	0.00	577,518	0.00	577,518	0.00

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BOARD OF NURSING								
CORE								
PROGRAM DISTRIBUTIONS	0	0.00	0	0.00	2,000,000	0.00	2,000,000	0.00
TOTAL - PD	0	0.00	0	0.00	2,000,000	0.00	2,000,000	0.00
GRAND TOTAL	\$1,531,392	28.04	\$1,845,989	28.00	\$3,845,989	28.00	\$3,845,989	28.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$1,531,392	28.04	\$1,845,989	28.00	\$3,845,989	28.00	\$3,845,989	28.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.505

State Board of Nursing

Program is found in the following core budget(s): State Board of Nursing

1. What does this program do?

The State Board of Nursing was established to protect the interests of the citizens of the State of Missouri by licensing and regulating registered professional nurses and licensed practical nurses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 335.011-335.257 RSMo.

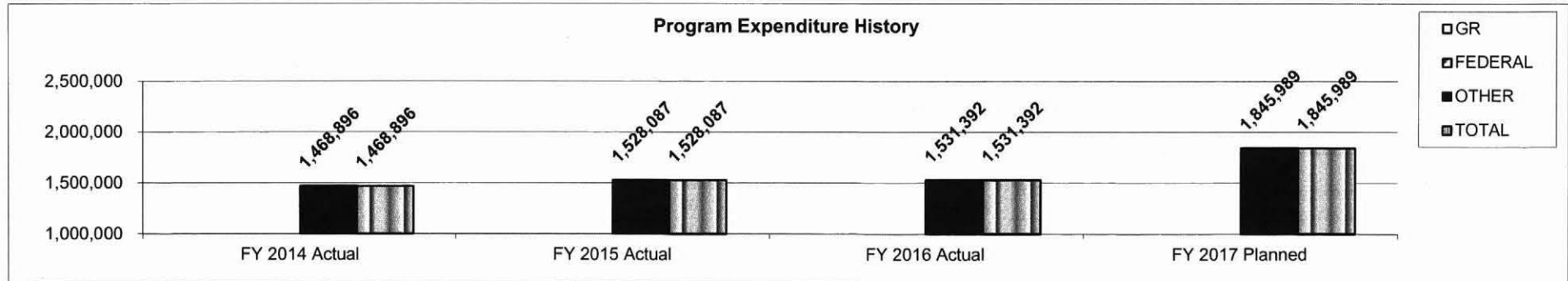
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board of Nursing Fund (0635)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

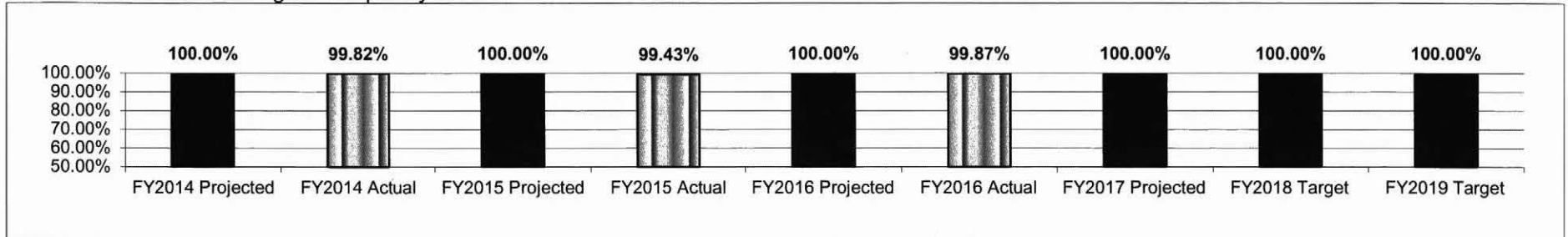
HB Section(s): 7.505

State Board of Nursing

Program is found in the following core budget(s): State Board of Nursing

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	8,500	9,047	8,500	9,729	8,700	9,667	8,500	10,800	9,000
Licensed Professionals	125,000	130,967	126,000	131,838	128,000	138,091	125,000	119,900	135,000

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

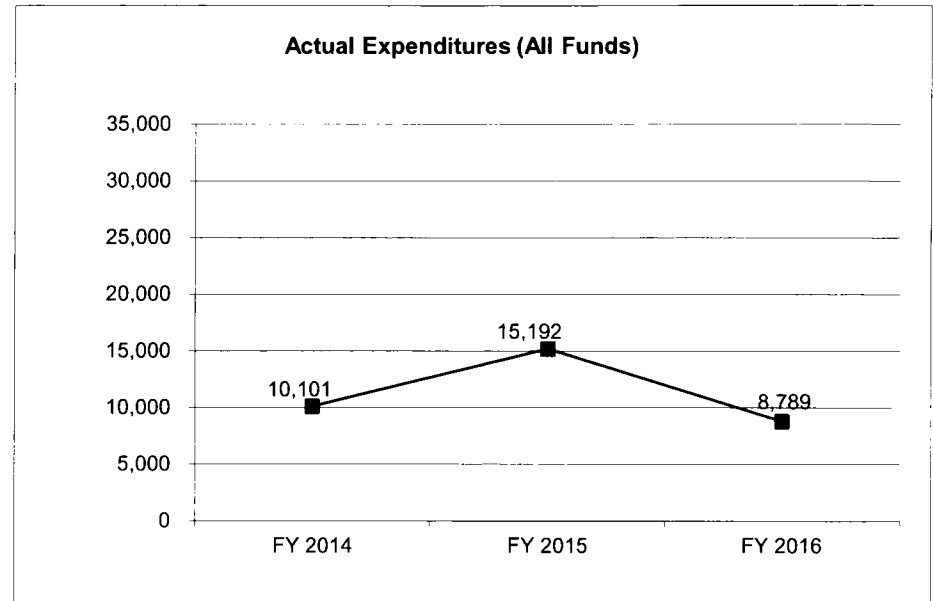
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42750C</u>						
Professional Registration											
Core - State Board of Optometry					HB Section <u>7.510</u>						
1. CORE FINANCIAL SUMMARY											
	FY 2018 Budget Request					FY 2018 Governor's Recommendation					
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	34,726	34,726		EE	0	0	34,726	34,726	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	<u>0</u>	<u>0</u>	<u>34,726</u>	<u>34,726</u>		Total	<u>0</u>	<u>0</u>	<u>34,726</u>	<u>34,726</u>	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Optometry Fund (0636)						Other Funds: Optometry Fund (0636)					
2. CORE DESCRIPTION											
The core program request is necessary to ensure the continued high quality of service provided by optometrists licensed in Missouri.											
3. PROGRAM LISTING (list programs included in this core funding)											
State Board of Optometry											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42750C
Professional Registration		
Core - State Board of Optometry	HB Section	7.510

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	34,726	34,726	34,726	34,726
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	34,726	34,726	34,726	34,726
Actual Expenditures (All Funds)	10,101	15,192	8,789	N/A
Unexpended (All Funds)	24,625	19,534	25,937	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	24,625	19,534	25,937	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to less than anticipated expenditures.
- (3) Unexpended amount is due to less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
BOARD OF OPTOMETRY**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	34,726	34,726	
	Total	0.00	0	0	34,726	34,726	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	34,726	34,726	
	Total	0.00	0	0	34,726	34,726	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	34,726	34,726	
	Total	0.00	0	0	34,726	34,726	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BOARD OF OPTOMETRY								
CORE								
EXPENSE & EQUIPMENT								
BOARD OF OPTOMETRY	8,789	0.00	34,726	0.00	34,726	0.00	34,726	0.00
TOTAL - EE	8,789	0.00	34,726	0.00	34,726	0.00	34,726	0.00
TOTAL	8,789	0.00	34,726	0.00	34,726	0.00	34,726	0.00
GRAND TOTAL	\$8,789	0.00	\$34,726	0.00	\$34,726	0.00	\$34,726	0.00

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DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BOARD OF OPTOMETRY								
CORE								
TRAVEL, IN-STATE	1,912	0.00	2,550	0.00	2,550	0.00	2,550	0.00
TRAVEL, OUT-OF-STATE	646	0.00	2,712	0.00	2,712	0.00	2,712	0.00
SUPPLIES	1,682	0.00	4,225	0.00	4,225	0.00	4,225	0.00
PROFESSIONAL DEVELOPMENT	1,399	0.00	2,850	0.00	2,850	0.00	2,850	0.00
COMMUNICATION SERV & SUPP	601	0.00	800	0.00	800	0.00	800	0.00
PROFESSIONAL SERVICES	630	0.00	17,500	0.00	17,500	0.00	17,500	0.00
M&R SERVICES	175	0.00	800	0.00	800	0.00	800	0.00
OFFICE EQUIPMENT	194	0.00	400	0.00	400	0.00	400	0.00
BUILDING LEASE PAYMENTS	965	0.00	900	0.00	900	0.00	900	0.00
MISCELLANEOUS EXPENSES	585	0.00	1,989	0.00	1,989	0.00	1,989	0.00
TOTAL - EE	8,789	0.00	34,726	0.00	34,726	0.00	34,726	0.00
GRAND TOTAL	\$8,789	0.00	\$34,726	0.00	\$34,726	0.00	\$34,726	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$8,789	0.00	\$34,726	0.00	\$34,726	0.00	\$34,726	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.510

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

FY 2017 PLANNED

	Optometry	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	34,726	58,624	93,350
TOTAL	34,726	58,624	93,350

1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 336.010-336.225 RSMo.

3. Are there federal matching requirements? If yes, please explain.

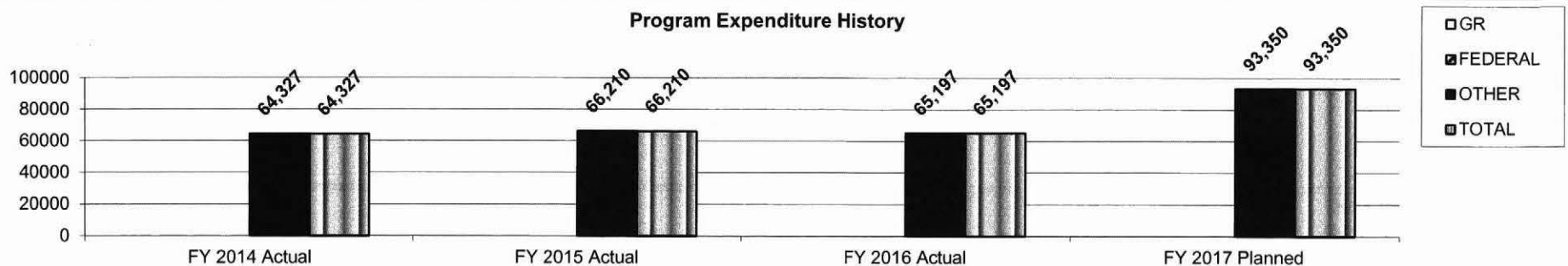
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.510

State Board of Optometry

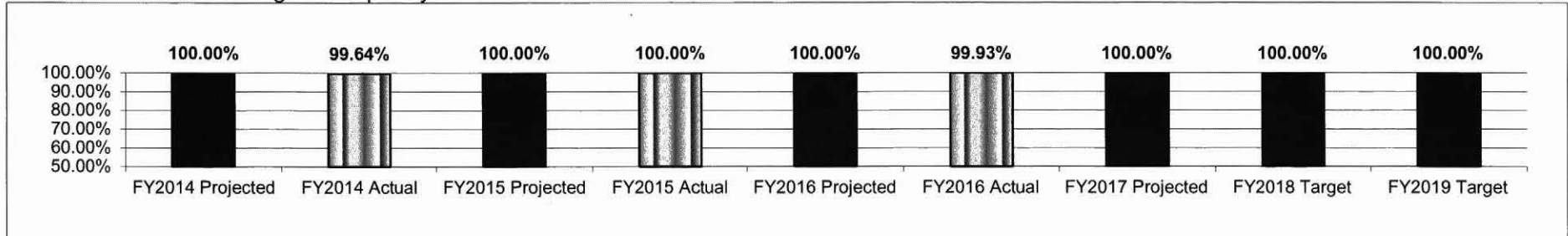
Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	70	56	70	54	70	54	55	71	55
Licensed Professionals	1,350	1,370	1,350	1,321	1,350	1,387	1,365	1,281	1,300

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

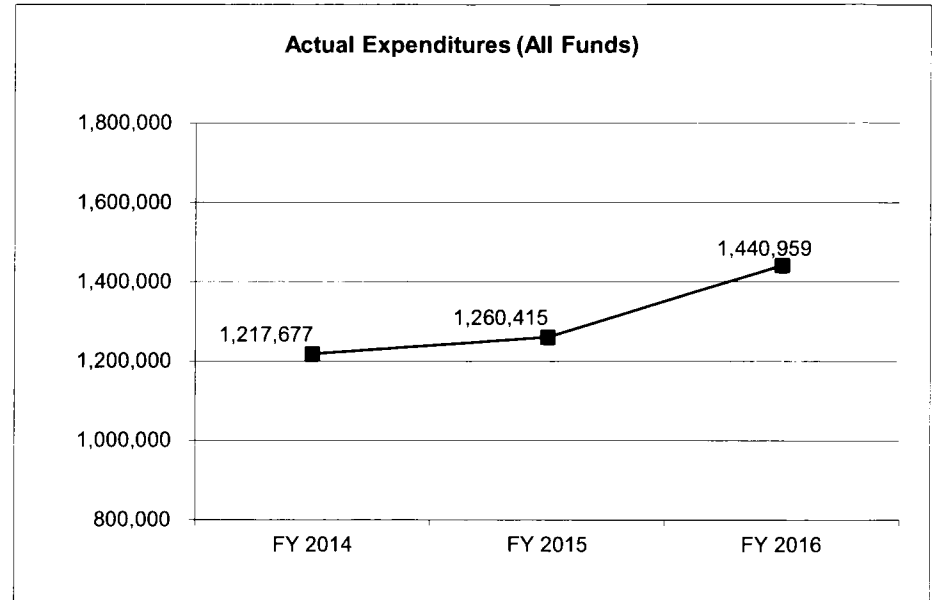
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42760C</u>						
Professional Registration											
Core - Missouri Board of Pharmacy					HB Section <u>7.515</u>						
1. CORE FINANCIAL SUMMARY											
	FY 2018 Budget Request						FY 2018 Governor's Recommendation				
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	1,089,799	1,089,799		PS	0	0	1,089,799	1,089,799	
EE	0	0	653,418	653,418		EE	0	0	653,418	653,418	
PSD	0	0	20,000	20,000		PSD	0	0	20,000	20,000	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	<u>0</u>	<u>0</u>	<u>1,763,217</u>	<u>1,763,217</u>		Total	<u>0</u>	<u>0</u>	<u>1,763,217</u>	<u>1,763,217</u>	
FTE	0.00	0.00	16.00	16.00		FTE	0.00	0.00	16.00	16.00	
Est. Fringe	0	0	462,443	462,443		Est. Fringe	0	0	462,443	462,443	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Board of Pharmacy Fund (0637)						Other Funds: Board of Pharmacy Fund (0637)					
2. CORE DESCRIPTION											
<p>The core program request is necessary to ensure the continued high quality of service provided by pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants licensed in Missouri.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
Missouri Board of Pharmacy											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42760C
Professional Registration		
Core - Missouri Board of Pharmacy	HB Section	7.515

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	1,632,284	1,626,371	1,768,362	1,763,217
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	1,632,284	1,626,371	1,768,362	1,763,217
Actual Expenditures (All Funds)	1,217,677	1,260,415	1,440,959	N/A
Unexpended (All Funds)	414,607	365,956	327,403	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	414,607	365,956	327,403	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
BOARD OF PHARMACY**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	16.00	0	0	1,089,799	1,089,799	
	EE	0.00	0	0	653,418	653,418	
	PD	0.00	0	0	20,000	20,000	
	Total	16.00	0	0	1,763,217	1,763,217	
DEPARTMENT CORE REQUEST							
	PS	16.00	0	0	1,089,799	1,089,799	
	EE	0.00	0	0	653,418	653,418	
	PD	0.00	0	0	20,000	20,000	
	Total	16.00	0	0	1,763,217	1,763,217	
GOVERNOR'S RECOMMENDED CORE							
	PS	16.00	0	0	1,089,799	1,089,799	
	EE	0.00	0	0	653,418	653,418	
	PD	0.00	0	0	20,000	20,000	
	Total	16.00	0	0	1,763,217	1,763,217	

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
BOARD OF PHARMACY									
CORE									
PERSONAL SERVICES									
BOARD OF PHARMACY	1,007,287	16.72	1,089,799	16.00	1,089,799	16.00	1,089,799	16.00	
TOTAL - PS	1,007,287	16.72	1,089,799	16.00	1,089,799	16.00	1,089,799	16.00	
EXPENSE & EQUIPMENT									
BOARD OF PHARMACY	432,942	0.00	653,418	0.00	653,418	0.00	653,418	0.00	
TOTAL - EE	432,942	0.00	653,418	0.00	653,418	0.00	653,418	0.00	
PROGRAM-SPECIFIC									
BOARD OF PHARMACY	730	0.00	20,000	0.00	20,000	0.00	20,000	0.00	
TOTAL - PD	730	0.00	20,000	0.00	20,000	0.00	20,000	0.00	
TOTAL	1,440,959	16.72	1,763,217	16.00	1,763,217	16.00	1,763,217	16.00	
GRAND TOTAL	\$1,440,959	16.72	\$1,763,217	16.00	\$1,763,217	16.00	\$1,763,217	16.00	

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 42760C BUDGET UNIT NAME: Missouri Board of Pharmacy HOUSE BILL SECTION: 7.515	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Professional Registration
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1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BOARD OF PHARMACY								
CORE								
OFFICE SUPPORT ASSISTANT	0	0.00	28,560	1.00	0	0.00	0	0.00
SR OFFICE SUPPORT ASSISTANT	21,473	0.82	0	0.00	27,500	1.00	27,500	1.00
PHARMACEUTICAL CNSLT	729,146	8.76	787,407	9.00	781,107	9.00	781,107	9.00
INVESTIGATOR I	27,956	0.86	33,470	1.00	35,770	1.00	35,770	1.00
PROF REG ADMSTV COOR	51,230	1.25	43,050	1.00	43,050	1.00	43,050	1.00
PROCESSING TECHNICIAN I	24,982	1.03	28,503	1.00	28,503	1.00	28,503	1.00
PROCESSING TECHNICIAN II	25,080	0.93	31,379	1.00	29,939	1.00	29,939	1.00
PROCESSING TECHNICIAN III	31,607	1.04	30,493	1.00	32,993	1.00	32,993	1.00
BOARD MEMBER	1,463	0.11	11,851	0.00	11,851	0.00	11,851	0.00
CLERK	24,739	0.92	21,135	0.00	25,135	0.00	25,135	0.00
PRINCIPAL ASST BOARD/COMMISSON	69,611	1.00	73,951	1.00	73,951	1.00	73,951	1.00
TOTAL - PS	1,007,287	16.72	1,089,799	16.00	1,089,799	16.00	1,089,799	16.00
TRAVEL, IN-STATE	28,014	0.00	25,000	0.00	25,000	0.00	25,000	0.00
TRAVEL, OUT-OF-STATE	14,839	0.00	20,000	0.00	20,000	0.00	20,000	0.00
SUPPLIES	55,998	0.00	61,190	0.00	61,190	0.00	61,190	0.00
PROFESSIONAL DEVELOPMENT	18,607	0.00	13,300	0.00	27,000	0.00	27,000	0.00
COMMUNICATION SERV & SUPP	23,232	0.00	18,480	0.00	25,000	0.00	25,000	0.00
PROFESSIONAL SERVICES	224,250	0.00	448,600	0.00	428,380	0.00	428,380	0.00
HOUSEKEEPING & JANITORIAL SERV	50	0.00	0	0.00	0	0.00	0	0.00
M&R SERVICES	4,815	0.00	13,000	0.00	13,000	0.00	13,000	0.00
MOTORIZED EQUIPMENT	45,438	0.00	32,000	0.00	32,000	0.00	32,000	0.00
OFFICE EQUIPMENT	475	0.00	3,000	0.00	3,000	0.00	3,000	0.00
OTHER EQUIPMENT	656	0.00	0	0.00	0	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	5,000	0.00	5,000	0.00	5,000	0.00
BUILDING LEASE PAYMENTS	3,333	0.00	3,000	0.00	3,000	0.00	3,000	0.00
EQUIPMENT RENTALS & LEASES	2,320	0.00	500	0.00	500	0.00	500	0.00
MISCELLANEOUS EXPENSES	10,915	0.00	10,348	0.00	10,348	0.00	10,348	0.00
TOTAL - EE	432,942	0.00	653,418	0.00	653,418	0.00	653,418	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BOARD OF PHARMACY								
CORE								
PROGRAM DISTRIBUTIONS	730	0.00	20,000	0.00	20,000	0.00	20,000	0.00
TOTAL - PD	730	0.00	20,000	0.00	20,000	0.00	20,000	0.00
GRAND TOTAL	\$1,440,959	16.72	\$1,763,217	16.00	\$1,763,217	16.00	\$1,763,217	16.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$1,440,959	16.72	\$1,763,217	16.00	\$1,763,217	16.00	\$1,763,217	16.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.515

Missouri Board of Pharmacy

Program is found in the following core budget(s): Missouri Board of Pharmacy

1. What does this program do?

The Missouri Board of Pharmacy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 338.010-338.550 RSMo.

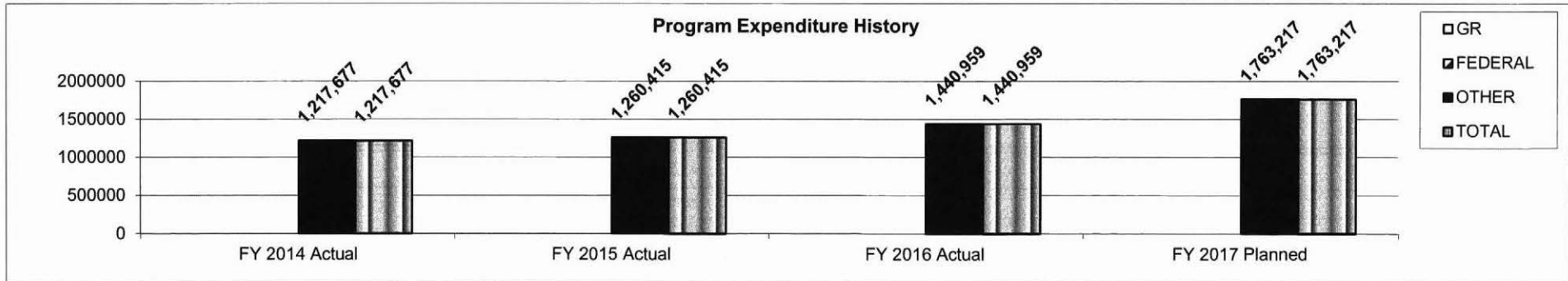
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Pharmacy Fund (0637)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

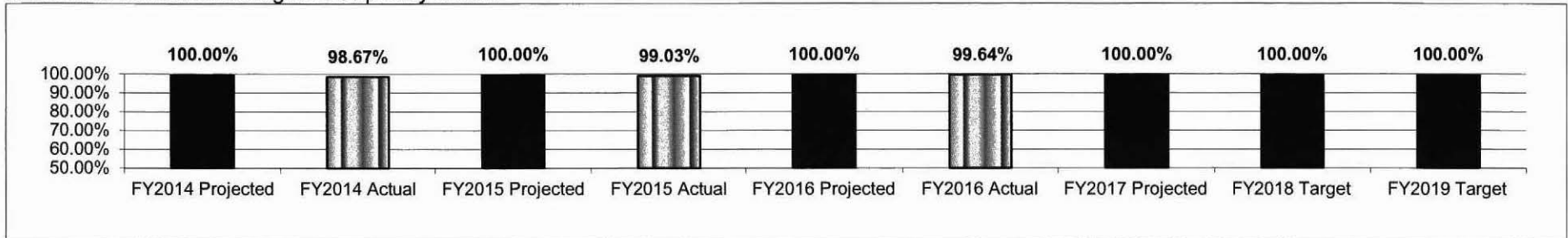
HB Section(s): 7.515

Missouri Board of Pharmacy

Program is found in the following core budget(s): Missouri Board of Pharmacy

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	7,000	7,094	7,000	7,060	7,000	7,845	6,750	6,443	6,850
Licensed Professionals	33,500	34,687	33,500	34,759	33,500	36,236	32,100	29,645	34,000

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42770C</u>				
Professional Registration									
Core - State Board of Podiatric Medicine					HB Section <u>7.520</u>				

1. CORE FINANCIAL SUMMARY

	FY 2018 Budget Request				
	GR	Federal	Other	Total	E
PS	0	0	0	0	
EE	0	0	13,734	13,734	
PSD	0	0	0	0	
TRF	0	0	0	0	
Total	0	0	13,734	13,734	
FTE	0.00	0.00	0.00	0.00	

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

	FY 2018 Governor's Recommendation				
	GR	Federal	Other	Total	E
PS	0	0	0	0	
EE	0	0	13,734	13,734	
PSD	0	0	0	0	
TRF	0	0	0	0	
Total	0	0	13,734	13,734	
FTE	0.00	0.00	0.00	0.00	

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Podiatric Medicine Fund (0629)

Other Funds: State Board of Podiatric Medicine Fund (0629)

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by podiatrists licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

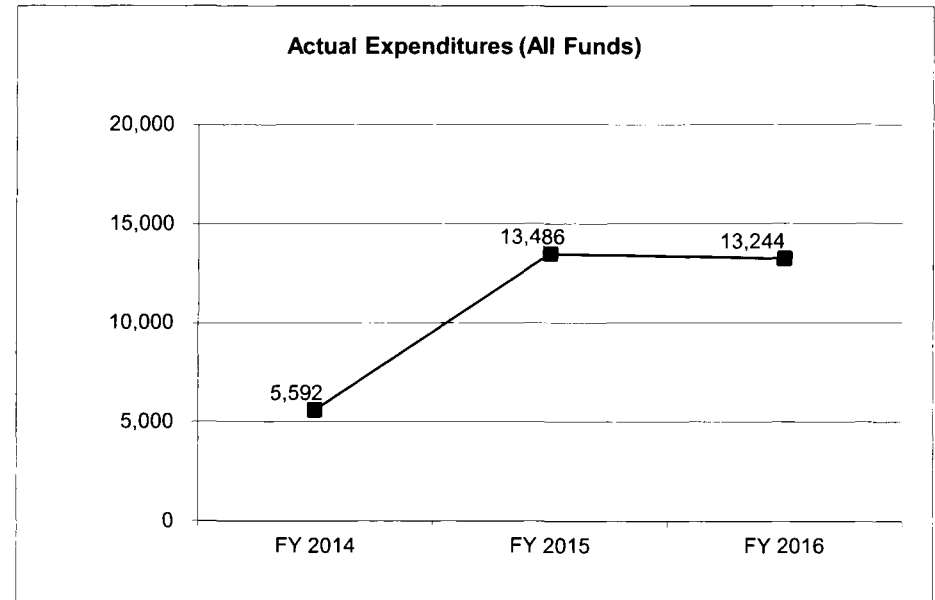
State Board of Podiatric Medicine

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42770C
Professional Registration		
Core - State Board of Podiatric Medicine	HB Section	7.520

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	13,734	13,734	13,734	13,734
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	13,734	13,734	13,734	13,734
Actual Expenditures (All Funds)	5,592	13,486	13,244	N/A
Unexpended (All Funds)	8,142	248	490	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	8,142	248	490	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount due to less than anticipated expenditures.
- (2) Unexpended amount due to less than anticipated expenditures.
- (3) Unexpended amount due to less than anticipated expenditures.

CORE RECONCILIATION DETAIL

DIFP
BOARD OF PODIATRIC MEDICINE

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	13,734	13,734	
	Total	0.00	0	0	13,734	13,734	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	13,734	13,734	
	Total	0.00	0	0	13,734	13,734	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	13,734	13,734	
	Total	0.00	0	0	13,734	13,734	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BOARD OF PODIATRIC MEDICINE								
CORE								
EXPENSE & EQUIPMENT								
BOARD OF PODIATRIC MEDICINE	13,244	0.00	13,734	0.00	13,734	0.00	13,734	0.00
TOTAL - EE	13,244	0.00	13,734	0.00	13,734	0.00	13,734	0.00
TOTAL	13,244	0.00	13,734	0.00	13,734	0.00	13,734	0.00
GRAND TOTAL	\$13,244	0.00	\$13,734	0.00	\$13,734	0.00	\$13,734	0.00

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
BOARD OF PODIATRIC MEDICINE								
CORE								
TRAVEL, IN-STATE	449	0.00	315	0.00	315	0.00	315	0.00
TRAVEL, OUT-OF-STATE	19	0.00	0	0.00	0	0.00	0	0.00
SUPPLIES	2,452	0.00	1,900	0.00	1,900	0.00	1,900	0.00
PROFESSIONAL DEVELOPMENT	2,498	0.00	2,850	0.00	2,850	0.00	2,850	0.00
COMMUNICATION SERV & SUPP	352	0.00	720	0.00	720	0.00	720	0.00
PROFESSIONAL SERVICES	6,599	0.00	5,499	0.00	5,499	0.00	5,499	0.00
M&R SERVICES	391	0.00	300	0.00	300	0.00	300	0.00
OFFICE EQUIPMENT	97	0.00	1,000	0.00	1,000	0.00	1,000	0.00
OTHER EQUIPMENT	116	0.00	0	0.00	0	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
BUILDING LEASE PAYMENTS	64	0.00	0	0.00	0	0.00	0	0.00
MISCELLANEOUS EXPENSES	207	0.00	150	0.00	150	0.00	150	0.00
TOTAL - EE	13,244	0.00	13,734	0.00	13,734	0.00	13,734	0.00
GRAND TOTAL	\$13,244	0.00	\$13,734	0.00	\$13,734	0.00	\$13,734	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$13,244	0.00	\$13,734	0.00	\$13,734	0.00	\$13,734	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.520

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

FY 2017 PLANNED

	Podiatry	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	13,734	28,710	42,444
TOTAL	13,734	28,710	42,444

1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 330.010-330.210 RSMo.

3. Are there federal matching requirements? If yes, please explain.

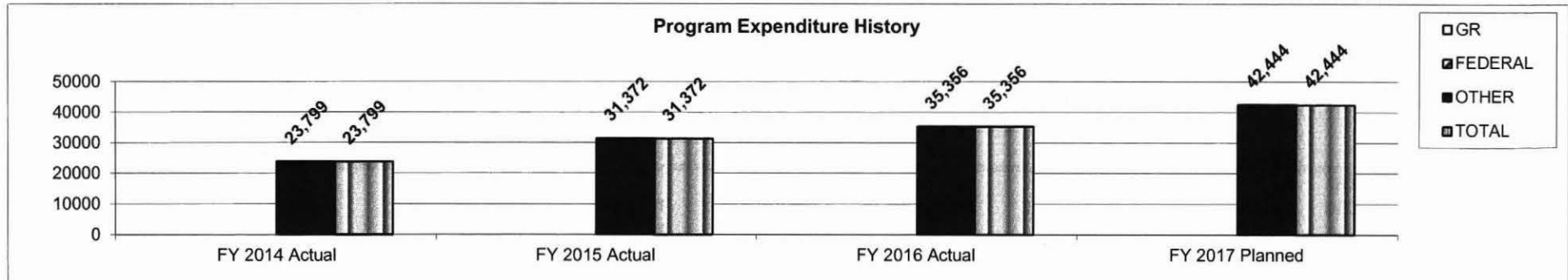
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.520

State Board of Podiatric Medicine

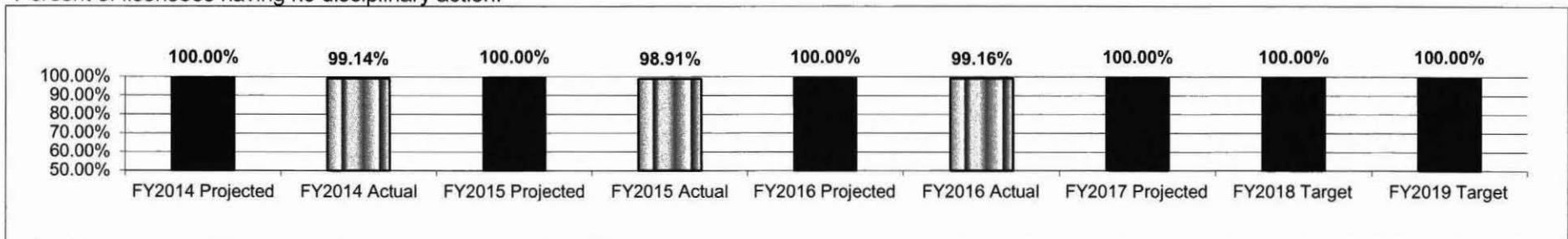
Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

6. What are the sources of the "Other " funds?

State Board of Podiatric Medicine (0629)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	40	36	40	48	40	37	40	63	28
Licensed Professionals	375	349	375	367	375	357	343	315	343

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

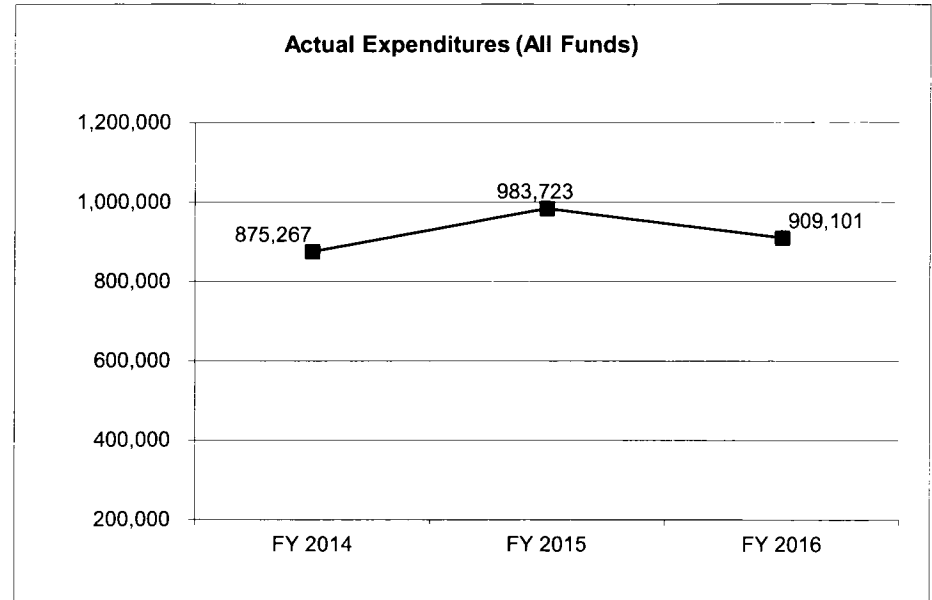
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42780C</u>					
Professional Registration										
Core - Missouri Real Estate Commission					HB Section <u>7.525</u>					
1. CORE FINANCIAL SUMMARY										
	FY 2018 Budget Request						FY 2018 Governor's Recommendation			
	GR	Federal	Other	Total	E		GR	Federal	Other	Total
PS	0	0	954,485	954,485		PS	0	0	954,485	954,485
EE	0	0	276,669	276,669		EE	0	0	276,669	276,669
PSD	0	0	0	0		PSD	0	0	0	0
TRF	0	0	0	0		TRF	0	0	0	0
Total	<u>0</u>	<u>0</u>	<u>1,231,154</u>	<u>1,231,154</u>		Total	<u>0</u>	<u>0</u>	<u>1,231,154</u>	<u>1,231,154</u>
FTE	0.00	0.00	25.00	25.00		FTE	0.00	0.00	25.00	25.00
Est. Fringe	0	0	518,274	518,274		Est. Fringe	0	0	518,274	518,274
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: Missouri Real Estate Commission Fund (0638)						Other Funds: Missouri Real Estate Commission Fund (0638)				
2. CORE DESCRIPTION										
The core program request is necessary to ensure the continued high quality of service provided by real estate brokers and salesperson licensed in Missouri.										
3. PROGRAM LISTING (list programs included in this core funding)										
Missouri Real Estate Commission										

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42780C
Professional Registration		
Core - Missouri Real Estate Commission	HB Section	7.525

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	1,196,917	1,207,416	1,212,438	1,231,154
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	1,196,917	1,207,416	1,212,438	1,231,154
Actual Expenditures (All Funds)	875,267	983,723	909,101	N/A
Unexpended (All Funds)	321,650	223,693	303,337	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	321,650	223,693	303,337	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
MO REAL ESTATE COMMISSION**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	25.00	0	0	954,485	954,485	
	EE	0.00	0	0	276,669	276,669	
	Total	25.00	0	0	1,231,154	1,231,154	
DEPARTMENT CORE REQUEST							
	PS	25.00	0	0	954,485	954,485	
	EE	0.00	0	0	276,669	276,669	
	Total	25.00	0	0	1,231,154	1,231,154	
GOVERNOR'S RECOMMENDED CORE							
	PS	25.00	0	0	954,485	954,485	
	EE	0.00	0	0	276,669	276,669	
	Total	25.00	0	0	1,231,154	1,231,154	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
MO REAL ESTATE COMMISSION								
CORE								
PERSONAL SERVICES								
MO REAL ESTATE COMMISSION	776,521	21.29	954,485	25.00	954,485	25.00	954,485	25.00
TOTAL - PS	776,521	21.29	954,485	25.00	954,485	25.00	954,485	25.00
EXPENSE & EQUIPMENT								
MO REAL ESTATE COMMISSION	132,580	0.00	276,669	0.00	276,669	0.00	276,669	0.00
TOTAL - EE	132,580	0.00	276,669	0.00	276,669	0.00	276,669	0.00
TOTAL	909,101	21.29	1,231,154	25.00	1,231,154	25.00	1,231,154	25.00
GRAND TOTAL	\$909,101	21.29	\$1,231,154	25.00	\$1,231,154	25.00	\$1,231,154	25.00

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FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 42780C BUDGET UNIT NAME: Missouri Real Estate Commission HOUSE BILL SECTION: 7.525	DEPARTMENT: Insurance, Financial Institutions and Professional Registration DIVISION: Professional Registration
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1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST	GOVERNOR'S RECOMMENDATION
No flexibility requested.	Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.	The department will use flexibility only if necessary.

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
The department did not have any flexibility in prior year budgets.	The department does not have any flexibility in the current year budget.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
MO REAL ESTATE COMMISSION								
CORE								
INVESTIGATOR I	34,944	1.00	35,704	1.00	35,704	1.00	35,704	1.00
INVESTIGATOR II	82,344	2.00	126,101	3.00	126,101	3.00	126,101	3.00
PROF REG ADMSTV COOR	41,940	1.00	43,836	1.00	43,836	1.00	43,836	1.00
REAL ESTATE EXAMINER I	87,351	2.82	129,597	4.00	126,597	4.00	126,597	4.00
REAL ESTATE EXAMINER II	106,704	3.00	110,936	3.00	110,936	3.00	110,936	3.00
REAL ESTATE EXAMINER FIELD SPV	77,928	2.00	83,519	2.00	83,519	2.00	83,519	2.00
REAL ESTATE EDUCATION SPEC	34,944	1.00	37,293	1.00	37,293	1.00	37,293	1.00
INVESTIGATION MGR B1	53,741	0.99	56,146	1.00	56,146	1.00	56,146	1.00
PROCESSING TECHNICIAN I	0	0.00	27,021	1.00	25,021	1.00	25,021	1.00
PROCESSING TECHNICIAN II	100,349	3.76	135,416	5.00	135,416	5.00	135,416	5.00
PROCESSING TECHNICIAN III	63,036	2.00	66,963	2.00	66,963	2.00	66,963	2.00
BOARD MEMBER	4,959	0.25	10,315	0.00	10,315	0.00	10,315	0.00
CLERK	7,949	0.34	19,009	0.00	19,009	0.00	19,009	0.00
EXECUTIVE	8,854	0.13	0	0.00	0	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	71,478	1.00	72,629	1.00	77,629	1.00	77,629	1.00
TOTAL - PS	776,521	21.29	954,485	25.00	954,485	25.00	954,485	25.00
TRAVEL, IN-STATE	15,864	0.00	22,801	0.00	22,801	0.00	22,801	0.00
TRAVEL, OUT-OF-STATE	3,503	0.00	6,074	0.00	6,074	0.00	6,074	0.00
SUPPLIES	35,317	0.00	84,000	0.00	84,000	0.00	84,000	0.00
PROFESSIONAL DEVELOPMENT	4,820	0.00	8,750	0.00	8,750	0.00	8,750	0.00
COMMUNICATION SERV & SUPP	18,246	0.00	27,044	0.00	27,044	0.00	27,044	0.00
PROFESSIONAL SERVICES	10,701	0.00	58,500	0.00	58,500	0.00	58,500	0.00
M&R SERVICES	6,208	0.00	15,500	0.00	15,500	0.00	15,500	0.00
MOTORIZED EQUIPMENT	31,887	0.00	31,000	0.00	31,000	0.00	31,000	0.00
OFFICE EQUIPMENT	1,454	0.00	7,500	0.00	7,500	0.00	7,500	0.00
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
BUILDING LEASE PAYMENTS	491	0.00	2,500	0.00	2,500	0.00	2,500	0.00
EQUIPMENT RENTALS & LEASES	50	0.00	1,000	0.00	1,000	0.00	1,000	0.00

DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
MO REAL ESTATE COMMISSION								
CORE								
MISCELLANEOUS EXPENSES	4,039	0.00	10,000	0.00	10,000	0.00	10,000	0.00
TOTAL - EE	132,580	0.00	276,669	0.00	276,669	0.00	276,669	0.00
GRAND TOTAL	\$909,101	21.29	\$1,231,154	25.00	\$1,231,154	25.00	\$1,231,154	25.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$909,101	21.29	\$1,231,154	25.00	\$1,231,154	25.00	\$1,231,154	25.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.525

Missouri Real Estate Commission

Program is found in the following core budget(s): Missouri Real Estate Commission

1. What does this program do?

The Missouri Real Estate Commission was established to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate brokers and salespersons in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 339.010-339.860 RSMo.

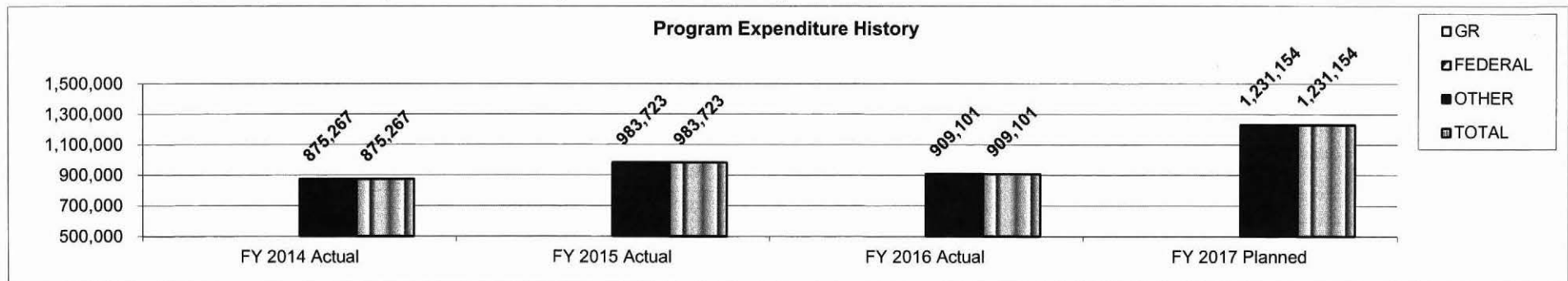
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Missouri Real Estate Commission Fund (0638)

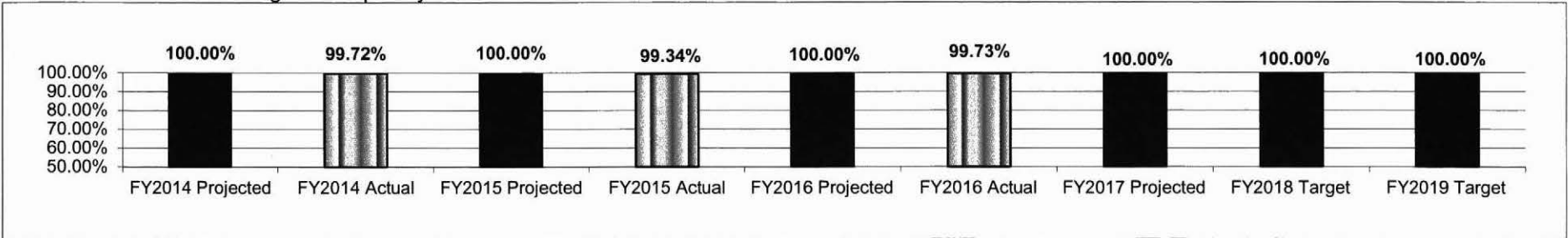
PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Real Estate Commission
Program is found in the following core budget(s): Missouri Real Estate Commission

HB Section(s): 7.525

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	2,000	2,612	2,000	6,718	2,000	3,355	2,700	2,916	3,300
Licensed Professionals	40,000	40,123	40,000	39,340	42,000	41,979	41,000	37,265	42,419

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

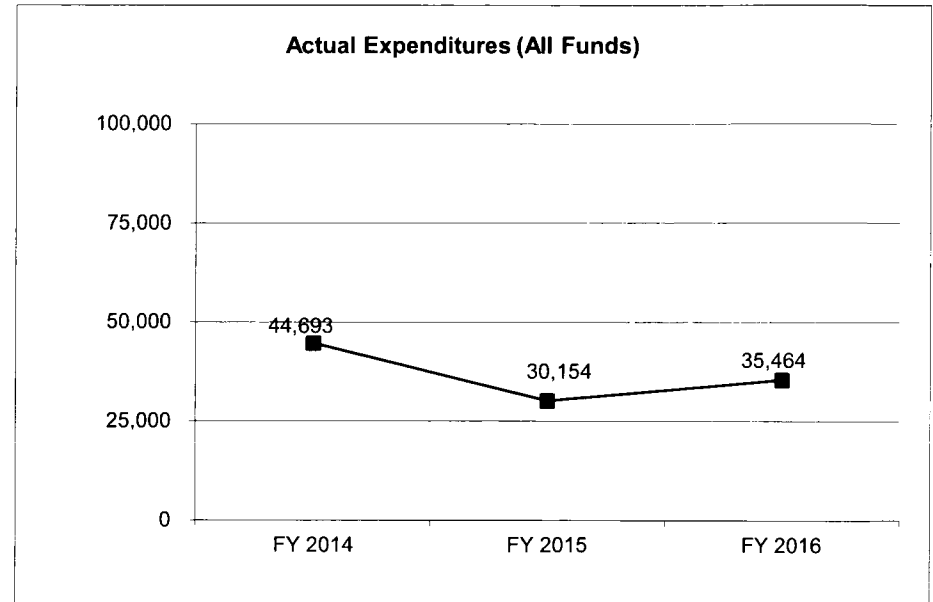
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42790C</u>						
Professional Registration					HB Section <u>7.530</u>						
Core - Missouri Veterinary Medical Board											
1. CORE FINANCIAL SUMMARY											
FY 2018 Budget Request					FY 2018 Governor's Recommendation						
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	107,975	107,975		EE	0	0	107,975	107,975	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	0	0		TRF	0	0	0	0	
Total	<u>0</u>	<u>0</u>	<u>107,975</u>	<u>107,975</u>		Total	<u>0</u>	<u>0</u>	<u>107,975</u>	<u>107,975</u>	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>		Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Veterinary Medical Board Fund (0639)						Other Funds: Veterinary Medical Board Fund (0639)					
2. CORE DESCRIPTION											
The core program request is necessary to ensure the continued high quality of service provided by veterinarians and veterinary technicians licensed in Missouri.											
3. PROGRAM LISTING (list programs included in this core funding)											
Missouri Veterinary Medical Board											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42790C
Professional Registration		
Core - Missouri Veterinary Medical Board	HB Section	7.530

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	107,975	107,975	107,975	107,975
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	107,975	107,975	107,975	107,975
Actual Expenditures (All Funds)	44,693	30,154	35,464	N/A
Unexpended (All Funds)	63,282	77,821	72,511	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	63,282	77,821	72,511	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to less than anticipated expenditures.
- (3) Unexpended amount is due to less than anticipated expenditures.

CORE RECONCILIATION DETAIL

**DIFP
MO VETERINARY MEDICAL BOARD**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	107,975	107,975	
	Total	0.00	0	0	107,975	107,975	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	107,975	107,975	
	Total	0.00	0	0	107,975	107,975	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	107,975	107,975	
	Total	0.00	0	0	107,975	107,975	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
MO VETERINARY MEDICAL BOARD								
CORE								
EXPENSE & EQUIPMENT								
VETERINARY MEDICAL BOARD	35,464	0.00	107,975	0.00	107,975	0.00	107,975	0.00
TOTAL - EE	35,464	0.00	107,975	0.00	107,975	0.00	107,975	0.00
TOTAL	35,464	0.00	107,975	0.00	107,975	0.00	107,975	0.00
GRAND TOTAL	\$35,464	0.00	\$107,975	0.00	\$107,975	0.00	\$107,975	0.00

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DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
MO VETERINARY MEDICAL BOARD								
CORE								
TRAVEL, IN-STATE	4,502	0.00	6,400	0.00	6,400	0.00	6,400	0.00
TRAVEL, OUT-OF-STATE	0	0.00	50	0.00	50	0.00	50	0.00
SUPPLIES	13,046	0.00	12,350	0.00	12,350	0.00	12,350	0.00
PROFESSIONAL DEVELOPMENT	500	0.00	2,450	0.00	2,450	0.00	2,450	0.00
COMMUNICATION SERV & SUPP	1,187	0.00	2,000	0.00	2,000	0.00	2,000	0.00
PROFESSIONAL SERVICES	11,644	0.00	77,225	0.00	77,225	0.00	77,225	0.00
M&R SERVICES	527	0.00	1,500	0.00	1,500	0.00	1,500	0.00
OFFICE EQUIPMENT	1,668	0.00	1,000	0.00	1,000	0.00	1,000	0.00
OTHER EQUIPMENT	156	0.00	100	0.00	100	0.00	100	0.00
BUILDING LEASE PAYMENTS	272	0.00	1,000	0.00	1,000	0.00	1,000	0.00
EQUIPMENT RENTALS & LEASES	305	0.00	700	0.00	700	0.00	700	0.00
MISCELLANEOUS EXPENSES	1,657	0.00	3,200	0.00	3,200	0.00	3,200	0.00
TOTAL - EE	35,464	0.00	107,975	0.00	107,975	0.00	107,975	0.00
GRAND TOTAL	\$35,464	0.00	\$107,975	0.00	\$107,975	0.00	\$107,975	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$35,464	0.00	\$107,975	0.00	\$107,975	0.00	\$107,975	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.530

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

FY 2017 PLANNED

	Veterinary	PR Admin	TOTAL
GR	0	0	0
FEDERAL	0	0	0
OTHER	107,975	93,737	201,712
TOTAL	107,975	93,737	201,712

1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 340.200-340.350 RSMo.

3. Are there federal matching requirements? If yes, please explain.

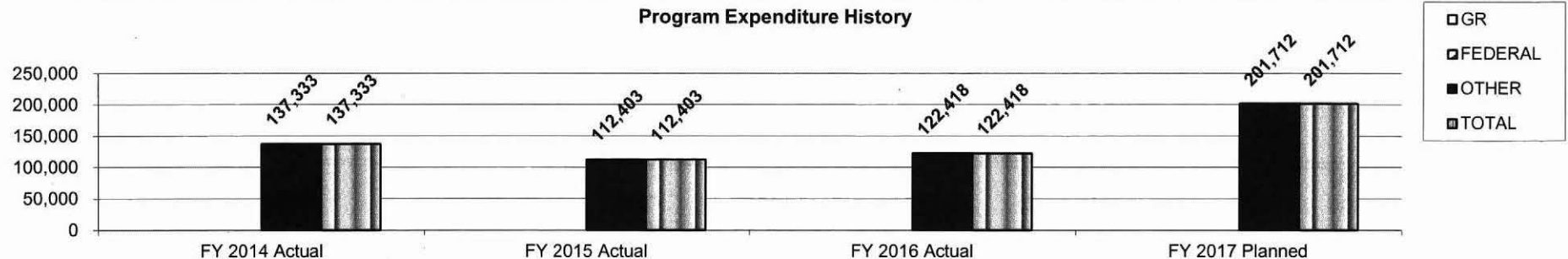
No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.530

Missouri Veterinary Medical Board

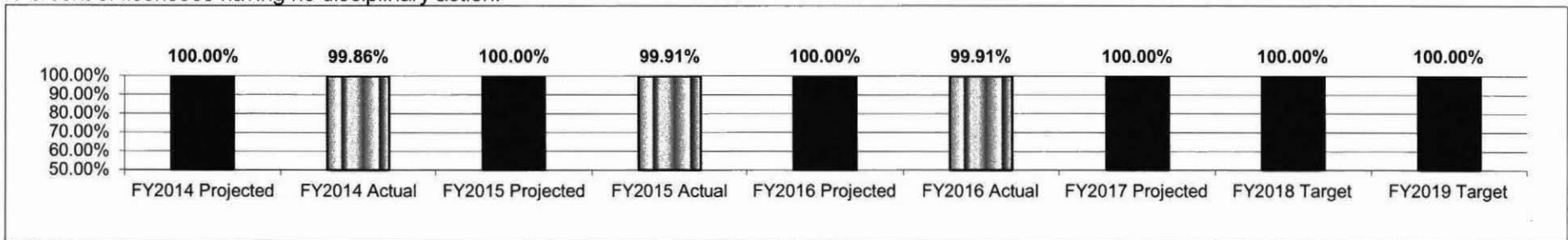
Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)

7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

	FY2014		FY2015		FY2016		FY2017	FY2018	FY2019
	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Target	Target
Applications Received	433	524	433	537	594	584	526	694	500
Licensed Professionals	4,911	5,164	4,911	5,341	5,054	5,521	5,200	5,549	5,540

7d. Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42820C</u>
Professional Registration					
Core - Transfers to General Revenue					HB Section <u>7.535</u>

1. CORE FINANCIAL SUMMARY

	FY 2018 Budget Request				E
	GR	Federal	Other	Total	
PS	0	0	0	0	
EE	0	0	0	0	
PSD	0	0	0	0	
TRF	0	0	1,461,218	1,461,218	
Total	0	0	1,461,218	1,461,218	
FTE	0.00	0.00	0.00	0.00	

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

	FY 2018 Governor's Recommendation				E
	GR	Federal	Other	Total	
PS	0	0	0	0	
EE	0	0	0	0	
PSD	0	0	0	0	
TRF	0	0	1,461,218	1,461,218	
Total	0	0	1,461,218	1,461,218	
FTE	0.00	0.00	0.00	0.00	

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Various Professional Registration Funds

Other Funds: Various Professional Registration Funds

2. CORE DESCRIPTION

The General Revenue Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo. which requires the General Assembly to appropriate to other state agencies from each board's funds, moneys sufficient to reimburse those other state agencies for all services rendered and all facilities and supplies furnished to that board. These transfers allow for reimbursement to General Revenue supported agencies (i.e. Attorney General, State Auditor Office, Administrative Hearings Commission), for legal services, audit services, and hearing services.

3. PROGRAM LISTING (list programs included in this core funding)

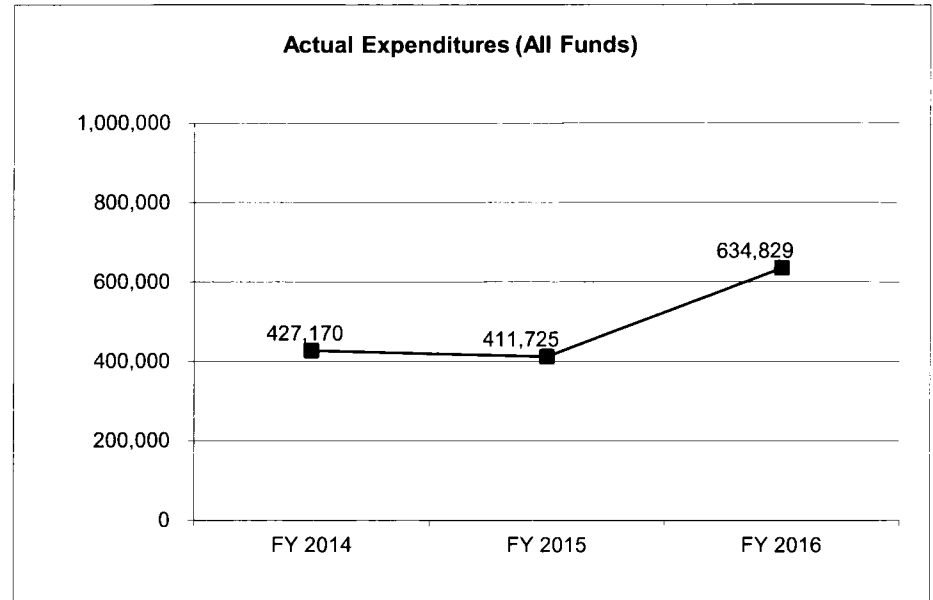
Professional Registration Funds Transfer to General Revenue

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42820C
Professional Registration		
Core - Transfers to General Revenue	HB Section	7.535

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	1,461,218	1,461,218	1,461,218	1,461,218
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	1,461,218	1,461,218	1,461,218	1,461,218
Actual Expenditures (All Funds)	427,170	411,725	634,829	N/A
Unexpended (All Funds)	1,034,048	1,049,493	826,389	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,034,048	1,049,493	826,389	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.
- (2) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.
- (3) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.

CORE RECONCILIATION DETAIL

**DIFP
PR FUND TRANSFER TO GR**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	1,461,218	1,461,218	
	Total	0.00	0	0	1,461,218	1,461,218	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	1,461,218	1,461,218	
	Total	0.00	0	0	1,461,218	1,461,218	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	1,461,218	1,461,218	
	Total	0.00	0	0	1,461,218	1,461,218	

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR FUND TRANSFER TO GR								
CORE								
FUND TRANSFERS								
HEARING INSTRUMENT SPECIALIST	13,129	0.00	17,500	0.00	17,500	0.00	17,500	0.00
STATE COMMITTEE OF INTERPRETER	1,133	0.00	7,800	0.00	7,800	0.00	7,800	0.00
BRD OF GEOLOGIST REGISTRATION	0	0.00	7,200	0.00	7,200	0.00	7,200	0.00
MO RE APPRS AND APPRMGMT COMPS	64,373	0.00	155,000	0.00	155,000	0.00	155,000	0.00
ENDOWED CARE CEMETERY AUDIT	7,706	0.00	10,500	0.00	10,500	0.00	10,500	0.00
LICENSED SOCIAL WORKERS	32,085	0.00	22,500	0.00	22,500	0.00	22,500	0.00
STATE COMMITTEE OF PSYCHOLOGST	10,063	0.00	33,500	0.00	33,500	0.00	33,500	0.00
BOARD OF ACCOUNTANCY	2,613	0.00	19,000	0.00	19,000	0.00	19,000	0.00
BOARD OF PODIATRIC MEDICINE	1,331	0.00	16,000	0.00	16,000	0.00	16,000	0.00
BOARD OF CHIROPRACTIC EXAMINER	0	0.00	8,000	0.00	8,000	0.00	8,000	0.00
BOARD OF EMBALM & FUN DIR	9,497	0.00	85,000	0.00	85,000	0.00	85,000	0.00
BOARD OF REG FOR HEALING ARTS	2,072	0.00	100,000	0.00	100,000	0.00	100,000	0.00
BOARD OF NURSING	79,509	0.00	135,000	0.00	135,000	0.00	135,000	0.00
BOARD OF OPTOMETRY	0	0.00	13,408	0.00	13,408	0.00	13,408	0.00
BOARD OF PHARMACY	19,581	0.00	119,000	0.00	119,000	0.00	119,000	0.00
MO REAL ESTATE COMMISSION	154,584	0.00	250,000	0.00	250,000	0.00	250,000	0.00
VETERINARY MEDICAL BOARD	9,969	0.00	55,000	0.00	55,000	0.00	55,000	0.00
COMMITTEE OF PROF COUNSELORS	5,224	0.00	40,000	0.00	40,000	0.00	40,000	0.00
DENTAL BOARD FUND	2,015	0.00	31,200	0.00	31,200	0.00	31,200	0.00
BRD OF ARCH,ENG,LND SUR,LND AR	107,621	0.00	122,100	0.00	122,100	0.00	122,100	0.00
ATHLETIC FUND	5,529	0.00	14,400	0.00	14,400	0.00	14,400	0.00
ATHLETIC AGENT	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
BRD OF COSMETOLOGY & BARBER EX	60,730	0.00	91,250	0.00	91,250	0.00	91,250	0.00
BOARD OF PI&PI FIRE EXAMINERS	4,311	0.00	16,500	0.00	16,500	0.00	16,500	0.00
MARITAL & FAMILY THERAPISTS	5,034	0.00	6,000	0.00	6,000	0.00	6,000	0.00
RESPIRATORY CARE PRACTITIONERS	7,296	0.00	28,000	0.00	28,000	0.00	28,000	0.00
MO BRD OCCUPATIONAL THERAPY	2,685	0.00	8,960	0.00	8,960	0.00	8,960	0.00
DIETITIAN	0	0.00	1,200	0.00	1,200	0.00	1,200	0.00
INTERIOR DESIGNER COUNCIL	0	0.00	1,200	0.00	1,200	0.00	1,200	0.00
ACUPUNCTURIST	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
TATTOO	26,739	0.00	31,000	0.00	31,000	0.00	31,000	0.00

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DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR FUND TRANSFER TO GR								
CORE								
FUND TRANSFERS								
MESSAGE THERAPY	0	0.00	13,000	0.00	13,000	0.00	13,000	0.00
TOTAL - TRF	634,829	0.00	1,461,218	0.00	1,461,218	0.00	1,461,218	0.00
TOTAL	634,829	0.00	1,461,218	0.00	1,461,218	0.00	1,461,218	0.00
GRAND TOTAL	\$634,829	0.00	\$1,461,218	0.00	\$1,461,218	0.00	\$1,461,218	0.00

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DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR FUND TRANSFER TO GR								
CORE								
TRANSFERS OUT	634,829	0.00	1,461,218	0.00	1,461,218	0.00	1,461,218	0.00
TOTAL - TRF	634,829	0.00	1,461,218	0.00	1,461,218	0.00	1,461,218	0.00
GRAND TOTAL	\$634,829	0.00	\$1,461,218	0.00	\$1,461,218	0.00	\$1,461,218	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$634,829	0.00	\$1,461,218	0.00	\$1,461,218	0.00	\$1,461,218	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.535

Professional Registration Funds Transfer to General Revenue

Program is found in the following core budget(s): Division of Professional Registration Funds Transfer to General Revenue

1. What does this program do?

The General Revenue Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo. which requires the General Assembly to appropriate to other state agencies from each board's funds, moneys sufficient to reimburse those other state agencies for all services rendered and all facilities and supplies furnished to that board. These transfers allow for reimbursement to General Revenue supported agencies (i.e. Attorney General, State Auditor Office, Administrative Hearings Commission), for legal services, audit services and hearing services.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.001.5 RSMo.

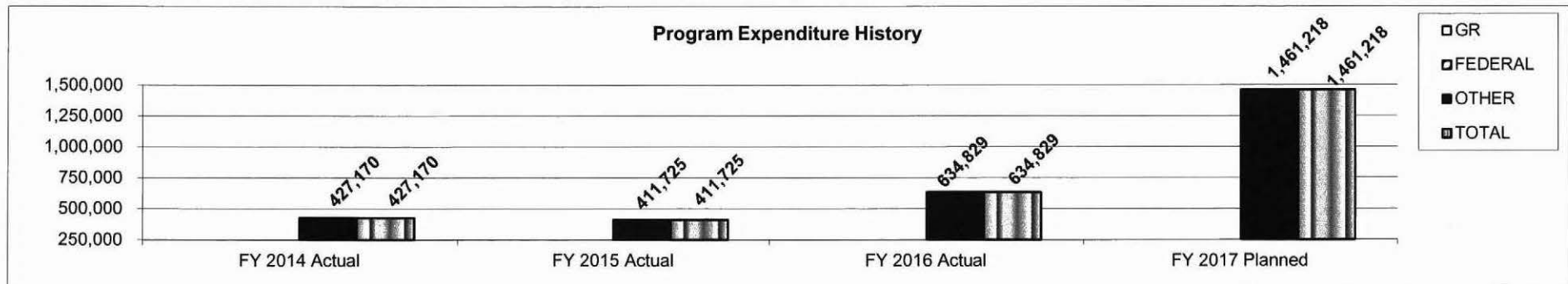
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

7a. Provide an effectiveness measure.

None available.

7b.

Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d.

Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

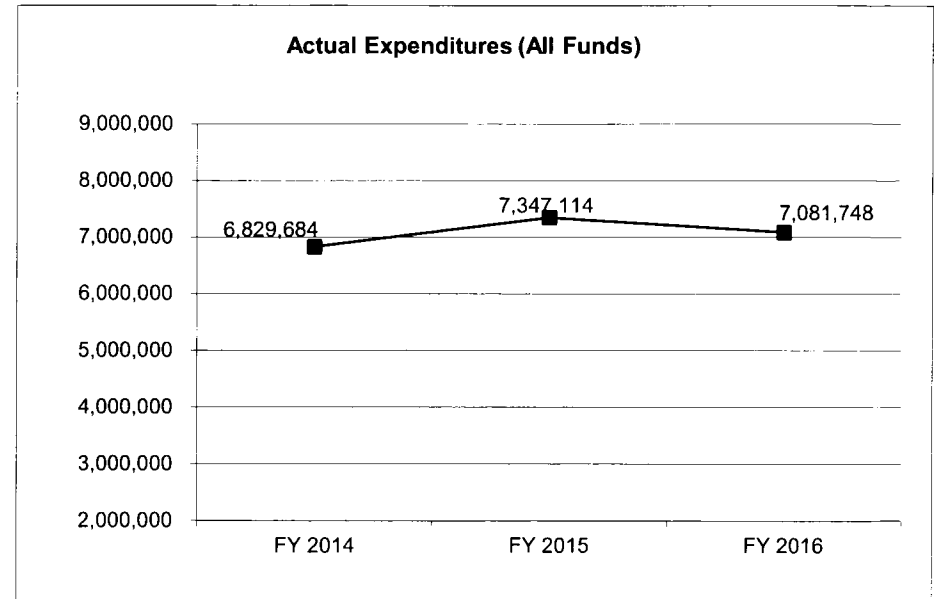
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit	42830C					
Professional Registration											
Core - Transfers to Professional Registration Fees Fund					HB Section	7.540					
1. CORE FINANCIAL SUMMARY											
FY 2018 Budget Request						FY 2018 Governor's Recommendation					
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	0	0		EE	0	0	0	0	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	8,829,032	8,829,032		TRF	0	0	8,829,032	8,829,032	
Total	<u>0</u>	<u>0</u>	<u>8,829,032</u>	<u>8,829,032</u>		Total	<u>0</u>	<u>0</u>	<u>8,829,032</u>	<u>8,829,032</u>	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Various Professional Registration Funds						Other Funds: Various Professional Registration Funds					
2. CORE DESCRIPTION											
<p>The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board.</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
Professional Registration Funds Transfer to Professional Registration Fees Fund											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42830C
Professional Registration		
Core - Transfers to Professional Registration Fees Fund	HB Section	7.540

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	8,829,032	8,829,032	8,829,032	8,829,032
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	8,829,032	8,829,032	8,829,032	8,829,032
Actual Expenditures (All Funds)	6,829,684	7,347,114	7,081,748	N/A
Unexpended (All Funds)	1,999,348	1,481,918	1,747,284	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,999,348	1,481,918	1,747,284	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Unexpended amounts reflect variations in actual expenditures for services provided from Professional Registration Administration.
- (2) Unexpended amounts reflect variations in actual expenditures for services provided from Professional Registration Administration.
- (3) Unexpended amounts reflect variations in actual expenditures for services provided from Professional Registration Administration.

CORE RECONCILIATION DETAIL

DIFP
PR ADMINISTRATION TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<hr/>							
TAFP AFTER VETOES	TRF	0.00	0	0	8,829,032	8,829,032	
	Total	0.00	0	0	8,829,032	8,829,032	
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DEPARTMENT CORE REQUEST	TRF	0.00	0	0	8,829,032	8,829,032	
	Total	0.00	0	0	8,829,032	8,829,032	
<hr/>							
GOVERNOR'S RECOMMENDED CORE	TRF	0.00	0	0	8,829,032	8,829,032	
	Total	0.00	0	0	8,829,032	8,829,032	
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DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR ADMINISTRATION TRANSFER								
CORE								
FUND TRANSFERS								
HEARING INSTRUMENT SPECIALIST	53,159	0.00	88,470	0.00	88,470	0.00	88,470	0.00
STATE COMMITTEE OF INTERPRETER	63,538	0.00	66,549	0.00	66,549	0.00	66,549	0.00
BRD OF GEOLOGIST REGISTRATION	56,398	0.00	71,215	0.00	71,215	0.00	71,215	0.00
MO RE APPRS AND APPRMGMT COMPS	220,120	0.00	419,574	0.00	419,574	0.00	419,574	0.00
ENDOWED CARE CEMETERY AUDIT	125,224	0.00	122,879	0.00	122,879	0.00	122,879	0.00
LICENSED SOCIAL WORKERS	217,423	0.00	237,471	0.00	237,471	0.00	237,471	0.00
STATE COMMITTEE OF PSYCHOLOGST	317,715	0.00	348,058	0.00	348,058	0.00	348,058	0.00
BOARD OF ACCOUNTANCY	145,173	0.00	176,701	0.00	176,701	0.00	176,701	0.00
BOARD OF PODIATRIC MEDICINE	38,864	0.00	42,473	0.00	42,473	0.00	42,473	0.00
BOARD OF CHIROPRACTIC EXAMINER	127,435	0.00	143,327	0.00	143,327	0.00	143,327	0.00
BOARD OF EMBALM & FUN DIR	684,501	0.00	836,714	0.00	836,714	0.00	836,714	0.00
BOARD OF REG FOR HEALING ARTS	318,832	0.00	433,431	0.00	433,431	0.00	433,431	0.00
BOARD OF NURSING	778,149	0.00	1,104,260	0.00	1,104,260	0.00	1,104,260	0.00
BOARD OF OPTOMETRY	93,012	0.00	102,381	0.00	102,381	0.00	102,381	0.00
BOARD OF PHARMACY	291,042	0.00	318,869	0.00	318,869	0.00	318,869	0.00
MO REAL ESTATE COMMISSION	275,839	0.00	540,206	0.00	540,206	0.00	540,206	0.00
VETERINARY MEDICAL BOARD	171,609	0.00	188,724	0.00	188,724	0.00	188,724	0.00
COMMITTEE OF PROF COUNSELORS	252,473	0.00	283,797	0.00	283,797	0.00	283,797	0.00
DENTAL BOARD FUND	30,843	0.00	100,584	0.00	100,584	0.00	100,584	0.00
BRD OF ARCH,ENG,LND SUR,LND AR	184,844	0.00	278,472	0.00	278,472	0.00	278,472	0.00
ATHLETIC FUND	229,140	0.00	241,144	0.00	241,144	0.00	241,144	0.00
ATHLETIC AGENT	3,065	0.00	3,737	0.00	3,737	0.00	3,737	0.00
BRD OF COSMETOLOGY & BARBER EX	1,635,024	0.00	1,664,242	0.00	1,664,242	0.00	1,664,242	0.00
BOARD OF PI&PI FIRE EXAMINERS	91,232	0.00	186,721	0.00	186,721	0.00	186,721	0.00
MARITAL & FAMILY THERAPISTS	21,069	0.00	19,024	0.00	19,024	0.00	19,024	0.00
RESPIRATORY CARE PRACTITIONERS	96,650	0.00	137,692	0.00	137,692	0.00	137,692	0.00
MO BRD OCCUPATIONAL THERAPY	96,292	0.00	138,152	0.00	138,152	0.00	138,152	0.00
DIETITIAN	34,122	0.00	56,348	0.00	56,348	0.00	56,348	0.00
INTERIOR DESIGNER COUNCIL	2,953	0.00	42,037	0.00	42,037	0.00	42,037	0.00
ACUPUNCTURIST	5,090	0.00	13,444	0.00	13,444	0.00	13,444	0.00
TATTOO	114,011	0.00	81,254	0.00	81,254	0.00	81,254	0.00

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DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR ADMINISTRATION TRANSFER								
CORE								
FUND TRANSFERS								
MESSAGE THERAPY	306,907	0.00	341,082	0.00	341,082	0.00	341,082	0.00
TOTAL - TRF	7,081,748	0.00	8,829,032	0.00	8,829,032	0.00	8,829,032	0.00
TOTAL	7,081,748	0.00	8,829,032	0.00	8,829,032	0.00	8,829,032	0.00
GRAND TOTAL	\$7,081,748	0.00	\$8,829,032	0.00	\$8,829,032	0.00	\$8,829,032	0.00

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DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR ADMINISTRATION TRANSFER								
CORE								
TRANSFERS OUT	7,081,748	0.00	8,829,032	0.00	8,829,032	0.00	8,829,032	0.00
TOTAL - TRF	7,081,748	0.00	8,829,032	0.00	8,829,032	0.00	8,829,032	0.00
GRAND TOTAL	\$7,081,748	0.00	\$8,829,032	0.00	\$8,829,032	0.00	\$8,829,032	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$7,081,748	0.00	\$8,829,032	0.00	\$8,829,032	0.00	\$8,829,032	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.540

Professional Registration Funds Transfer to Professional Registration Fees Fund

Program is found in the following core budget(s): Transfer to Professional Registration Fees Fund

1. What does this program do?

The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to the board.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.001.5 RSMo.

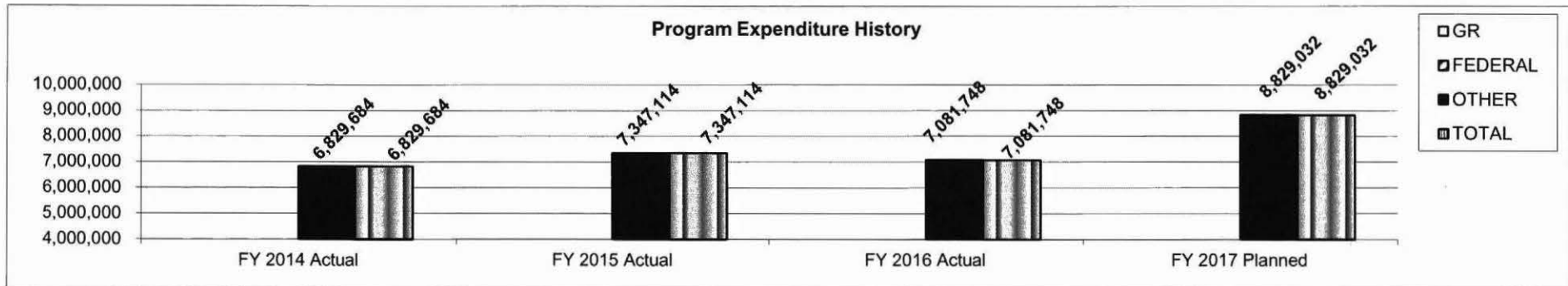
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

7a. Provide an effectiveness measure.

None available.

7b.

Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d.

Provide a customer satisfaction measure, if available.

None available.

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CORE DECISION ITEM

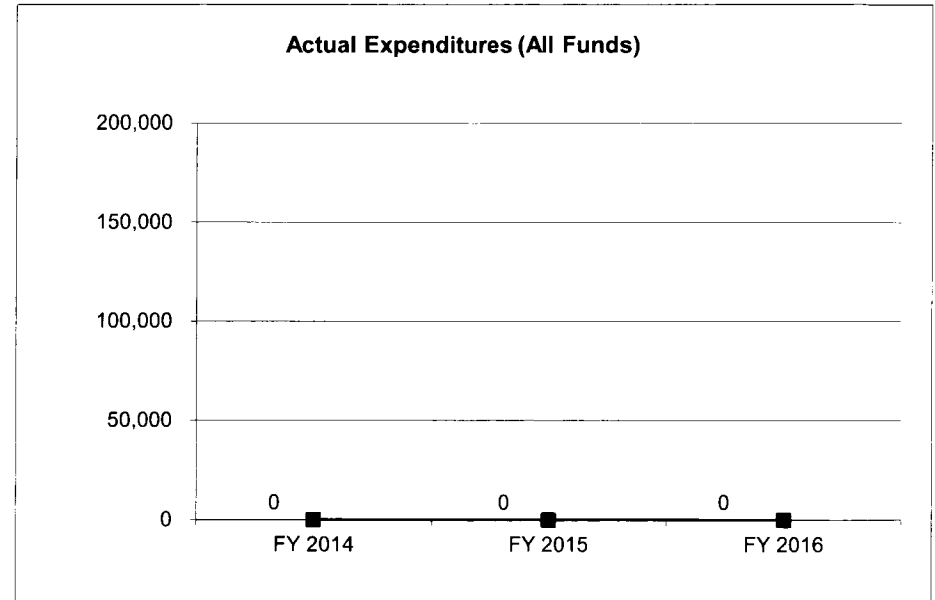
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit	42850C					
Professional Registration											
Core - Transfers for Start Up Loans for New Board Programs					HB Section	7.545					
1. CORE FINANCIAL SUMMARY											
FY 2018 Budget Request						FY 2018 Governor's Recommendation					
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E
PS	0	0	0	0		PS	0	0	0	0	
EE	0	0	0	0		EE	0	0	0	0	
PSD	0	0	0	0		PSD	0	0	0	0	
TRF	0	0	200,000	200,000		TRF	0	0	200,000	200,000	
Total	<u>0</u>	<u>0</u>	<u>200,000</u>	<u>200,000</u>		Total	<u>0</u>	<u>0</u>	<u>200,000</u>	<u>200,000</u>	
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00	
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0	
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>						<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					
Other Funds: Various Professional Registration Funds						Other Funds: Various Professional Registration Funds					
2. CORE DESCRIPTION											
<p>The Professional Registration Transfer for Startup Loans Core is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."</p>											
3. PROGRAM LISTING (list programs included in this core funding)											
Transfer for Startup Loans for New Board Programs											

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42850C
Professional Registration		
Core - Transfers for Start Up Loans for New Board Programs	HB Section	7.545

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	200,000	200,000	200,000	200,000
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	200,000	200,000	200,000	200,000
Actual Expenditures (All Funds)	0	0	0	N/A
Unexpended (All Funds)	200,000	200,000	200,000	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	200,000	200,000	200,000	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) No transfers required in FY 2014.
- (2) No transfers required in FY 2015.
- (3) No transfers required in FY 2016.

CORE RECONCILIATION DETAIL

DIFP
PR STARTUP LOANS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<hr/>							
TAFP AFTER VETOES	TRF	0.00	0	0	200,000	200,000	
	Total	0.00	0	0	200,000	200,000	
<hr/>							
DEPARTMENT CORE REQUEST	TRF	0.00	0	0	200,000	200,000	
	Total	0.00	0	0	200,000	200,000	
<hr/>							
GOVERNOR'S RECOMMENDED CORE	TRF	0.00	0	0	200,000	200,000	
	Total	0.00	0	0	200,000	200,000	
<hr/>							

DIFP**DECISION ITEM SUMMARY**

Budget Unit									
Decision Item		FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary		ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund		DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR STARTUP LOANS									
CORE									
FUND TRANSFERS									
BOARD OF REG FOR HEALING ARTS		0	0.00	200,000	0.00	200,000	0.00	200,000	0.00
TOTAL - TRF		0	0.00	200,000	0.00	200,000	0.00	200,000	0.00
TOTAL		0	0.00	200,000	0.00	200,000	0.00	200,000	0.00
GRAND TOTAL									
		\$0	0.00	\$200,000	0.00	\$200,000	0.00	\$200,000	0.00

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DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR STARTUP LOANS								
CORE								
TRANSFERS OUT	0	0.00	200,000	0.00	200,000	0.00	200,000	0.00
TOTAL - TRF	0	0.00	200,000	0.00	200,000	0.00	200,000	0.00
GRAND TOTAL	\$0	0.00	\$200,000	0.00	\$200,000	0.00	\$200,000	0.00
GENERAL REVENUE								
	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS								
	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS								
	\$0	0.00	\$200,000	0.00	\$200,000	0.00	\$200,000	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.545

Transfer for Startup Loans for New Board Programs

Program is found in the following core budget(s): Transfer for Startup Loans for New Board Programs

1. What does this program do?

The Professional Registration Transfer for Startup Loans Program is necessary to carry out the provisions of chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established chapter 324.016 RSMo. states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.016 RSMo.

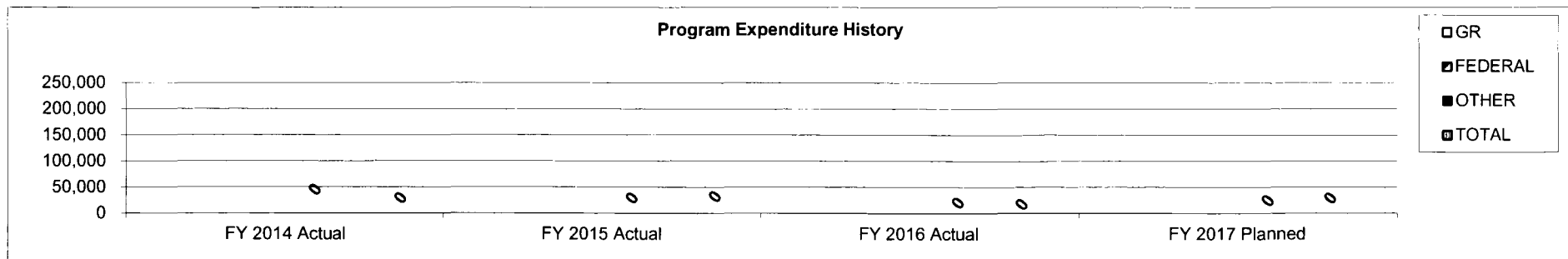
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

7a. Provide an effectiveness measure.

None available.

7b.

Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d.

Provide a customer satisfaction measure, if available.

None available.

CORE DECISION ITEM

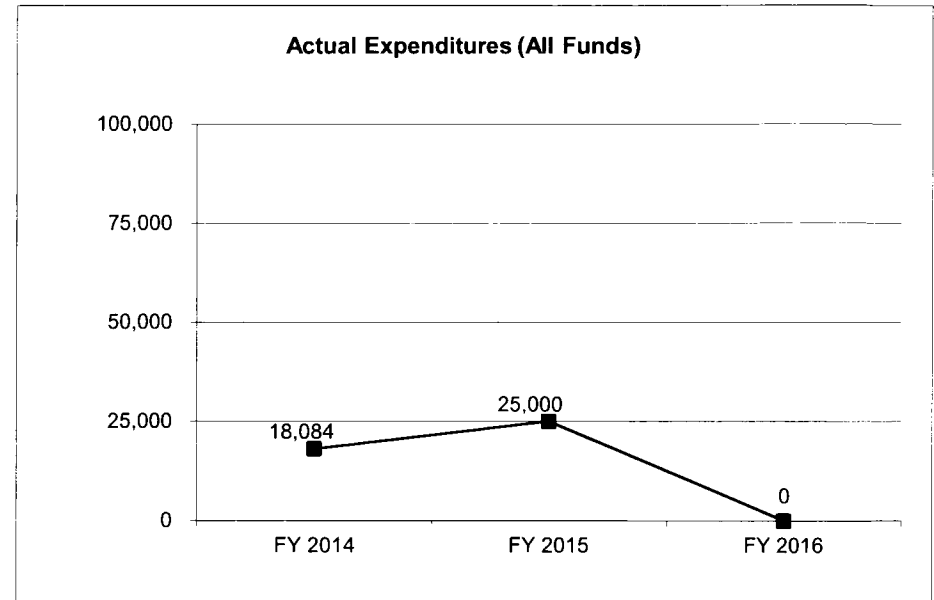
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit					42860C									
Professional Registration										HB Section					7.550				
Core - Transfers for Start Up Loan Payback																			
1. CORE FINANCIAL SUMMARY																			
FY 2018 Budget Request						FY 2018 Governor's Recommendation													
	GR	Federal	Other	Total	E		GR	Federal	Other	Total	E		GR	Federal	Other	Total	E		
PS	0	0	0	0		PS	0	0	0	0			0	0	0	0			
EE	0	0	0	0		EE	0	0	0	0			0	0	0	0			
PSD	0	0	0	0		PSD	0	0	0	0			0	0	0	0			
TRF	0	0	320,000	320,000		TRF	0	0	320,000	320,000			0	0	320,000	320,000			
Total	0	0	320,000	320,000		Total	0	0	320,000	320,000			0	0	320,000	320,000			
FTE	0.00	0.00	0.00	0.00		FTE	0.00	0.00	0.00	0.00			0.00	0.00	0.00	0.00			
Est. Fringe	0	0	0	0		Est. Fringe	0	0	0	0			0	0	0	0			
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.						Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.													
Other Funds: Various Professional Registration Funds						Other Funds: Various Professional Registration Funds													
2. CORE DESCRIPTION																			
The Professional Registration Transfer for Payback of Startup Loans Core is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."																			
3. PROGRAM LISTING (list programs included in this core funding)																			
Transfer for Startup Loans Payback																			

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42860C
Professional Registration		
Core - Transfers for Start Up Loan Payback	HB Section	7.550

4. FINANCIAL HISTORY

	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Current Yr.
Appropriation (All Funds)	320,000	320,000	320,000	320,000
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)	0	0	0	0
Budget Authority (All Funds)	320,000	320,000	320,000	320,000
Actual Expenditures (All Funds)	18,084	25,000	0	N/A
Unexpended (All Funds)	301,916	295,000	320,000	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	301,916	295,000	320,000	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Startup loans paybacks included \$8,084 from Interpreters and \$10,000 from Private Investigators.
- (2) Startup loans paybacks included \$25,000 from Private Investigators.
- (3) No transfer required in FY 2016.

CORE RECONCILIATION DETAIL

**DIFP
PR STARTUP LOANS PAYBACK**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	320,000	320,000	
	Total	0.00	0	0	320,000	320,000	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	320,000	320,000	
	Total	0.00	0	0	320,000	320,000	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	320,000	320,000	
	Total	0.00	0	0	320,000	320,000	

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR STARTUP LOANS PAYBACK								
CORE								
FUND TRANSFERS								
PROFESSIONAL REGISTRATION FEES	0	0.00	320,000	0.00	320,000	0.00	320,000	0.00
TOTAL - TRF	0	0.00	320,000	0.00	320,000	0.00	320,000	0.00
TOTAL	0	0.00	320,000	0.00	320,000	0.00	320,000	0.00
GRAND TOTAL	\$0	0.00	\$320,000	0.00	\$320,000	0.00	\$320,000	0.00

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DIFP**DECISION ITEM DETAIL**

Budget Unit	FY 2016	FY 2016	FY 2017	FY 2017	FY 2018	FY 2018	FY 2018	FY 2018
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR STARTUP LOANS PAYBACK								
CORE								
TRANSFERS OUT	0	0.00	320,000	0.00	320,000	0.00	320,000	0.00
TOTAL - TRF	0	0.00	320,000	0.00	320,000	0.00	320,000	0.00
GRAND TOTAL	\$0	0.00	\$320,000	0.00	\$320,000	0.00	\$320,000	0.00
GENERAL REVENUE								
	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS								
	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS								
	\$0	0.00	\$320,000	0.00	\$320,000	0.00	\$320,000	0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.550

Transfer for Startup Loans Payback

Program is found in the following core budget(s): Transfer for Startup Loans Payback

1. What does this program do?

The Professional Registration Transfer for Startup Loans Payback Program is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.016 RSMo.

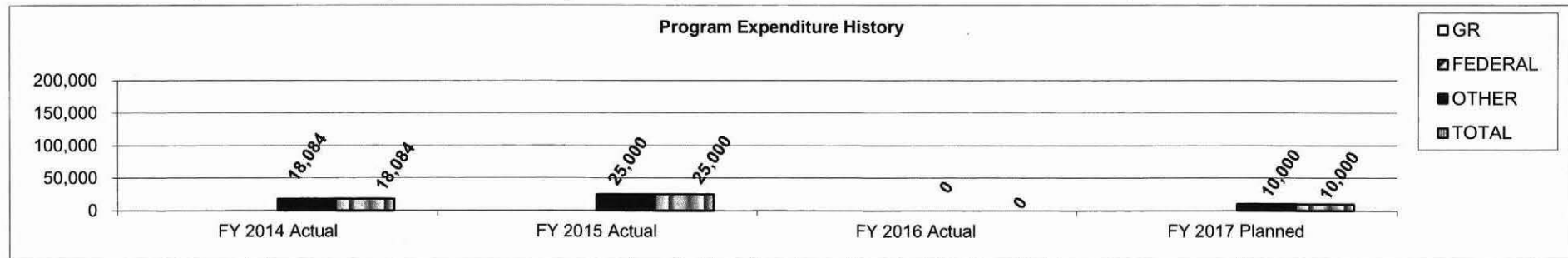
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

7a. Provide an effectiveness measure.

None available.

7b.

Provide an efficiency measure.

None available.

7c. Provide the number of clients/individuals served, if applicable.

None available.

7d.

Provide a customer satisfaction measure, if available.

None available.

